

Gröbner theory of Grassmannian cluster algebras

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Lie Algebras and Applications, 25 January 2022

Motivation

X a projective variety, a *toric degeneration* of X is a flat morphism $\pi : \mathfrak{X} \rightarrow \mathbb{A}^d$ with generic fibre isomorphic to X and special fibre $\pi^{-1}(0)$ a toric variety.

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Question: How are different toric degenerations of X related?

Toric degenerations from valuations

$A = \bigoplus_{i \geq 0} A_i$ graded algebra and domain, $\mathfrak{v} : A \setminus \{0\} \rightarrow \mathbb{Z}^d$ a valuation with image $S(A, \mathfrak{v})$ a finitely generated semigroup of rank $d := \dim_{\text{Krull}} A$.

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[Anderson] Exists a toric degeneration of $\text{Proj}(A)$ with special fibre a projective toric variety whose normalization is $TV(\Delta(A, \mathfrak{v}))$, where

$$\Delta(A, \mathfrak{v}) := \overline{\text{conv}} \left(\bigcup_{i \geq 1} \left\{ \frac{\mathfrak{v}(f)}{i} : f \in A_i \right\} \right) \quad \text{Newton-Okounkov polytope}$$

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A set $\{b_1, \dots, b_n\} \subset A$ of algebra generators is a *Khovanskii basis* for \mathfrak{v} if $\mathfrak{v}(b_1), \dots, \mathfrak{v}(b_n)$ generate $\text{image}(\mathfrak{v})$.

Gröbner toric degenerations

Reminder: $f = x^2 + y \in \mathbb{C}[x, y]$ and $w = (1, 1)$, then $\text{in}_w(f) = y$ and for $J \subset \mathbb{C}[x_1, \dots, x_n]$ ideal $\text{in}_w(J) := (\text{in}_w(f) : f \in J)$

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Let $A := \mathbb{C}[x_1, \dots, x_n]/J$ with J homogeneous prime ideal and $w \in \text{Trop}(J)$ such that $\text{in}_w(J)$ is binomial and prime (i.e. *toric*).

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Then exists a flat family with generic fibre $\text{Proj}(A)$ and special fibre the toric variety $\text{Proj}(\mathbb{C}[x_1, \dots, x_n]/\text{in}_w(J))$, called a *Gröbner toric degeneration*.

Motivating result

Theorem (Kaveh–Manon, B.)

Let A be a positively graded algebra and domain, $\mathfrak{v} : A \setminus \{0\} \rightarrow \mathbb{Z}^d$ full rank valuation with finitely generated value semigroup.

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Let A be a positively graded algebra and domain, $\mathfrak{v} : A \setminus \{0\} \rightarrow \mathbb{Z}^d$ full rank valuation with finitely generated value semigroup. Then there exists an isomorphism of graded algebras

$$\mathbb{C}[x_1, \dots, x_n]/J \cong A$$

such that the toric variety of the Newton–Okounkov polytope is **isomorphic** to the toric variety of a Gröbner toric degeneration for some $w \in \text{Trop}(J)$:

$$TV(\Delta(A, \mathfrak{v})) \cong \text{Proj}(\mathbb{C}[x_1, \dots, x_n]/in_w(J))^{nor}.$$

Motivating result

Idea of Proof: Choose a finite Khovanskii basis $b_1, \dots, b_n \in A$. Take

$$\pi : \mathbb{C}[x_1, \dots, x_n] \rightarrow A, \quad x_i \mapsto b_i$$

and $J := \ker(\pi)$.

¹ $w_{\mathfrak{v}}$ is obtained from $M_{\mathfrak{v}} := (\mathfrak{v}(b_i))_{i \in [n]} \in \mathbb{Z}^{d \times n}$ by an *order preserving projection* $e : \mathbb{Z}^d \rightarrow \mathbb{Z}$, i.e. $w_{\mathfrak{v}} := e(M_{\mathfrak{v}})$ and $\text{in}_{w_{\mathfrak{v}}}(J) = \text{in}_{M_{\mathfrak{v}}}(J)$.

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$$\text{in}_{w_{\mathfrak{v}}}(J) \text{ is toric} \Leftrightarrow S(A, \mathfrak{v}) \text{ is finitely generated.}$$

Moreover, $\mathbb{C}[S(A, \mathfrak{v})] \cong \mathbb{C}[x_1, \dots, x_n]/\text{in}_{w_{\mathfrak{v}}}(J)$. ■

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Recall: \mathfrak{v} defines a filtration on A : $F_{m; \mathfrak{v}} := \{f \in A : \mathfrak{v}(f) \leq m\}$ for all $m \in \mathbb{Z}^d$ and \leq a fixed total order. A vector space basis \mathbb{B} of A is *adapted* to \mathfrak{v} if $\mathbb{B} \cap F_{m; \mathfrak{v}}$ is a vector space basis for each $F_{m; \mathfrak{v}}$.

