# Derived Canonical Algebras as One-Point Extensions

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ABSTRACT. Canonical algebras have been intensively studied, see for example [12], [3] and [11] among many others. We are interested in the question when a one-point extension of a finite-dimensional algebra  $\Sigma$  by a  $\Sigma$ -module M is derived canonical, i.e. derived equivalent to a canonical algebra. We give necessary conditions on the algebra  $\Sigma$  and the module M. If the canonical algebra associated with  $\Sigma$  is tame the conditions are even sufficient. As a further result we obtain that, if  $\Sigma$  is derived canonical then the one-point extension of  $\Sigma$  by M is derived canonical again if and only if M is derived simple, i.e. M is indecomposable and belongs to the mouth of a tube in the

### 1. Prerequisites

We work over an algebraically closed base field k. By algebra we mean always a basic, finite dimensional k-algebra and by module we mean finitely generated right module. For an algebra  $\Sigma$  we denote by mod  $\Sigma$  the category of  $\Sigma$ -modules.

An algebra  $\Sigma$  which is derived equivalent to a canonical algebra will be called *derived canonical*. This terminology replaces the less suggestive term quasi-canonical used in [10]. For each derived canonical algebra there exists a weighted projective line  $\mathbb{X} = \mathbb{X}(\underline{p}, \underline{\lambda})$  such that the (bounded) derived category  $D^b(\Sigma)$  of mod  $\Sigma$  is equivalent to the (bounded) derived category of coh  $\mathbb{X}$ , the category of coherent sheaves over  $\mathbb{X}$ , see [3]. Two derived canonical algebras are derived equivalent if and only if their associated weighted projective lines are isomorphic, see [4] and [10] for further details. Quite important information on  $\mathbb{X}$ , the weight type  $\underline{p} = (p_1, \ldots, p_t)$ , can already be read from the Coxeter polynomial of  $\Sigma$  which has the shape

$$(T-1)^2 \cdot \prod_{i=1}^t \frac{T^{p_i} - 1}{T-1}.$$

Note that, if  $t \leq 3$ , then X is completely determined by its weight type. The genus of X, hence of  $\Sigma$ , defined by

$$g_{\mathbb{X}} = g_{\Sigma} := 1 + \frac{1}{2} \left( (t-2)p - \frac{p}{p_1} - \dots - \frac{p}{p_t} \right), \text{ where } p = \text{l.c.m.}(p_1, \dots, p_t),$$

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bounded derived category of  $\Sigma$ -modules.

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determines the representation type of  $\operatorname{coh} \mathbb{X}$  and of the canonical algebra  $\Lambda$  associated with  $\mathbb{X}$ , see [3]: for  $g_{\mathbb{X}} \leq 1$  both  $\operatorname{coh} \mathbb{X}$  and  $\Lambda$  are tame, whereas  $\operatorname{coh} \mathbb{X}$  and  $\Lambda$  are wild if  $g_{\mathbb{X}} > 1$ . If  $g_{\mathbb{X}} = 1$  the algebra  $\Lambda$  is *tubular*, see [12, 10]. This happens if and only if the weight type is — up to permutation — one of (2, 2, 2, 2), (3, 3, 3), (2, 4, 4) or (2, 3, 6). Note, in this context, that passing from the canonical algebra  $\Lambda$  to a derived equivalent algebra  $\Sigma$  may simplify the representation type.

For a hereditary category  $\mathcal{C}$  like  $\operatorname{coh} X$  or  $\operatorname{mod} H$ , H hereditary, the derived category  $\operatorname{D}^{b}(\mathcal{C}) = \bigvee_{n \in \mathbb{Z}} \mathcal{C}[n]$ , the additive closure of the union of all  $\mathcal{C}[n]$ 's, is known as well as  $\mathcal{C}$ . Here, each  $\mathcal{C}[n]$  is a copy of  $\mathcal{C}$  with objects written X[n],  $X \in \mathcal{C}$ , and morphisms are given by

$$\operatorname{Hom}_{\operatorname{D}^{b}(\mathcal{C})}(X[m], Y[n]) = \operatorname{Ext}_{\mathcal{C}}^{n-m}(X, Y)$$

