AN EXPLICIT CONSTRUCTION FOR THE HAPPEL FUNCTOR

M. BAROT, O. MENDOZA

ABSTRACT. An easy explicit construction is given for a full and faithful functor from the bounded derived category of modules over an associative algebra A to the stable category of the repetitive algebra of A. This construction simplifies the one given in [2].

1. INTRODUCTION

For basic results on triangulated categories we refer to [7] or [2]. To fix notations, we denote by $C^{b}(\mathcal{A})$ the category of bounded differential complexes, by $K^{b}(\mathcal{A})$ the homotopy category and by $D^{b}(\mathcal{A})$ the derived category of an abelian category \mathcal{A} . For an algebra A (over a base field k), we denote by modA the category of all finitely generated left modules, by P_{A} (resp. I_{A}) the full subcategory given by the projectives (resp. injectives) in modA. Further, we denote by \hat{A} the repetitive algebra, and by $\underline{\mathrm{mod}}\hat{A}$ the stable module category of $\mathrm{mod}\hat{A}$.

Theorem 1 (Happel). If A is a finite-dimensional algebra, then there is a triangulated, full and faithful functor of triangulated categories $H : D^{b}(modA) \rightarrow \underline{mod}\hat{A}$, which is also dense if A is of finite global dimension.

The definition of H in [2] is however rather involved and the proof technical. The proof was considerably shortened in [5], at the expense of explicity. It was then noted in [3] that the following result from [6] could be used to provide a more direct proof.

Proposition 2 (Rickard). If Λ is a finite-dimensional selfinjective algebra then there is an equivalence $F : \underline{\mathrm{mod}}\Lambda \to \mathrm{D^b}(\mathrm{mod}\Lambda)/\mathrm{K^b}(\mathrm{P}_\Lambda)$ of triangulated categories.

This result was found first for exterior algebras in [1]. A closer look at the proof reveals that all arguments hold in the case where Λ is the

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repetitive algebra \hat{A} , for A some finite-dimensional algebra, or more general a *Frobenius algebra*, that is a locally bounded k-algebra whose projective and injective modules coincide, see [2].

The following resumes the main result of this paper; we stress at once that the functor \tilde{F} is given explicitly.

- **Main Theorem.** (i) If Λ is a Frobenius algebra then there exists a triangulated functor \tilde{F} : $D^{b}(mod\Lambda) \rightarrow \underline{mod}\Lambda$ such that $F\tilde{F}$ is isomorphic to the canonical projection $\pi : D^{b}(mod\Lambda) \rightarrow D^{b}(mod\Lambda)/K^{b}(P_{\Lambda}).$
 - (ii) If A is a finite-dimensional algebra and Λ = Â, then the composition of F with the canonical inclusion D^b(modA) → D^b(modÂ) is triangulated, full and faithful, and also dense in case A is of finite global dimension.

Part (ii) was already mentioned in [3], using a non-specified quasiinverse of F. We therefore shortly outline a direct proof.

Since the construction of \tilde{F} is explicit and can be carried out easily in examples, we start first by describing it, although at first sight, it does not seem functorial, not even well defined. We then give the proof that the indicated construction actually works and add some comments at the end.

2. The Construction

Let Λ be a Frobenius algebra and X a complex of Λ -modules. For each integer n we may then define a complex $L_n X$ and a morphism $\lambda_{n,X}: X \to L_n X$ of complexes as follows



where the homomorphism $\varepsilon : X^n \to I$ is a fixed, arbitrarily chosen injective envelope and C is obtained as push-out from d_X^n along ε . In case that X^n is injective we choose ε as the identity. Note, that the construction is not unique, it does depend on the choice of ε . Dually, we define a complex $R_n X$ and a morphism $\rho_{n,X} : R_n X \to X$, where $\varphi = \rho_{n,X}^n : (R_n X)^n \to X^n$ is a fixed arbitrarily chosen projective cover and $(R_n X)^{n-1}$ is obtained as pull-back from d_X^{n-1} along φ .