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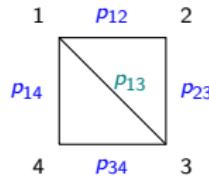
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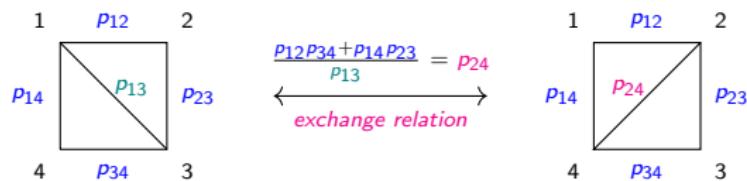
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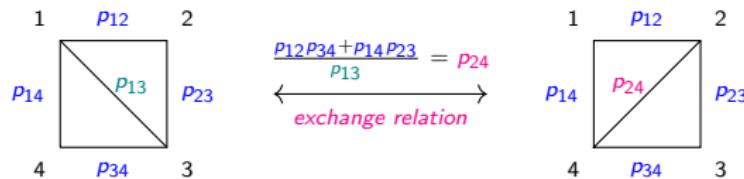
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Application: Toric degenerations via cluster algebras

Fix a seed s , then A can be endowed with *principal coefficients at s*

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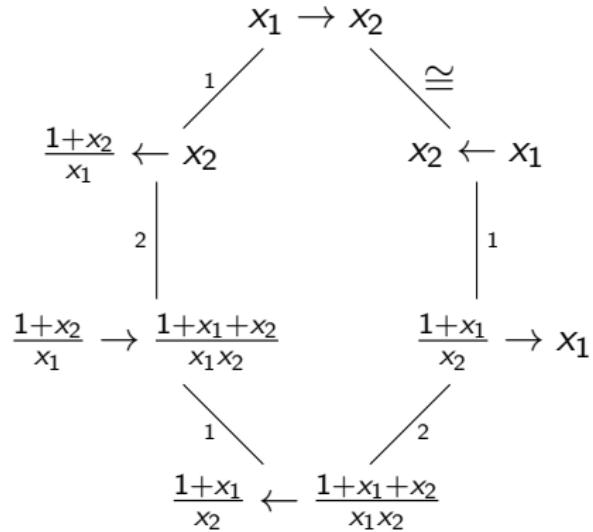
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↔ All these degenerations *share the ϑ -basis*, i.e. $A_s^{\text{prin}} = \bigoplus_{\vartheta \in \Theta} \vartheta$ for all s .

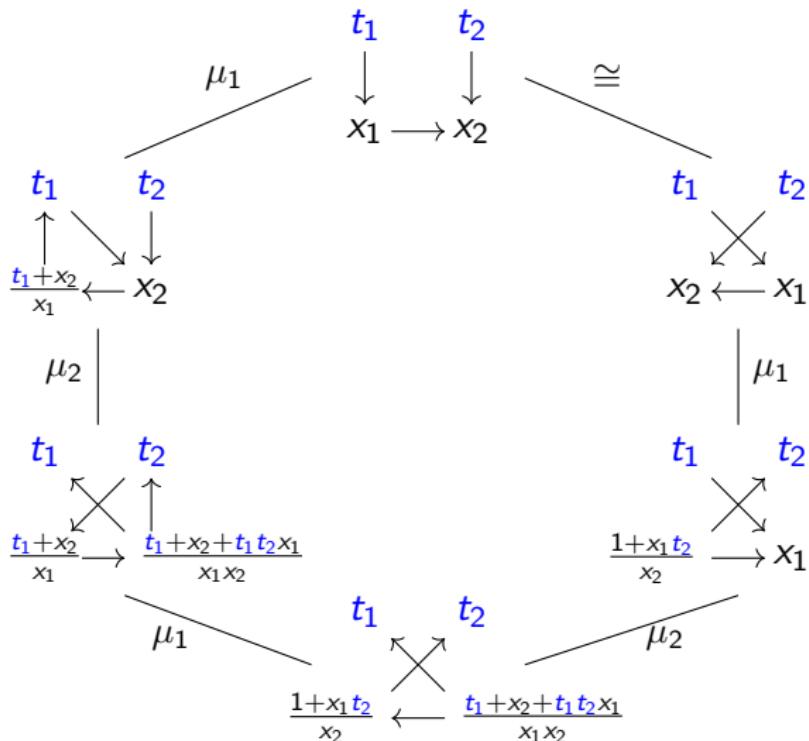
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$$A_{x_1 \rightarrow x_2} = \left\langle x_1, x_2, \frac{1+x_2}{x_1}, \frac{1+x_1+x_2}{x_1 x_2}, \frac{1+x_1}{x_2} \right\rangle \subset \mathbb{C}(x_1, x_2).$$

Example: principal coefficients



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- ⊖ A^{univ} is defined only *recursively*.