Note that we have natural identifications for the Grothendieck-groups

$$\mathbf{K}_{0}(\mathbb{X}) = \mathbf{K}_{0}(\Sigma) = \mathbf{K}_{0}(\mathbf{D}^{b}(\Sigma)),$$

and that the identifications preserve the *Euler forms* given on classes of  $\Sigma$ -modules (coherent sheaves, objects from the derived category, respectively) by the formula

$$\langle [X], [Y] \rangle = \sum_{i=-\infty}^{\infty} (-1)^{i} \dim_{k} \operatorname{Hom}(X, Y[i]).$$

Let A be an algebra of finite global dimension. We recall from [5] that the bounded derived category  $D^b(A)$  of finite dimensional A-modules has Auslander-Reiten triangles. We say that an indecomposable A-module M is derived peripheral if the "middle term" E of the Auslander-Reiten triangle  $\tau M \to E \to M \to \tau M[1]$ is indecomposable. An indecomposable A-module M is further called derived simple or also derived simple regular, if M is derived peripheral and  $\tau$ -periodic for the Auslander-Reiten translation  $\tau$  of  $D^b(A)$ . Moreover, still assuming that M is indecomposable, we call M derived quasi-simple if M is derived peripheral and lies in a component of the form  $\mathbb{Z}\mathbb{A}_{\infty}$  in  $D^b(A)$ .

Assuming that  $\Sigma$  is derived canonical, it follows from [3, 11] and [10] that M is derived simple if and only if there exists a self-equivalence  $\varphi$  of  $D^b(\Sigma) = D^b(\operatorname{coh} \mathbb{X})$ and a simple sheaf S on  $\mathbb{X}$  such that  $M = \varphi(S)$ . For  $g_{\Sigma} \neq 1$  the situation simplifies, and we may choose  $\varphi$  to be a translation functor  $X \mapsto X[n]$ .

Assuming that the algebra  $\Sigma$  is derived equivalent to a wild hereditary algebra H, a  $\Sigma$ -module M is derived quasi-simple if and only if there exists an integer n such that M[n] is a regular H-module of quasi-length one.

For a representation-finite connected hereditary algebra H we fix an identification of the Auslander-Reiten quiver of  $D^b(H)$  with the translation quiver  $\mathbb{Z}\Delta$  of the Dynkin diagram  $\Delta$  attached to H. Relative to such an identification we define the *derived type* of an indecomposable  $\Sigma$ -module, with  $\Sigma$  derived equivalent to H, as the vertex v of  $\Delta$  such that M belongs to the  $\tau$ -orbit of v in  $\mathbb{Z}\Delta$ .

#### 2. Derived canonical one-point extensions

Given an algebra  $\Sigma$  and a  $\Sigma$ -module M, we will consider the *one-point sink* extension or just sink extension  $[M]\Sigma$  which is given as

$$[M]\Sigma = \begin{bmatrix} \Sigma & 0\\ M & k \end{bmatrix}$$

with the corresponding matrix operations. Dually we define the one-point source extension or just source extension  $\Sigma[M]$  (they are also called one-point coextension and one-point extension in the literature).

We are now going to investigate when a one-point extension is derived canonical. Since an algebra is derived canonical if and only if its opposite algebra is it suffices to investigate under which conditions a sink extension  $[M]\Sigma$  is derived canonical.

We need to introduce some notation for the special case where  $\Sigma$  is derived equivalent to  $k[\mathbb{A}_n]$ , i.e.  $\Sigma$  is a branch with n points, see [8, 1]. In this case, the indecomposable objects in the derived category  $D^b(\Sigma)$  form a single Auslander-Reiten component of type  $\mathbb{Z}\mathbb{A}_n$ . A slice S in  $D^b(\Sigma)$  is called a (p,q)-slice if in the quiver of S, there are p arrows pointing upwards and the remaining n - p - 1 = qarrows point downwards.



In the above figure, we have marked a (1, 4)-slice, and the two peripheral objects belonging to that slice.

Back in the general situation, where we consider the sink extension  $[M]\Sigma$ , we denote by  $\overline{M}$  the indecomposable projective module corresponding to the sink vertex of  $\overline{\Sigma} = [M]\Sigma$ , thus the radical of  $\overline{M}$  is just M. We view mod  $\Sigma$  as the full exact subcategory of mod  $\overline{\Sigma}$  consisting of all  $\overline{\Sigma}$ -modules X with  $\operatorname{Hom}_{\overline{\Sigma}}(\overline{M}, X) = 0$ . The inclusion mod  $\Sigma \subset \operatorname{mod} \overline{\Sigma}$  induces an inclusion  $D^b(\Sigma) \subset D^b(\overline{\Sigma})$ . In particular, identifying modules with stalk complexes in the corresponding derived category, mod  $\Sigma$  and mod  $\overline{\Sigma}$  become full subcategories of  $D^b(\overline{\Sigma})$ .

