

# THE GROTHENDIECK GROUP OF A CLUSTER CATEGORY

M. BAROT, D. KUSSIN, AND H. LENZING

ABSTRACT. For the cluster category of a hereditary or a canonical algebra, equivalently for the hereditary category of coherent sheaves on a weighted projective line, we study the Grothendieck group with respect to an admissible triangulated structure.

## 1. INTRODUCTION

The cluster category  $\mathcal{C} = \mathcal{C}(A)$  of a finite dimensional hereditary algebra  $A$  was introduced by Buan, Marsh, Reineke, Reiten and Todorov [1], in order to realize the cluster algebras of Fomin and Zelevinsky [2] via tilting theory.

The construction of the orbit category  $\mathcal{C}(A)$ , see [7], generalizes to the situation where  $A$  is any  $k$ -algebra of finite global dimension. In this paper, *all* algebras will be unitary, associative and of finite dimension over an algebraically closed ground field  $k$ .

We call a triangulated structure  $\mathcal{S}$  on  $\mathcal{C}$  *admissible* if the canonical projection functor  $\pi : D^b(\mathcal{H}) \rightarrow \mathcal{C}$  is exact, that is, sends exact triangles to triangles from  $\mathcal{S}$ . We use the notation  $\mathcal{C}_{\mathcal{S}}$  if we consider  $\mathcal{C}$  as a triangulated category with triangulated structure  $\mathcal{S}$ .

By Keller [7],  $\mathcal{C}$  admits an admissible triangulated structure in case  $D^b(\text{mod } A)$  is triangle-equivalent to  $D^b(\mathcal{H})$  for some hereditary abelian  $k$ -category  $\mathcal{H}$ . Assuming  $\mathcal{H}$  connected, by Happel's classification theorem this happens if and only if  $A$  is derived equivalent to a hereditary or a canonical algebra, see [5, 6]. In the first case, we can choose  $\mathcal{H} = \text{mod } A$  where  $A$  is hereditary and in the second  $\mathcal{H} = \text{coh } \mathbb{X}$ , the category of coherent sheaves over a weighted projective line  $\mathbb{X}$ , see [3]. In the present paper we focus on the case  $\mathcal{H} = \text{coh } \mathbb{X}$ , but also deal with the cases  $\mathcal{H} = \text{mod } A$  where  $A$  is the path algebra of a Dynkin or an extended Dynkin quiver.

Given an admissible triangulated structure  $\mathcal{S}$  on  $\mathcal{C}$  we study the Grothendieck group  $K_0(\mathcal{C}_{\mathcal{S}})$  with respect to all triangles in  $\mathcal{S}$  and compare

it with the Grothendieck group  $\overline{K}_0(\mathcal{C})$  with respect to all *induced* triangles, that is, the images of exact triangles of  $D^b(\text{mod } A)$  under the projection  $\pi$ .

Assuming  $A$  of finite global dimension, we denote by  $\Phi$  the Coxeter transformation on  $K_0(D^b(\text{mod } A))$ , that is, the map induced by the Auslander-Reiten translation  $\tau$  of  $D^b(\text{mod } A)$ . In Section 3 we show the following result.

**Proposition 1.1.** *If  $A$  is an algebra of finite global dimension and  $\mathcal{C} = \mathcal{C}(A)$  then we have  $\overline{K}_0(\mathcal{C}) = \text{Coker}(1 + \Phi)$ .*

Let  $A$  be a hereditary algebra of finite representation type or a canonical algebra. In both cases  $\overline{K}_0(\mathcal{C})$  and  $K_0(\mathcal{C}_S)$  are shown to be free either over  $\mathbb{Z}$  or over  $\mathbb{Z}_2 = \mathbb{Z}/2\mathbb{Z}$  (independently of the admissible triangulated structure  $\mathcal{S}$ ). We define the *dual Grothendieck groups*  $\overline{K}_0(\mathcal{C})^*$  and  $K_0(\mathcal{C})^*$  as the respective  $\mathbb{Z}$ - or  $\mathbb{Z}_2$ -dual. In Section 4 we show our first main result.

**Theorem 1.2.** *We have  $K_0(\mathcal{C}_S) = \overline{K}_0(\mathcal{C})$  in each of the following three cases:*

- (i)  *$A$  is canonical with weight sequence  $(p_1, \dots, p_t)$  having at least one even weight.*
- (ii)  *$A$  is tubular,*
- (iii)  *$A$  is hereditary of finite representation type.*

The remaining canonical cases are covered by the next result.