If X is a bounded complex, say $X^i = 0$ for all i < s and all i > r, where we suppose $s \le 0 \le r$, then we can apply this procedure several

times, to obtain a complex

$$X = R_1 R_2 \dots R_{r-1} R_r (L_{-1} L_{-2} \dots L_{s+1} L_s X)$$

which has projective modules in positive degrees and injective modules in negative degrees. Note that \tilde{X} does not depend on the numbers r and s. Set $\tilde{F}X = \tilde{X}^0$, the Λ -module in degree zero, considered as object in $\underline{\mathrm{mod}}\Lambda$.

If $f : X \to Y$ is a morphism of bounded complexes, there exists a morphism $L_n f : L_n X \to L_n Y$ such that $L_n f \circ \lambda_{n,X} = \lambda_{n,Y} \circ f$, and hence a morphism $\tilde{f} : \tilde{X} \to \tilde{Y}$. Again, the morphisms $L_n f$ and \tilde{f} are not unique, and so the construction is not functorial.

Recall that the objects of $D^{b}(\text{mod}\Lambda)$ are the same as those of $K^{b}(\text{mod}\Lambda)$ but morphisms from X to Y are equivalence classes of pairs of morphisms $f': Z_{f} \to X$ and $f'': Z_{f} \to Y$, where f' is a quasi-isomorphism, that is, f' induces isomorphisms in all cohomology groups or equivalently, there exists a triangle $Z_{f} \xrightarrow{f'} X \to Z' \to \text{ in } K^{b}(\text{mod}\Lambda)$ with Z' acyclic. Two such pairs, (f', f'') and (g', g''), are equivalent if there exists quasi-isomorphisms $h: T \to Z_{f}$ and $h': T \to Z_{g}$ such that f'h = g'h' and f''h = g''h'.

As we will see, the construction carries over to morphisms in $\mathrm{K}^{\mathrm{b}}(\mathrm{mod}\Lambda)$ in a straightforward way and sends quasi-isomorphisms to isomorphisms in $\mathrm{mod}\Lambda$. Therefore, if $f = (f', f'') : X \to Y$ is a morphism in $\mathrm{D}^{\mathrm{b}}(\mathrm{mod}\Lambda)$, we can set $\tilde{f} = \tilde{f}'' \circ (\tilde{f}')^{-1}$. As it turns out, the construction is independent on the choice of the representative.

Now, let A be a finite-dimensional algebra and let $\Lambda = \hat{A}$ be the repetitive algebra of A. Then, for any complex $X \in D^{b}(\text{mod}A)$, considered as an object in $D^{b}(\text{mod}\hat{A})$, we may apply the above construction and obtain a \hat{A} -module \tilde{X}^{0} , by taking the degree zero part of the complex \tilde{X} .

3. Preparatory Lemmas

We now start formalizing the construction explained in Section 2, paying extra care to the functoriality. The main ingredient will be the following assertions. Their proofs are purely homological in a straightforward way.

We recall that for a complex X of Λ -modules in Section 2, we have constructed morphisms $\lambda_{n,X} : X \to L_n X$ and $\rho_{n,X} : R_n X \to X$, which depend on the choice of an injective envelope $X^n \to I$ and a projective cover $P \to X^n$ respectively.

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- **Lemma 3.** (a) The morphisms $\lambda_{n,X}$ and $\rho_{n,X}$ are quasi-isomorphisms (in any case, independently of the choice in the definition).
 - (b) For a morphism $f : X \to Y$ of complexes, different choices in the definition of $L_n f$ (resp. $R_n f$) lead to homotopic morphisms.
 - (c) Suppose that $f: X \to Y$ is a morphism of complexes which is homotopic to zero. If Y^{n-1} is an injective Λ -module then $L_n f$ is homotopic to zero; similarly, if X^{n+1} is a projective Λ -module then $R_n f$ is homotopic to zero.

For a complex X with $X^j = 0$ for all $j \leq r$ and an integer i > r, define a complex $L_{\langle i}X = L_{i-1}L_{i-2}\cdots L_rX$ (notice the independence on the choice of r) and extend it on morphisms in the obvious way. Denote by $\lambda_{\langle i,X}$ the composition of the following morphisms which are quasi-isomorphisms by part (a) of Lemma 3:

$$X \xrightarrow{\lambda_{r,X}} L_r X \xrightarrow{\lambda_{r+1,L_rX}} L_{r+1} L_r X \to \ldots \to L_{$$