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For all seeds s of $A_{k,n}$ exists a full rank valuation $g_s : A_{k,n} \setminus \{0\} \rightarrow \mathbb{Z}^d$ and a basis called **ϑ -basis** adapted to all of them simultaneously. The **$cluster$** **$algebra with principal coefficients at s$** $A_{k,n}^{\text{prin},s}$ is a flat $\mathbb{C}[t_x : x \in s_{\text{mut}}]$ -algebra defining the toric degeneration.

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[Fomin–Zelevinsky]/[Reading]: \exists flat $\mathbb{C}[t_x : x \text{ m.c.v.}]$ -algebra $A_{k,n}^{\text{univ}}$ and projections $pr_s : \mathbb{C}[t_x : x \text{ m.c.v.}] \rightarrow \mathbb{C}[t_x : x \in s_{\text{mut}}]$ for all seeds s that extend to

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called ***coefficient specialization***.

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For each seed s we can apply the Motivating Theorem and get an ideal J_s and a Gröbner toric degeneration of J_s corresponding to $A_{k,n}^{\text{prin},s}$.

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Example: $A_{2,4}^{\text{prin},s} = \mathbb{C}[t_{13}][p_{12}, p_{23}, p_{34}, p_{14}, p_{13}, p_{24}] / (p_{13}p_{24} = t_{13}p_{12}p_{34} + p_{14}p_{23})$,
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For each seed s we can apply the Motivating Theorem and get an ideal J_s and a Gröbner toric degeneration of J_s corresponding to $A_{k,n}^{\text{prin},s}$.

Question: How are different J_s related and what is $A_{k,n}^{\text{univ}}$ in this context?

Gröbner fan and standard monomial bases

Definition/Proposition (Mora–Robbiano)

For a homogeneous ideal $J \subset \mathbb{C}[x_1, \dots, x_n]$ its *Gröbner fan* $GF(J)$ is \mathbb{R}^n with fan structure defined by

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For $C \in GF(J)$ a maximal cone $\text{in}_C(J)$ is generated by monomials. For every face $\tau \subseteq C$ we define

$$\mathbb{B}_{C,\tau} := \{\bar{x}^\alpha \in A_\tau \mid x^\alpha \notin \text{in}_C(J)\}.$$

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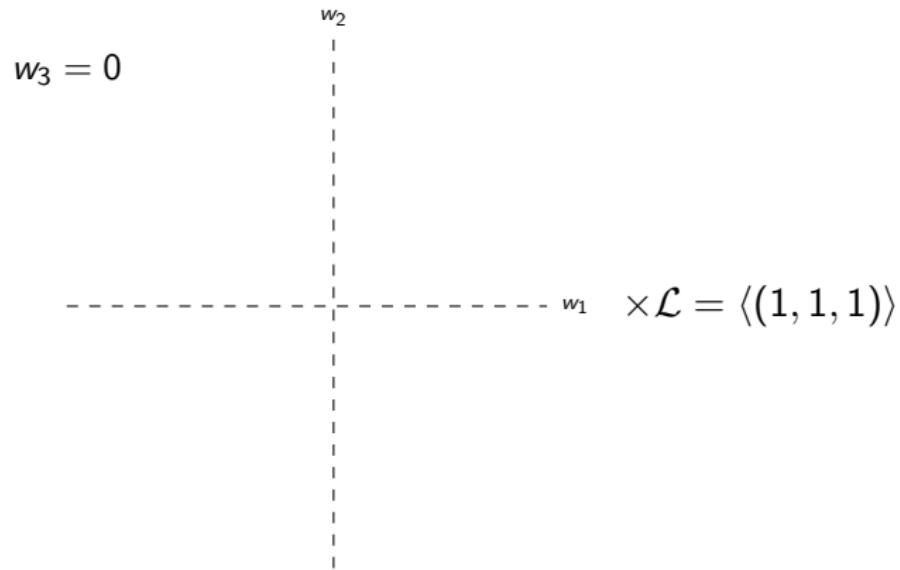
Then $\mathbb{B}_{C,\tau}$ is a vector space basis for A_τ called *standard monomial basis*.

Example

Take $J = (x_1^2x_2^2 + x_1^4 - x_2x_3^3) \subset \mathbb{C}[x_1, x_2, x_3]$. Then $GF(J)$ is \mathbb{R}^3 with the fan structure:

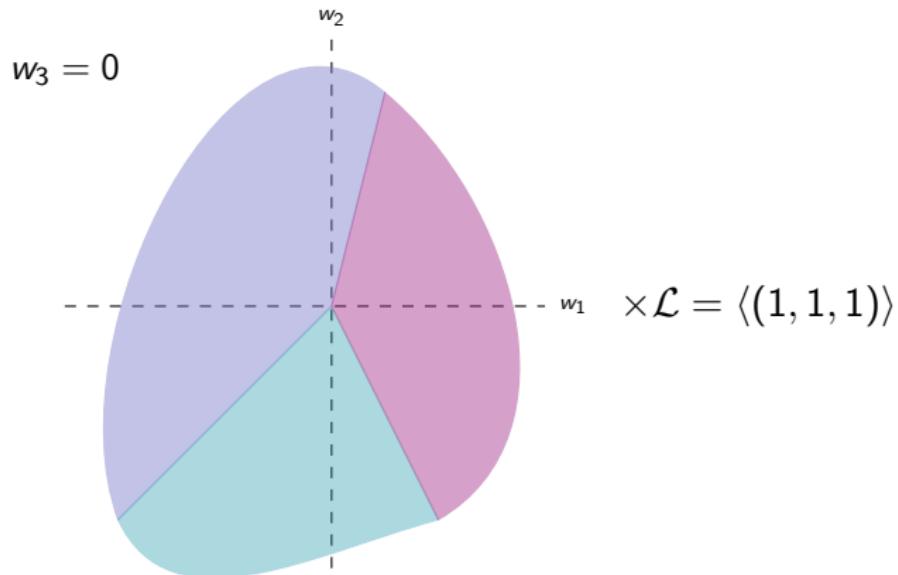
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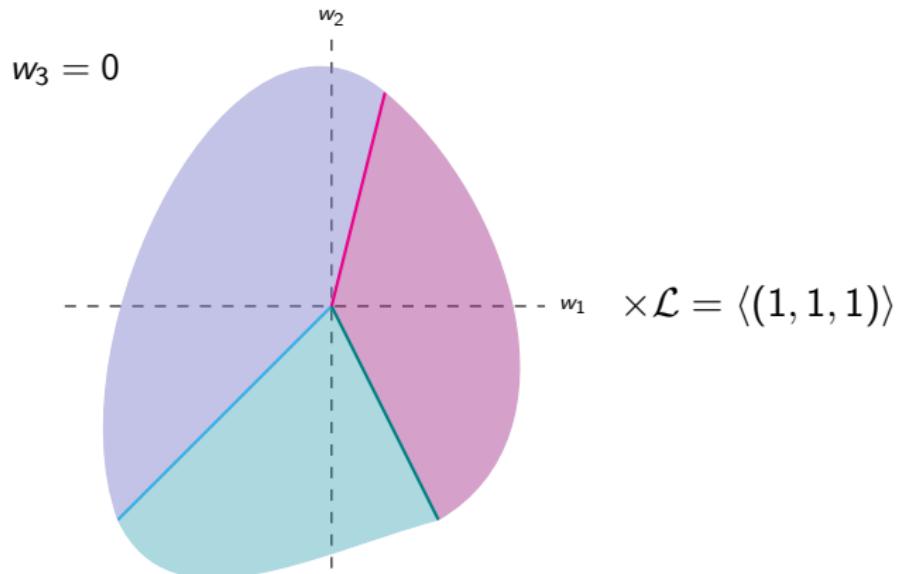
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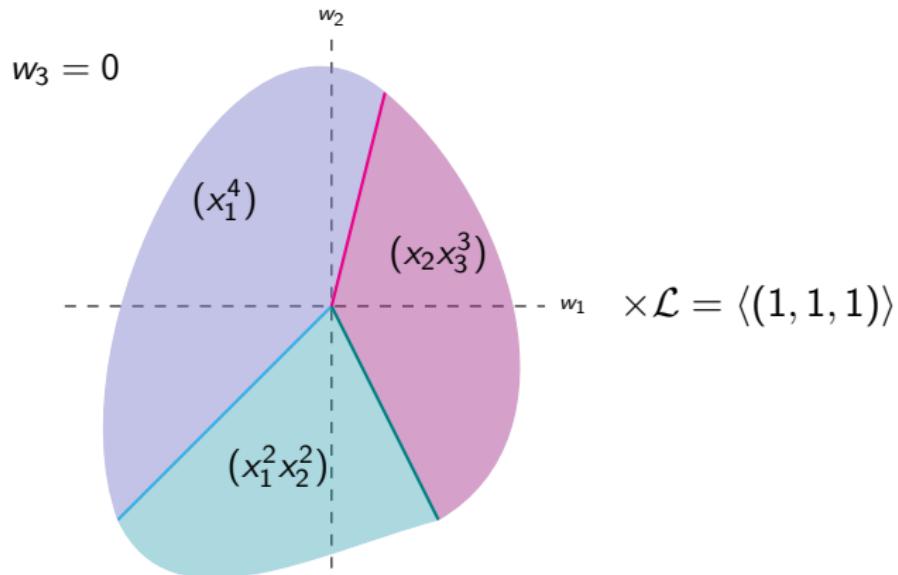
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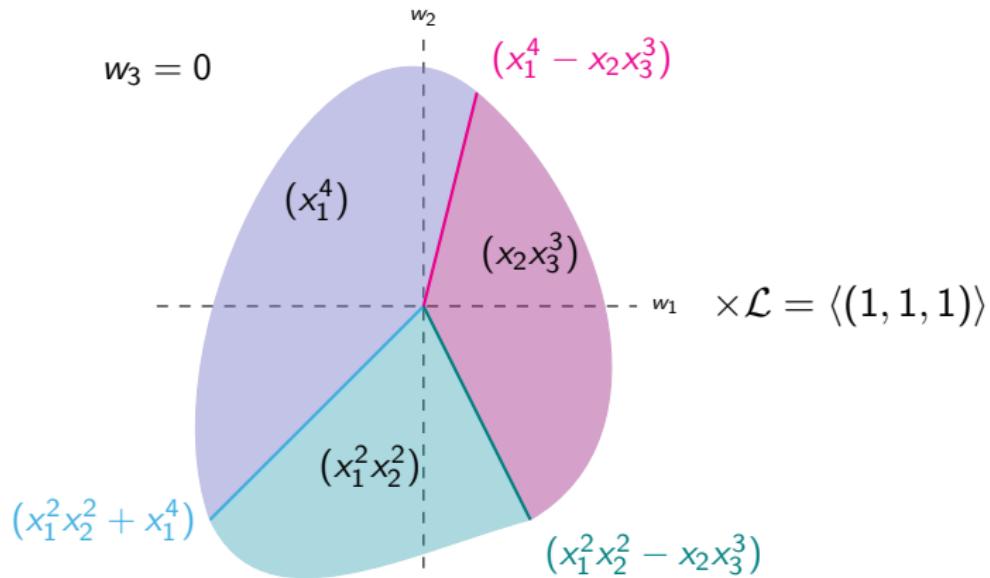
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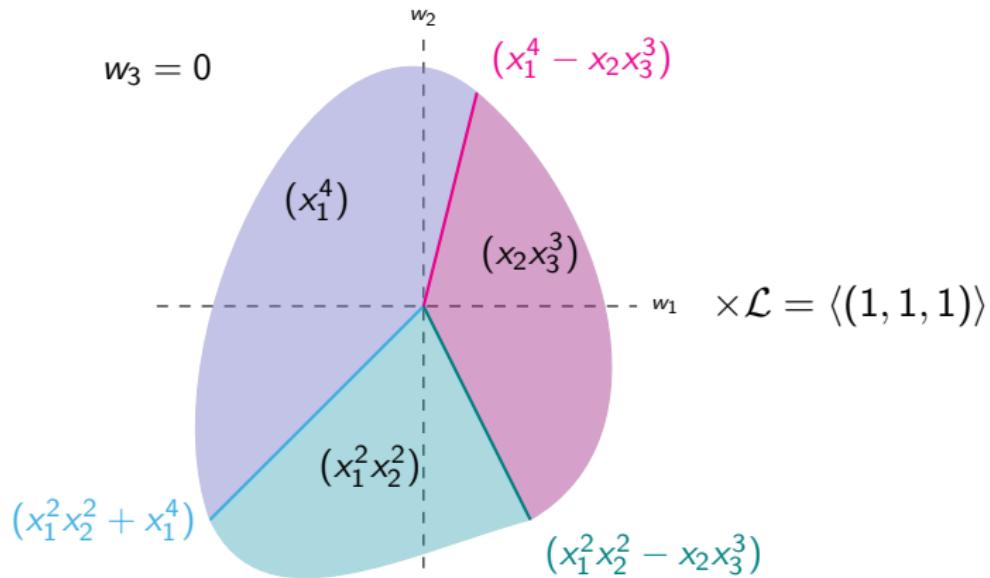
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E.g. $\mathbb{B}_{\langle r_1, r_2 \rangle} = \{\bar{x}^a : x_2x_3^3 \nmid x^a\}$ gives a basis for A, A_{r_1}, A_{r_2} and $A_{\langle r_1, r_2 \rangle}$.