THEOREM 1. Let  $\Sigma$  be an algebra and M a  $\Sigma$ -module. Assume that  $\overline{\Sigma} = [M]\Sigma$ is derived canonical. Then M is the middle term of the Auslander-Reiten triangle  $\tau \overline{M} \to M \to \overline{M} \to \tau \overline{M}[1]$  in  $D^b(\overline{\Sigma})$  associated with the projective module  $\overline{M}$ attached to the sink vertex of  $\overline{\Sigma}$ .

If further  $\Sigma = \Sigma_1 \times \cdots \times \Sigma_s$  is the decomposition of  $\Sigma$  into connected algebras, and  $M = M_1 \times \cdots \times M_s$  is the corresponding decomposition of M into  $\Sigma_i$ -modules  $M_i$ , then  $s \leq 4$  and exactly one of the following cases happens:

1. a.  $\Sigma$  is derived equivalent to  $k[\mathbb{A}_{\ell}]$  and  $M = M' \oplus M''$  is the direct sum of two indecomposable modules M' and M'' forming the periphery of a (p-1, q-1)-slice,  $\ell = p + q - 1$ , of the component  $\mathbb{Z}\mathbb{A}_{\ell}$  of  $D^b(\Sigma)$ . Conversely, for each such choice for  $\Sigma$  and M the sink extension  $[M]\Sigma$ is derived canonical of weight type (p, q).

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weight type( $[M]\Sigma$ )	hereditary type of $[M]\Sigma$	derived type of $(\Sigma, M)$
(p,q)		•
(2, 2, 2)	X	•
(2, 2, n)		••••••
		·
		•~
(2, 3, 3)		
	● →□ <del>←</del> → □ → □ → □ → □ → □ → □ → □ → □ → □ →	•
		~
(2, 3, 4)		
		•
		•
		0-0-0-0-0-0
(2, 3, 5)		
	●→□< ●	•
	└╺──°── <del>╸</del> │	•
	╺━╍━╍━╍━━	┝━━━━━━━━━━━━━━━━━
L		L

**Table 1:** Choices for  $(\Sigma_i, M_i)$ 

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b. Each  $\Sigma_i$  is derived equivalent to a representation-finite connected hereditary algebra  $H_i$ , and each  $M_i$  is an indecomposable  $\Sigma_i$ -module, where the Dynkin types for the  $\Sigma_i$ 's and the derived types for the  $M_i$ 's are listed in the table below.

Conversely, for each choice of  $(\Sigma, M)$  conforming to the table, the sink extension  $[M]\Sigma$  is derived canonical with the type given by the first column of the table.

- 2.  $\Sigma = \Sigma_1 \times \Sigma_2$ , where  $\Sigma_1 \neq 0$  is derived canonical and  $\Sigma_2$  is derived equivalent to a hereditary algebra of type  $\mathbb{A}_{\ell}$ ,  $0 \leq \ell$ . Moreover,  $M_1$  is derived simple over  $\Sigma_1$ , and - if  $\Sigma_2$  is non-zero - the  $\Sigma_2$ -module  $M_2$  is derived peripheral. Conversely, for each such choice of  $(\Sigma, M)$ , the sink extension  $[M]\Sigma$  is derived canonical of weight type  $(p_1, \ldots, p_{t-1}, p_t + \ell)$ , if  $\Sigma_1$  has weight type  $(p_1, \ldots, p_t)$  and  $M_1$  has  $\tau$ -period  $p_t$  in the derived category.
- 3.  $\Sigma = \Sigma_1 \times \Sigma_2$ , where  $\Sigma_1 \neq 0$  is derived equivalent to a connected wild hereditary algebra H, and  $\Sigma_2$  is derived equivalent to a hereditary algebra of type  $\mathbb{A}_{\ell}, 0 \leq \ell \leq 5$ . Moreover, the  $\Sigma_1$ -module  $M_1$  is derived quasi-simple, and if  $\Sigma_2$  is non-zero — the  $\Sigma_2$ -module  $M_2$  is derived peripheral.