The composition of $L_{\langle i}$ with the canonical projection $q : C^{b}(\text{mod}\Lambda) \to K^{b}(\text{mod}\Lambda)$ is functorial, by part (b) and factors through q, by part (c) of the Lemma above. We therefore constructed functors

$$\overline{L}_{< i} : \mathrm{K}^{\mathrm{b}}(\mathrm{mod}\Lambda) \to \mathrm{K}^{\mathrm{b}}(\mathrm{mod}\Lambda)$$

and quasi-isomorphisms $\overline{\lambda}_{\langle i,X} : X \to \overline{L}_{\langle i}X$, which form a morphism of functors $\overline{\lambda}_{\langle i} : \mathrm{id} \to \overline{L}_{\langle i}$. Similarly, we obtain a functor

$$\overline{R}_{>i}: \mathrm{K}^{\mathrm{b}}(\mathrm{mod}\Lambda) \to \mathrm{K}^{\mathrm{b}}(\mathrm{mod}\Lambda)$$

and a morphism of functors $\overline{\rho}_{>i}: \overline{R}_{>i} \to \mathrm{id}$.

If $f : X \to Y$ is a quasi-isomorphism, then so are $\overline{L}_{< i} f$ and $\overline{R}_{> i} f$. Hence $\overline{L}_{< i}$ and $\overline{R}_{> i}$ induce functors

$$\tilde{L}_{\langle i}, \tilde{R}_{\geq i} : \mathrm{D}^{\mathrm{b}}(\mathrm{mod}\Lambda) \to \mathrm{D}^{\mathrm{b}}(\mathrm{mod}\Lambda),$$

which are equivalences.

Clearly, we have the following isomorphisms of functors

$$\lambda_{\leq i} : \mathrm{id} \to L_{\leq i}, \quad \tilde{\rho}_{>i} : R_{>i} \to \mathrm{id}.$$

The following result shows that the functors $\tilde{L}_{\langle i}$ and $\tilde{R}_{\geq i}$ commute (up to some isomorphism of functors).

Lemma 4. With the above notations,

(a) $\overline{L}_{\langle i}\overline{R}_{\rangle i}\overline{\lambda}_{\rangle i}$: $\overline{L}_{\langle i}\overline{R}_{\rangle i} \to \overline{L}_{\langle i}\overline{R}_{\rangle i}\overline{L}_{\langle i} = \overline{R}_{\rangle i}\overline{L}_{\langle i}$ is a morphism of functors $K^{b}(mod\Lambda) \to K^{b}(mod\Lambda)$, which evaluates to quasiisomorphisms for each object. (b) $\tilde{L}_{\langle i}\tilde{R}_{>i}\tilde{\lambda}_{\langle i}: \tilde{L}_{\langle i}\tilde{R}_{\langle i} \to \tilde{L}_{\langle i}\tilde{R}_{\langle i} = \tilde{R}_{\langle i}\tilde{L}_{\langle i}$ is an isomorphism of functors $D^{b}(mod\Lambda) \to D^{b}(mod\Lambda)$.

Proof. This is an immediate consequence of the above. The equivalence

$$G = \tilde{R}_{>0}\tilde{L}_{<0} : \mathrm{D}^{\mathrm{b}}(\mathrm{mod}\Lambda) \to \mathrm{D}^{\mathrm{b}}(\mathrm{mod}\Lambda)$$

assigns to each complex X a complex GX, with injective modules in negative degrees and projective modules in positive degrees.

The following Proposition shows that for Λ a Frobenius algebra, the construction $R_{>0}L_{<0}$, which is *not* functorial, is extended to a functorial one by composing with suitable functors, as shown in the picture below.

Proposition 5. If Λ is Frobenius and $p : \operatorname{mod} \Lambda \to \operatorname{mod} \Lambda$, the canonical projection, then $X \mapsto p(R_{>0}L_{<0}X)^0 =: F_1X$ defines a functor $F_1 : \operatorname{Cb}(\operatorname{mod} \Lambda) \to \operatorname{mod} \Lambda$, which factors over the canonical projection $q : \operatorname{Cb}(\operatorname{mod} \Lambda) \to \operatorname{Kb}(\operatorname{mod} \Lambda)$. So, we get a functor $F_2 : \operatorname{Kb}(\operatorname{mod} \Lambda) \to \operatorname{mod} \Lambda$ with $F_2q = F_1$.