Family of ideals

Let $C \in GF(J)$ be a maximal cone and choose r_1, \dots, r_m representatives of primitive ray generators of $\overline{C} \in GF(J)/\mathcal{L}$. Let \mathbf{r} be the matrix with rows r_1, \dots, r_m .

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$$\mu(f) := (\min_{c_\alpha \neq 0} \{r_1 \cdot \alpha\}, \dots, \min_{c_\alpha \neq 0} \{r_m \cdot \alpha\}) \in \mathbb{Z}^m.$$

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In $\mathbb{C}[t_1, \dots, t_m][x_1, \dots, x_n]$ the *lift* of f is

$$\tilde{f} := f(\mathbf{t}^{\mathbf{r} \cdot e_1} x_1, \dots, \mathbf{t}^{\mathbf{r} \cdot e_n} x_n) \mathbf{t}^{-\mu(f)} = \sum_{\alpha \in \mathbb{Z}_{\geq 0}^n} c_\alpha \mathbf{x}^\alpha \mathbf{t}^{\mathbf{r} \cdot \alpha - \mu(f)}.$$

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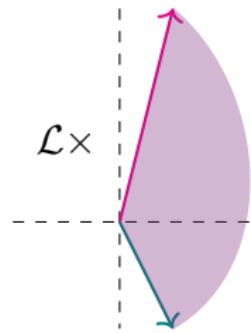
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Definition/Proposition

The *lifted ideal* $\tilde{J} := (\tilde{f} : f \in J) \subset \mathbb{C}[t_1, \dots, t_m][x_1, \dots, x_n]$ is generated by $\{\tilde{g} : g \in \mathcal{G}\}$, where \mathcal{G} is a *Gröbner basis* for J and C .