Concerning statement 3 it is an interesting open question when the sink extension  $[M]\Sigma$  of a wild hereditary algebra  $\Sigma$  by a regular quasi-simple module M is derived canonical. The problem is related to the question when sink and source extension algebras are quasi-tilted [6].

PROOF. The first assertion is proved in [2]. Next we show that the conditions listed in 1, 2 and 3 are necessary, and exhaust all possible cases. Since  $\overline{\Sigma}$  is derived canonical, there is a weighted projective line  $\overline{\mathbb{X}}$  such that  $\overline{\Sigma}$  can be realized as a *tilting complex* in the derived category  $D^b(\overline{\mathcal{C}})$ , where  $\overline{\mathcal{C}} = \operatorname{coh} \overline{\mathbb{X}}$ , i.e.  $\overline{\Sigma}$  is a full subcategory of  $D^b(\overline{\mathcal{C}})$  consisting of indecomposable objects  $\overline{\Sigma}_j$ ,  $j = 1, \ldots, n+1$ , which satisfy the condition

 $\operatorname{Hom}_{\mathbb{D}^b(\overline{C})}(\overline{\Sigma}_i, \overline{\Sigma}_j[m]) = 0 \text{ for all } m \in \mathbb{Z} \setminus \{0\} \text{ and all } i, j = 1, \dots, n+1$ 

and generate  $D^{b}(\overline{C})$  as a triangulated category. In the present setting this latter condition is satisfied if and only if n + 1 equals the rank of  $K_0(\overline{C})$ .

We denote by  $\overline{M}$  the indecomposable object  $\overline{\Sigma}_{n+1}$  corresponding to the coextension vertex, and by  $\Sigma$  the full subcategory consisting of  $\overline{\Sigma}_1, \ldots, \overline{\Sigma}_n$ . By translation we may moreover assume that  $\overline{M}$  lies in  $\overline{C}$ . Notice that  $\overline{M}$  is an *exceptional object* of  $\overline{C}$ , i.e. has trivial endomorphism ring and no self-extensions. Let  $\mathcal{H} = \overline{M}_{\overline{C}}^{\perp}$  denote the perpendicular category

$$\mathcal{H} = \left\{ X \in \overline{\mathcal{C}} \mid \operatorname{Hom}_{\overline{\mathcal{C}}}(\overline{M}, X) = 0 = \operatorname{Ext}_{\overline{\mathcal{C}}}^{1}(\overline{M}, X) \right\}$$

of  $\overline{M}$  formed in  $\overline{\mathcal{C}}$ , and let  $\mathcal{D} = \overline{M}_{D^{b}(\overline{\mathcal{C}})}^{\perp}$  denote the perpendicular category

$$\mathcal{D} = \left\{ X \in \mathrm{D}^{b}\left(\overline{\mathcal{C}}\right) \mid \mathrm{Hom}_{\mathrm{D}^{b}\left(\overline{\mathcal{C}}\right)}(\overline{M}, X[m]) = 0 \text{ for all } m \in \mathbb{Z} \right\}$$

of  $\overline{M}$  formed in the derived category  $D^b(\overline{\mathcal{C}})$ . It is easily checked that  $\mathcal{H}$  is an abelian hereditary category, and that  $\mathcal{D} = \bigvee_{n \in \mathbb{Z}} \mathcal{H}[n]$ . Moreover,  $\Sigma$  is a tilting complex in  $\mathcal{D}$ .

The structure of  ${\mathcal H}$  is given as follows:

Case (i): If  $\overline{M}$  has finite length n, then  $\overline{M}$  lies in an exceptional tube and  $\mathcal{H} \cong \mathcal{C} \times \mod H$ , where  $\mathcal{C} = \operatorname{coh} \mathbb{X}$  for a weighted projective line of a weight type

dominated by the weight type of  $\overline{\mathbb{X}}$  and where  $H = k[1 \to 2 \to \cdots \to n-1]$ . This follows from [4, Thm. 9.5] invoking an argument of Strauß [13]. The figure below shows the relevant part of the component of  $D^b(\overline{\mathcal{C}})$  containing  $\overline{M}$ :



Here, the indecomposable *H*-modules form the subwing with "top"  $M_2$ . According to the decomposition  $D^b(\mathcal{H}) = D^b(\mathcal{C}) \times D^b \pmod{H}$ , the algebra  $\Sigma$  decomposes into two connected algebras  $\Sigma_1$  and  $\Sigma_2$ , where  $\Sigma_1$  is a tilting complex in  $D^b(\mathcal{C})$  and  $\Sigma_2$  is a tilting complex in  $D^b \pmod{H}$ . Note that  $M_2$  is derived peripheral over H, hence over  $\Sigma_2$ .