Proof. A direct verification yields, that for a morphism $f: X \to Y$ in $C^{b}(\text{mod}\Lambda)$, $p(L_{n}f)^{n+1}$ is well defined, independently of the possible choices for $L_{n}f$. Consequently, $p(R_{>0}L_{<0}f)^{0}$ is well-defined as morphism in $\underline{\text{mod}}\Lambda$, and therefore $F_{1}: X \mapsto p(R_{>0}L_{<0}X)^{0}$ is functorial.

In the following commutative diagram, we indicate with a broken arrow the construction, which is not functorial and by full arrows such that are.



Now, if f is homotopic to zero, then $R_{>0}L_{<0}f$ is also homotopic to zero, by Lemma 3 and therefore we have for some homotopy h that $(R_{>0}L_{<0}f)^0 = d_{\tilde{Y}}^{-1}h^0 + h^1 d_{\tilde{X}}^0$, a morphism which factors over a projective. Thus $p(R_{>0}L_{<0}f)^0 = 0$, and therefore the construction $F_2: X \mapsto (R_{>0}L_{<0}X)^0$ is well-defined in the homotopy category. \Box

For the proof of the following result, we denote by $Z^{\leq 0}$ the right truncation of a complex Z, that is the complex with $(Z^{\leq 0})^i = 0$ for i > 0and $(Z^{\leq 0})^i = Z^i$ for $i \leq 0$. Also, we denote by $Z^{=0}$ the stalk complex

concentrated in degree 0, that is $(Z^{=0})^i = 0$ for $i \neq 0$ and $(Z^{=0})^0 = Z^0$. Observe that there are canonical morphisms $Z \to Z^{\leq 0}$ and $Z^{=0} \to Z^{\leq 0}$.

The following Lemma will be used in order to see that F_2 factors through the canonical projection $\pi' : \mathrm{K}^{\mathrm{b}}(\mathrm{mod}\Lambda) \to \mathrm{D}^{\mathrm{b}}(\mathrm{mod}\Lambda)$.

Lemma 6. There exists an isomorphism $\xi : FF_2 \to \pi G\pi'$ of functors $K^{b}(\text{mod}\Lambda) \to D^{b}(\text{mod}\Lambda)/K^{b}(P_{\Lambda})$, where $\pi' : K^{b}(\text{mod}\Lambda) \to D^{b}(\text{mod}\Lambda)$ is the canonical projection.

Proof. Consider a morphism $f: X \to Y$ in $K^{b}(\text{mod}\Lambda)$ and note that $G\pi'X$ and $G\pi'Y$ are objects with projective modules in all degrees, except 0. But, for such an object Z, the canonical morphisms $Z \to Z^{\leq 0}$ and $Z^{=0} \to Z^{\leq 0}$ in $D^{b}(\text{mod}\Lambda)$ have mapping cones lying in $K^{b}(P_{\Lambda})$. Therefore they become isomorphisms under the projection $\pi: D^{b}(\text{mod}\Lambda) \to D^{b}(\text{mod}\Lambda)/K^{b}(P_{\Lambda})$. This shows, that we have an isomorphism $\xi_X: \pi G\pi'X \to \pi (G\pi'X)^{=0}$ and $\pi (G\pi'f)^{=0}\xi_X = \xi_Y \pi (G\pi'f)$. The result follows now from the fact that the functor F is induced by the canonical inclusion $\text{mod}\Lambda \to D^{b}(\text{mod}\Lambda)$, which sends a module to the stalk complex concentrated in degree 0, that is $\pi (G\pi'X)^{=0} = FF_2X$ and $\pi (G\pi'f)^{=0} = FF_2f$.

Proposition 7. For each quasi-isomorphism f in $K^{b}(mod\Lambda)$, the morphism $F_{2}f$ is an isomorphism in $\underline{mod}\Lambda$.

Proof. If $f: X \to Y$ is a quasi-isomorphism in $K^{b}(\text{mod}\Lambda)$, then $\pi' f$ and $G\pi' f$ are isomorphisms in $D^{b}(\text{mod}\Lambda)$. Thus, by Lemma 6, $FF_{2}f$ is an isomorphism and thus so is $F_{2}f$, since F is an equivalence.