Example

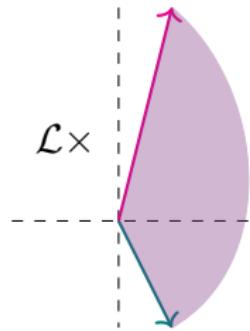
Take $f = x_1^2x_2^2 + x_1^4 + x_2x_3^3 \in \mathbb{C}[x_1, x_2, x_3]$ and consider in $GF((f))$ the maximal cone C spanned by the rows of $r := \begin{pmatrix} 1 & 4 & 0 \\ 1 & -2 & 0 \end{pmatrix}$ and \mathcal{L} .



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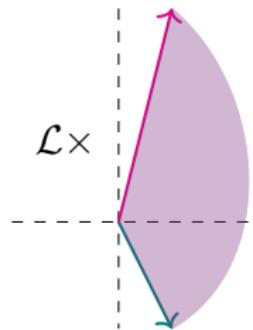
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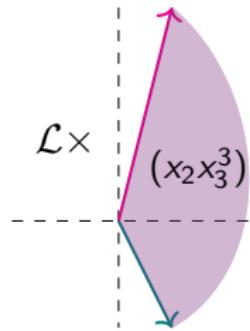


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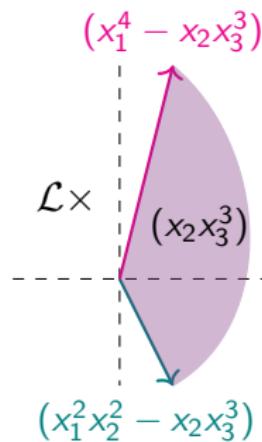


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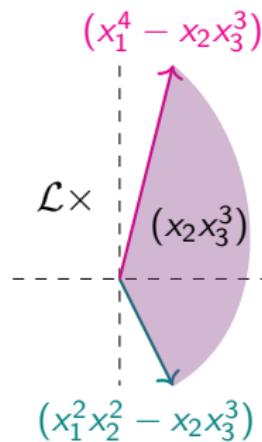


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Theorem

Let $\tilde{A} := \mathbb{C}[t_1, \dots, t_m][x_1, \dots, x_n]/\tilde{J}$ and recall $A_\tau = \mathbb{C}[x_1, \dots, x_n]/\text{in}_\tau(J)$.

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\tilde{A} is a free $\mathbb{C}[t_1, \dots, t_m]$ -module with basis \mathbb{B}_C and so the morphism

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The cluster variables of $A_{3,6}$ are Plücker coordinates and two more, so

$$A_{3,6} \cong \mathbb{C}[X, Y, p_{123}, \dots, p_{456}]/J_{3,6}$$

and $J_{3,6} \cap \mathbb{C}[p_{123}, \dots, p_{456}]$ is the Plücker ideal $I_{3,6}$.

A minimal generating set of $J_{3,6} \subset \mathbb{C}[p_{123}, \dots, p_{456}, X, Y]$:

$$\begin{aligned}
& p_{145}p_{236} - \textcolor{blue}{p_{123}p_{456}} - X, & p_{124}p_{356} - \textcolor{blue}{p_{123}p_{456}} - Y, \\
& p_{136}p_{245} - \textcolor{blue}{p_{126}p_{345}} - X, & p_{125}p_{346} - \textcolor{blue}{p_{126}p_{345}} - Y, \\
& p_{146}p_{235} - \textcolor{blue}{p_{156}p_{234}} - X, & p_{134}p_{256} - \textcolor{blue}{p_{156}p_{234}} - Y, \\
& p_{246}p_{356} - p_{346}p_{256} - p_{236}\textcolor{blue}{p_{456}}, & p_{245}p_{356} - \textcolor{blue}{p_{345}p_{256}} - p_{235}\textcolor{blue}{p_{456}}, \\
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& f = p_{135}p_{246} - \textcolor{blue}{p_{156}p_{234}} - Y - \textcolor{blue}{p_{123}p_{456}} - X - \textcolor{blue}{p_{126}p_{345}}.
\end{aligned}$$

Totally positive ideals

Reminder: $J \subset \mathbb{R}[x_1, \dots, x_n]$ is *totally positive* if $J \cap \mathbb{R}_{\geq 0}[x_1, \dots, x_n] = \emptyset$.

The *totally positive part* of $\text{Trop}(J)$ is

$$\text{Trop}^+(J) := \{w \in \text{Trop}(J) : \text{in}_w(J) \text{ totally positive}\}.$$

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 $\Leftrightarrow (\mathbb{R}_{>0})^n \cap V(\text{in}_w(I)) \neq \emptyset$ for some $w \in \mathbb{R}^n$.