Further the object  $M_1$  at the top of the figure is a simple object in C, and therefore  $M_1$  becomes a derived simple  $\Sigma_1$ -module. This proves the first part of the statement 2.

Case (ii):  $\overline{M}$  is an exceptional vector bundle in  $\operatorname{coh} \overline{X}$ . Here, it follows from [7] that  $\mathcal{H}$  is equivalent to a module category over a (not necessarily connected) hereditary algebra. For a more complete analysis, we need to distinguish the various representation types for  $\overline{\mathcal{C}} = \operatorname{coh} \overline{X}$ :

1.  $\overline{\mathbb{X}}$  has genus < 1, i.e. the weight type  $\Delta = (p, q, r)$  is of Dynkin type. Here the vector bundles form one component of type  $\mathbb{Z}\overline{\Delta}$ , where  $\overline{\Delta}$  is the extended Dynkin diagram corresponding to  $\Delta$ . To calculate the perpendicular category of  $\overline{M}$  in  $D^b(\overline{\Sigma})$  we choose a slice  $\overline{H}$  of  $\mathbb{Z}\overline{\Delta}$  such that  $\overline{M}$  becomes a sink in  $\overline{H}$ , which is a tilting object of  $\overline{\mathcal{C}}$  whose endomorphism ring, here identified with  $\overline{H}$ , is a tame hereditary algebra. Since  $D^b(\overline{\mathcal{C}}) = D^b \pmod{\overline{H}}$ , the perpendicular category of  $\overline{M}$  in  $D^b(\overline{\mathcal{C}})$  equals the derived category of  $\overline{M}_{\text{mod}\overline{H}}^{\perp}$ . This category  $\overline{M}_{\text{mod}\overline{H}}^{\perp}$  is equivalent to the module category of a not necessarily connected hereditary algebra H, whose indecomposable objects consist of the objects of the slice  $\overline{H}$  different from  $\overline{M}$ . The arising cases for H are listed in the table. Moreover, the almost-split sequence  $0 \to \tau \overline{M} \to \overline{M} \to \overline{0}$  in  $\overline{\mathcal{C}}$ , obtained from the first assertion, yields the derived types of the  $M_i$ ,  $M = \bigoplus_{i=1}^s M_i$ , as marked in the table. Since

$$M_{\overline{\mathcal{D}}}^{\perp} = \mathcal{D}^{b}\left(\overline{M}_{\mathrm{mod}}^{\perp}\overline{H}\right) = \mathcal{D}^{b}\left(\mathrm{mod}\,H\right) = \prod_{i=1}^{s} \mathcal{D}^{b}\left(\mathrm{mod}\,H_{i}\right)$$

the tilting complex  $\Sigma$  decomposes into s connected pieces  $\Sigma_i$ , where each  $\Sigma_i$  as a tilting complex in  $D^b \pmod{H_i}$  is derived-equivalent to  $H_i$ . This shows the first part of statement 1.

- 2.  $\overline{\mathbb{X}}$  has genus one. By an automorphism of the derived category we can in this case achieve that  $\overline{M}$  has finite length, see [10]. The assertion thus reduces to case (i).
- 3.  $\overline{\mathbb{X}}$  has genus > 1. In this case,  $\overline{M}$  belongs to a component of  $\overline{\mathcal{C}}$  having type  $\mathbb{Z}\mathbb{A}_{\infty}$  [11], and it is known that the quasi-length  $\ell$  of  $\overline{M}$  is at most 5 [loc. cit.]. Invoking arguments of [13], it further follows from [7] that  $\overline{M}_{\overline{\mathcal{C}}}^{\perp}$  is equivalent to the product of mod  $A_{\ell-1}$ , where  $A_{\ell-1} = k[1 \to \cdots \to \ell 1]$ , with the module category mod H over a connected wild hereditary algebra H. Accordingly  $\Sigma$  decomposes into a product  $\Sigma_1 \times \Sigma_2$ , where  $\Sigma_1$  is connected and derived wild hereditary, and where  $\Sigma_2$  is derived equivalent to  $A_{\ell-1}$ , i.e. a branch in the sense of [8, 1]. Following arguments of [13] and [9] it follows moreover that  $M_1$  is derived quasi-simple and  $M_2$  is derived peripheral. This proves the first part of the statement 3.