It follows from Proposition 7, that F_2 factors over the canonical projection $\pi' : \mathrm{K}^{\mathrm{b}}(\mathrm{mod}\Lambda) \to \mathrm{D}^{\mathrm{b}}(\mathrm{mod}\Lambda)$ and so the factorization

 $\tilde{F}: \mathbf{D}^{\mathbf{b}}(\mathrm{mod}\Lambda) \to \mathrm{\underline{mod}}\Lambda$

is defined as follows: for an object X, we have $\tilde{F}X = F_2X$ and for a morphism $f : X \to Y$ represented by a pair (f', f'') of a quasiisomorphism $f' : Z_f \to X$ and a morphism $f'' : Z_f \to Y$, we have $\tilde{F}f = F_2 f'' \circ (F_2 f')^{-1} : F_2 X \to F_2 Y$ (note that $F_2 f' : F_2 Z \to F_2 X$ is an isomorphism, according to Proposition 7 and that the definition is independent on the choice of representatives).

Lemma 8. The isomorphism ξ , defined in Lemma 6 yields an isomorphism $\xi : F\tilde{F} \to \pi G$ of functors $D^{b}(mod\Lambda) \to D^{b}(mod\Lambda)/K^{b}(P_{\Lambda})$.

Proof. Using that a morphism in $D^{b}(mod\Lambda)$ is represented by a pair of a quasi-isomorphism and a morphism in $K^{b}(mod\Lambda)$, the result follows easily from Lemma 6.

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4. PROOF OF THE MAIN THEOREM

Since $G \simeq \mathrm{id}_{\mathrm{D^b}(\mathrm{mod}\Lambda)}$, it follows from Lemma 8, that $F\tilde{F} : \mathrm{D^b}(\mathrm{mod}\Lambda) \to \mathrm{D^b}(\mathrm{mod}\Lambda)/\mathrm{K^b}(\mathrm{P}_\Lambda)$ is isomorphic to the canonical projection π , which is a triangulated functor. Therefore, \tilde{F} is a triangulated functor, since F is a triangulated equivalence.

This proves part (i) of the Main Theorem. For part (ii), we assume A to be a finite-dimensional algebra. Clearly it is enough to prove that the composition $\Phi = \pi J$: $D^{b}(modA) \rightarrow D^{b}(mod\hat{A})/K^{b}(P_{\hat{A}})$ of the canonical inclusion J: $D^{b}(modA) \rightarrow D^{b}(mod\hat{A})$ with the canonical projection π has the stated properties since F is an equivalence and $F\tilde{F}J \simeq \pi J = \Phi$.

The homomorphism $\hat{A} \to A, (a_i, \varphi_i)_i \mapsto a_0$ induces a functor $j : \text{mod}A \to \text{mod}\hat{A}$, which is exact, full and faithful. Thus $J : D^{\text{b}}(\text{mod}A) \to D^{\text{b}}(\text{mod}\hat{A})$ is full and triangulated.

Consider the following diagram, where $\hat{i} : \text{mod}\hat{A} \to D^{b}(\text{mod}\hat{A})$ is the canonical embedding.



The upper square of the diagram is clearly commutative and the lower square commutes by the generalization of Proposition 2.

Clearly, $\Phi = \pi \circ J$ is triangulated and full, since π and J are so. Suppose that $\Phi X \simeq 0$ for some non-zero object X of $D^{b}(\text{mod}A)$. Let n be minimal such that the cohomology group $H^{n}(X)$ is not trivial. Let m be such that $X^{i} = 0$ for all i < m. Let Y be the complex whose entries and differentials are constructed inductively by applying L_{m}, L_{m+1}, \ldots to X. The complex Y is quasi-isomorphic to X (the quasi-isomorphism is also constructed inductively), its entries are injective \hat{A} -modules, possibly infinitely many non-zero to the right and Y has bounded cohomology. We now show by induction, that $\text{Ker } d_{Y}^{i}$ does not lie in $I_{\hat{A}}$, for all $i \geq n$. We use the notation fixed in the diagram in Section 2. Since $H^n(X) \neq 0$, we have that $\operatorname{Ker} d_Y^n = \operatorname{Ker} \alpha \simeq \operatorname{Ker} d_X^n$ and $\operatorname{Ker} d_X^n$ as a non-zero A module does not lie in $I_{\hat{A}}$.