Totally positive ideals

Reminder: $J \subset \mathbb{R}[x_1, \dots, x_n]$ is *totally positive* if $J \cap \mathbb{R}_{\geq 0}[x_1, \dots, x_n] = \emptyset$.

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Hence, $\text{Trop}^+(J) \subset \text{Trop}(J) \subset GF(J)$ are *closed subfans*.

Example: The initial ideal $(x_1^2 x_2^2 + x_1^4)$ is not totally positive, but
 $(x_1^2 x_2^2 - x_2 x_3^3)$ and $(x_1^4 - x_2 x_3^3)$ are.

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[Ilten–Nájera Chávez–Treffinger]: generalized (1) for graded cluster algebras of finite type and (2)/(3) for ADE types.

The reduced Gröbner basis of $J_{3,6}$ for C consists contains the above minimal generating set and additionally the following elements:

$$\begin{array}{ll}
 p_{235}Y - p_{125}\textcolor{blue}{p_{234}p_{356}} - \textcolor{blue}{p_{123}p_{256}p_{345}}, & p_{134}X - p_{136}p_{145}\textcolor{blue}{p_{234}} - \textcolor{blue}{p_{123}p_{146}p_{345}}, \\
 p_{146}Y - p_{124}\textcolor{blue}{p_{156}p_{346}} - \textcolor{blue}{p_{126}p_{134}p_{456}}, & p_{256}X - \textcolor{blue}{p_{156}p_{236}p_{245}} - \textcolor{blue}{p_{126}p_{235}p_{456}}, \\
 p_{136}Y - \textcolor{blue}{p_{123}p_{156}p_{346}} - \textcolor{blue}{p_{126}p_{134}p_{356}}, & p_{346}X - p_{136}\textcolor{blue}{p_{234}p_{456}} - p_{146}p_{236}\textcolor{blue}{p_{345}}, \\
 p_{245}Y - p_{125}\textcolor{blue}{p_{234}p_{456}} - p_{124}p_{256}\textcolor{blue}{p_{345}}, & p_{125}X - \textcolor{blue}{p_{123}p_{156}p_{245}} - \textcolor{blue}{p_{126}p_{145}p_{235}}, \\
 p_{145}Y - p_{125}p_{134}\textcolor{blue}{p_{456}} - p_{124}\textcolor{blue}{p_{156}p_{345}}, & p_{124}X - \textcolor{blue}{p_{126}p_{145}p_{234}} - \textcolor{blue}{p_{123}p_{146}p_{245}}, \\
 p_{236}Y - \textcolor{blue}{p_{126}p_{234}p_{356}} - \textcolor{blue}{p_{123}p_{256}p_{346}}, & p_{356}X - p_{136}p_{235}\textcolor{blue}{p_{456}} - \textcolor{blue}{p_{156}p_{236}p_{345}}, \\
 p_{135}Y - p_{125}p_{134}p_{356} - \textcolor{blue}{p_{123}p_{156}p_{345}}, & p_{135}X - p_{136}p_{145}p_{235} - \textcolor{blue}{p_{123}p_{156}p_{345}}, \\
 p_{246}Y - p_{124}p_{256}p_{346} - \textcolor{blue}{p_{126}p_{234}p_{456}}, & p_{246}X - p_{146}p_{236}p_{245} - \textcolor{blue}{p_{126}p_{234}p_{456}}.
 \end{array}$$

$$g = XY - \textcolor{blue}{p_{123}p_{156}p_{246}p_{345}} - \textcolor{blue}{p_{126}p_{135}p_{234}p_{456}} - \textcolor{blue}{p_{126}p_{156}p_{234}p_{345}} - \textcolor{blue}{p_{123}p_{156}p_{234}p_{456}} - \textcolor{blue}{p_{123}p_{126}p_{345}p_{456}}.$$

The first monomial of each relation lies in $\text{in}_C(J_{3,6})$.

Arbitrary Grassmannians (in progress)

[GHKK 18]/[Fujita–Oya 20]/[B.–Cheung–Magee–Nájera Chávez]:

$$\begin{array}{ccc} s \text{ seed} & \rightsquigarrow & \text{valuation} \\ \text{of } A_{k,n} & & g_s : A_{k,n} \setminus \{0\} \rightarrow \mathbb{Z}^d \end{array} \rightsquigarrow \begin{array}{c} \text{toric degeneration} \\ \text{of } \text{Gr}(k,n) \end{array}$$

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Conjecture (B.)

For every seed s of $A_{k,n}$ exists a *maximal prime cone* τ_s in $\text{Trop}^+(J)$ for an appropriate ideal J with $A_{k,n} \cong k[x_1, \dots, x_m]/J$,

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Remark: J is *appropriate* for s if $S(A_{k,n}, g_s) = \langle g_s(\bar{x}_1), \dots, g_s(\bar{x}_m) \rangle$.