Now we show the second part of the statements 1 and 2. So, first let  $\Sigma$  be derived equivalent to  $k[\mathbb{A}_{\ell}]$  and  $M = M' \oplus M''$  a  $\Sigma$ -module such that M' and M'' are indecomposable and form the periphery of a (p-1, q-1)-slice S of the component  $\mathbb{Z}\mathbb{A}_{\ell}$  of  $D^b(\Sigma)$ , where p and q are such that  $\ell = p + q - 1$ . By the first statement of the theorem, we have that  $S \oplus \overline{M}$  is a tilting complex in  $D^b([M]\Sigma)$ with endomorphism algebra isomorphic to  $k[\tilde{\mathbb{A}}_{\ell}]$ . Thus  $[M]\Sigma$  is derived canonical.

With the same argument we show that  $[M]\Sigma$  is derived canonical, when  $\Sigma = \Sigma_1 \times \cdots \times \Sigma_s$  and  $M = M_1 \times \cdots \times M_s$  where  $\Sigma_j$  is derived hereditary and  $M_j$  is an indecomposable  $\Sigma_j$ -module  $(j = 1, \ldots, s)$  such that the pair  $(\Sigma, M)$  is listed in Table 1.

Let now  $\Sigma = \Sigma_1 \times \Sigma_2$ , where  $\Sigma_1$  is derived canonical and  $\Sigma_2$  is derived equivalent to  $k[\mathbb{A}_{\ell}]$  for some  $\ell \geq 0$ . Further let  $M = M_1 \times M_2$ , where  $M_1$  is derived simple and, if l > 0, then let  $M_2$  be deriphed peripheral. Let  $\mathbb{X}(\underline{p}, \underline{\lambda})$  be the weighted projective line associated to  $\Sigma_1$ , where  $p = (p_1, \ldots, p_t)$  is its weight type. Let  $\overline{X}$ be the weighted projective line with weight type  $(p_1, \ldots, p_{t-1}, p_t + \ell)$  and with the same parameter sequence  $\underline{\lambda}$  as X. We fix an indecomposable sheaf E of length  $\ell + 1$ concentrated at  $\lambda_t$ , and form the perpendicular category  $\mathcal{H} = E^{\perp}$  of E in  $\overline{\mathcal{C}} = \operatorname{coh} \mathbb{X}$ . Then  $\mathcal{H} = \mathcal{C} \times \mod H$ , where  $\mathcal{C} = \operatorname{coh} \mathbb{X}$  and  $H = k[1 \to \cdots \to \ell]$ . Moreover, the middle term of the almost-split sequence  $0 \to \tau E \to S \oplus M_2 \to E \to 0$  decomposes into a simple sheaf S in C, concentrated at  $\lambda_t$  and in the indecomposable projectiveinjective *H*-module  $M_2$ . Next, we realize  $\Sigma_1$  as a tilting complex in  $D^b(\mathcal{C})$  so that, by means of the identification  $D^{b}(\Sigma_{1}) = D^{b}(\mathcal{C})$  the module  $M_{1}$  corresponds to the simple sheaf S. Further, we realize the branch  $\Sigma_2$  as a tilting complex in  $D^b(H)$ such that, in the identification  $D^{b}(\Sigma_{2}) = D^{b}(H)$ , the *H*-module  $M_{2}$  becomes a (derived peripheral) module over  $\Sigma_2$ . Following [2], it is easily checked that E together with  $\Sigma_1$  and  $\Sigma_2$  forms a tilting complex in  $D^b(\operatorname{coh} \mathbb{X})$  with endomorphism algebra  $\overline{\Sigma} = [M_1 \times M_2]\Sigma$ . Hence  $\overline{\Sigma}$  is derived canonical of type  $\overline{\mathbb{X}}$ .

This completes the proof of the Theorem.