For the inductive step, suppose that $\operatorname{Ker} \beta \in I_{\hat{A}} = P_{\hat{A}}$. Then $\operatorname{Ker} \beta$ is a direct summand of C, say with retraction $p: C \to \operatorname{Ker} \beta$. Since $[\alpha \varepsilon']: I \oplus X^{n+1} \to C$ surjective, $p \circ [\alpha \varepsilon']$ is split epi and since no indecomposable direct summand of X^{n+1} lies in $I_{\hat{A}}$, we must have that $\operatorname{Ker} \beta$ is a direct summand of I, say $I = I' \oplus \operatorname{Ker} \beta$, and $p\alpha$ is split epi. It follows from $\operatorname{Im} \alpha \subseteq \operatorname{Ker} \beta$ that $\operatorname{Ker} \alpha = I' \in I_{\hat{A}}$, a contradiction.

This shows that Y belongs to $K^{+,b}(P_{\hat{A}})$, but also that Y can not be isomorphic to some object $Z \in K^{b}(P_{\hat{A}})$. Indeed, suppose that there exist two morphisms $f: Y \to Z$ and $g: Z \to Y$ with $gf \sim id_Y$. Let r be maximal such that $Z^r \neq 0$. Observe that $\operatorname{Im} g^r \subseteq \operatorname{Ker} d_Y^r$ and hence for some homotopy h, we have $id_{Y^r} = a + b$, where $a = g^r f^r + d_Y^{r-1} h^r$ and $b = h^{r+1} d_Y^r$. Now $\operatorname{Im} a \subseteq \operatorname{Ker} d_Y^r \subseteq \operatorname{Ker} b$ and therefore a induces a split epi $Y^r \to \operatorname{Ker} d_Y^r$ in contradiction to the above.

This shows that $\pi(Y)$ is non-zero in contradiction to $\pi(Y) \simeq \Phi(X) \simeq 0$. Now, it is easy to see, that Φ is faithful, following the same argument as Rickard in the proof of [6, Theorem 2.1], stated in this paper as Proposition 2.

Suppose now, that A is of finite global dimension. Then modA is a generating subcategory in $\underline{\text{mod}}\hat{A}$, see Proposition II.3.2 in [2]. Clearly modA is a generating subcategory in $D^{\text{b}}(\text{mod}A)$, hence the functor Φ sends a generating subcategory to a generating one and is thus an equivalence by Lemma II.3.4 of [2].

This completes the proof of part (ii) of the Main Theorem.

5. Comments

1. The original construction of a functor $H : D^{b}(\text{mod}A) \to \underline{\text{mod}}\hat{A}$ given in [2] can be expressed in our language as $X \mapsto \tilde{R}_{>0}\tilde{L}_{<s}X$, where s > 0 is any integer such that $X^{i} = 0$ for all $i \geq s$.

2. The construction outlined in section 2 can be used for A any finitedimensional algebra, to give an explicit equivalence $L_{<\infty}$: $D^{b}(modA) \rightarrow K^{+,b}(I_{A})$, where $K^{+,b}(I_{A})$ is the category of bounded below complexes of injective A-modules with bound cohomology and $L_{<\infty} = \cdots L_{2}L_{1}L_{0}L_{<0}$.

3. Let A be the path algebra of the quiver Q modulo the ideal generated by all paths of length two.



Then, the stalk complex $S_1[0]$ concentrated in degree zero with entry S_1 , the simple in 1, is isomorphic in the quotient category $D^{b}(modA)/K^{b}(P_A)$ to the stalk complex $(S_1 \oplus S_1)[-3]$, as can easily be seen. More generally $S_1[0] \simeq S_1^n[-3n]$ and therefore, the endomorphism space of $S_1[0]$ is infinite-dimensional. This is an example showing, that for A not Gorenstein, the quotient $D^{b}(modA)/K^{b}(P_A)$ can not be equivalent to a subcategory of $\underline{mod}B$ for some finite-dimensional algebra B, as was shown in [4] for A Gorenstein.

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M. Barot and O. Mendoza

Instituto de Matemáticas, UNAM

barot@matem.unam.mx, omendoza@matem.unam.mx