Examples

- ① For every seed s of $A_{2,n}$

$$S(A_{2,n}, g_s) = \langle g_s(p_{ij}) : 1 \leq i < j \leq n \rangle,$$

so the Plücker ideal is appropriate for all seeds.

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- ② For $A_{3,6}$ there exist seeds s for which $g_s(x)$ or $g_s(y)$ is not in $\langle g_s(p_{ijk}) : 1 \leq i < j < k \leq 6 \rangle$,

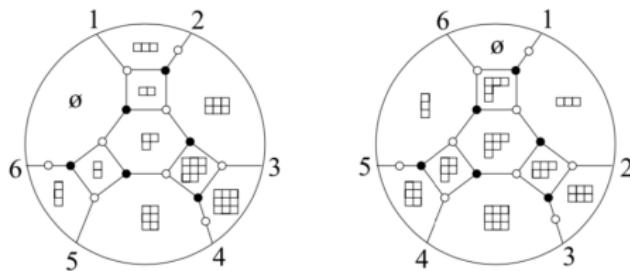
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so the Plücker ideal is *not* appropriate for all seeds.

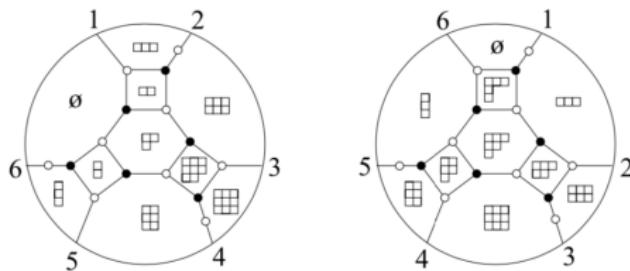
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so the Plücker ideal is *not* appropriate for all seeds.

- ③ Conjecturally, the ideal J presenting a finite type cluster algebra A w.r.t all cluster variables is appropriate for all seeds.

Thank you!

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Combinatorics of $C \in GF(J_{3,6})$

Let $\{e_{123}, \dots, e_{456}, e_x, e_y\}$ be the standard basis of \mathbb{R}^{22} and

$$E_i := \sum_{k,j \neq i} e_{ijk} + e_x + e_y.$$

The *lineality space* of $GF(J_{3,6})$ is $\mathcal{L} = \langle E_1, \dots, E_6 \rangle$. Let $f_{i,j} := \sum_{k \notin \{i,j\}} e_{ijk}$ and $\pi : \mathbb{R}^{22} \rightarrow \mathbb{R}^{20}$ away from e_x, e_y .

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#	rays of C/\mathcal{L}	projections
6	$a_i := e_{i,i+1,i+2}$	$e_{i,i+1,i+2}$
6	$b_i := f_{i,i+1} + \delta_{i \text{ odd}} e_y + \delta_{i \text{ even}} e_x$	$f_{i,i+1}$
2	$c_i^- := b_i + e_{i-2,i-1,i} + e_{i-2,i-1,i+1}$	$g_{i,i+1,i+2,i-3,i-2,i-1}$
2	$c_i^+ := b_i + e_{i,i+2,i+3} + e_{i+1,i+2,i+3}$	$g_{i+2,i+1,i,i-3,i-2,i-1}$

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Notice: $c_i^\pm = c_j^\pm \bmod \mathcal{L}$ if $i = j \pmod 2$ and

$$g_{i,i+1,i+2,i-3,i-2,i-1} + g_{i+2,i+1,i,i-3,i-2,i-1} = f_{i+1,i+2} + f_{i-1,i} + f_{i-2,i-3}$$

Combinatorics of $C \in GF(J_{3,6})$

The ideal $J_{3,6}$ is invariant under the action of $G := \langle (123456), w_0 \rangle \subset \mathfrak{S}_6$, so $GF(J_{3,6})$ has an induced *G-action*.

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#	G -orbit of max cone in $C \cap \text{Trop}(J_{3,6})$	type of projection	#
18	$\{a_i, a_{i-2}, b_{i-2}, b_{i+3}\}$	EEFF	180
12	$\{a_i, c_i^\pm, b_{i-2}, b_{i+4}\}$	EFFG	180
12	$\{a_i, a_{i+2}, b_i, c_i^+\}$ or $\{a_i, a_{i-2}, b_{i+1}, c_{i+1}^-\}$	EEFG	360
4	$\{a_{i-2}, a_i, a_{i+2}, c_i^\pm\}$	EEEG	240
4	$\{b_{i-2}, b_i, b_{i+2}, c_i^\pm\}$	FFF GG ³	15

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The type of projected cone refers to the \mathfrak{S}_6 -orbits in $\text{Trop}(I_{3,6})$, respectively $\text{Trop}^+(I_{3,6})$, as used [SS04]&[BCL17], the number is the number of maximal cones in $\text{Trop}(I_{3,6})$ of this type.

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