In Theorem 1 we have seen that the request for an algebra  $\Sigma$  to admit a derived canonical source or sink extension is very restrictive for  $\Sigma$  and for the "extension module" M. The information is even more specific if we start the extension procedure with a derived canonical algebra  $\Sigma$ .

COROLLARY 1. Let  $\Sigma$  be derived canonical, and let M be a finite dimensional not necessarily indecomposable  $\Sigma$ -module.

Then the sink extension  $[M]\Sigma$  is derived canonical if and only if M is derived simple, in particular indecomposable.

PROOF. By Theorem 1, we only need to show that the condition is necessary. So let us assume that  $[M]\Sigma$  is derived canonical. As a derived canonical algebra  $\Sigma$  is connected, hence Theorem 1 implies that  $\overline{M}$  is derived simple. This uses that a wild hereditary or a representation-finite hereditary algebra is never derived equivalent to a canonical algebra since wild hereditary algebras always have spectral radius greater one and representation-finite hereditary algebras do not admit 1 as a root of their Coxeter polynomial, while canonical algebras have spectral radius one, and 1 is a root of their Coxeter polynomial. Thus cases 1 and 3 of Theorem 1 will not occur.

We now consider the case where a branch  $\mathcal{B}$  is attached to the extension vertex of  $[M]\Sigma$ , see [1] for definitions. We denote by  $[\mathcal{B}, M]\Sigma$  the resulting algebra.

COROLLARY 2. Let  $\Sigma$  be a derived canonical algebra, M a  $\Sigma$ -module and  $\mathcal{B}$  a branch.

Then  $[\mathcal{B}, M]\Sigma$  is derived canonical if and only if M is derived simple.

PROOF. First let  $\overline{\Sigma} = [\mathcal{B}, M]\Sigma$  be derived canonical. Clearly,  $\overline{\Sigma}$  is derived equivalent to  $\overline{\Sigma}' = [\mathcal{B}', M]\Sigma$ , where  $\mathcal{B}'$  denotes the linearly ordered branch with its sink  $\alpha$  as root point. Thus we may identify  $\overline{\Sigma}'$  with the algebra  $[M \times P_{\alpha}](\Sigma \times \mathcal{B}')$ , where  $P_{\alpha}$  denotes the projective indecomposable associated to the point  $\alpha$ . Therefore, we may apply Corollary 1. Once again, only the case 2 remains possible, and we infer that M is a derived simple  $\Sigma$ -module.

The converse is covered by Theorem 1, part 2.

**2.1. Criteria for derived canonical algebras.** Theorem 1 provides us with a necessary condition for an algebra  $\Sigma$  to be derived canonical. So we might use this in order to prove that certain algebras are not derived canonical. We shall exhibit this in an example. Let  $A_n$  be the algebra given by the linear bound quiver with  $n \geq 8$  vertices

which satisfies the n-6 relations  $x^7 = 0$ . It is not difficult to check that  $A_9$ ,  $A_10$  and  $A_{11}$  are derived canonical of weight type (2,3,5), (2,3,6) and (2,3,7), respectively. Also the Coxeter polynomial of  $A_{22}$  has canonical type (2,7,14). However, the algebra  $A_{22}$  is not derived canonical.

Using Theorem 1, this can be seen as follows: First, we write  $A_{22}$  as a sink extension of the algebra  $A_{21}$  by a module M over  $A_{21}$ , thus  $A_{22} = [M]A_{21}$ . By Theorem 1, it suffices to show that  $A_{21}$  is neither derived equivalent to a representation-finite hereditary algebra, nor derived canonical, nor derived equivalent to a wild hereditary algebra:

Introducing the polynomials

$$V_n = \frac{T^n - 1}{T - 1},$$

the Coxeter polynomial  $\mathbf{C}(A_{21})$  of  $A_{21}$  is seen to be  $(T-1)^2 V_2 V_7 V_8 V_9 / V_4$ . In particular,  $\mathbf{C}(A_{21})$  is not of canonical type and hence  $A_{21}$  is not derived canonical. Since all roots of  $\mathbf{C}(A_{21})$  lie on the unit circle in the complex plane and further 1 is a root of  $\mathbf{C}(A_{21})$ , the algebra  $A_{21}$  cannot be derived equivalent to a hereditary algebra which is wild or representation-finite.

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