**Theorem 1.3.** *Assume  $\mathcal{C} = \mathcal{C}(A)$  is the cluster category of a canonical algebra  $A$  with weight sequence  $(p_1, \dots, p_t)$ , where all weights  $p_i$  are odd. For any admissible triangulated structure  $\mathcal{S}$  on  $\mathcal{C}$  the Grothendieck group  $K_0(\mathcal{C}_S)$  is a non-zero quotient of  $\overline{K}_0(\mathcal{C}) = \mathbb{Z}_2 \oplus \mathbb{Z}_2$ .*

Accordingly, if  $A$  is canonical (of any weight type), we have  $K_0(\mathcal{C}_S) \neq 0$  and Proposition 3.7 yields an explicit basis of  $\overline{K}_0(\mathcal{C})$ . Since each tame hereditary algebra is derived equivalent to a canonical one, Theorem 1.2 and 1.3 cover also the tame hereditary situation. To prove the two theorems our main device is to provide a categorification of suitable members of the dual Grothendieck group  $\overline{K}_0(\mathcal{C})^*$ , that is, to realize them by additive functions on  $\mathcal{C}_S$  in categorical terms of  $\mathcal{C}$ .

B. Keller informed the authors that his student Y. Palu proved  $K_0(\mathcal{C}_S) = \overline{K}_0(\mathcal{C})$  for the admissible structure  $\mathcal{S}$  constructed in [7].

In the last section, we consider the cluster category  $\mathcal{C}(\mathcal{T})$  of an “isolated” tube  $\mathcal{T}$ . We show that there always exists an admissible triangulated structure on  $\mathcal{C}(\mathcal{T})$  and determine its Grothendieck group explicitly.

## 2. NOTATIONS AND DEFINITIONS

**Definition of cluster categories.** We assume that  $A$  is an algebra (we recall that this means a unitary, associative algebra of finite dimension over  $k = \bar{k}$ ) of finite global dimension. We denote by  $\text{mod } A$  the category of finitely generated (or equivalently finite-dimensional) right  $A$ -modules and by  $\mathcal{D} = \text{D}^b(\text{mod } A)$  the bounded derived category of  $\text{mod } A$ . Since  $A$  has finite global dimension,  $\mathcal{D}$  is a triangulated category, see [4], and we denote by  $T$  its suspension functor  $TM = M[1]$ . Moreover,  $\mathcal{D}$  has Auslander-Reiten triangles and the Auslander-Reiten translation  $\tau$  is an auto-equivalence of  $\mathcal{D}$ .

Denoting  $F = \tau^{-1} \circ T$ , the cluster category  $\mathcal{C} = \mathcal{C}(A)$  is defined as the orbit category  $\mathcal{C}(A) = \mathcal{D}/F^{\mathbb{Z}}$ , whose objects are the objects of  $\mathcal{D}$  and whose morphism spaces are given by

$$\text{Hom}_{\mathcal{C}(A)}(X, Y) = \bigoplus_{i \in \mathbb{Z}} \text{Hom}_{\mathcal{D}}(X, F^i Y),$$

which are finite dimensional spaces if  $A$  is derived equivalent to a hereditary or to a canonical algebra. We denote by  $\pi : \mathcal{D} \rightarrow \mathcal{C}(A)$  the canonical projection functor and write occasionally  $\pi X$  rather than  $X$  for objects in  $\mathcal{C}$  for emphasis.

**Admissible triangulated structures.** We call a triangulated structure  $\mathcal{S}$  on  $\mathcal{C}$  *admissible* if the projection  $\pi$  is exact and denote by  $\mathcal{C}_{\mathcal{S}}$  the category  $\mathcal{C}$  equipped with  $\mathcal{S}$ . Keller [7] proves the existence of an admissible triangulated structure for  $\mathcal{C}(A)$  if  $\text{D}^b(\text{mod } A)$  is triangle equivalent to  $\text{D}^b(\mathcal{H})$  for some hereditary abelian  $k$ -category  $\mathcal{H}$ . Then  $\mathcal{H}$  has a tilting complex, hence by [6, Theorem 1.7] a tilting object. We may assume that  $\mathcal{H}$  is connected. Passing to a derived equivalent hereditary category we may then assume by Happel’s theorem [5] that  $\mathcal{H} = \text{mod } H$ , where  $H$  is a hereditary algebra, or  $\mathcal{H} = \text{coh } \mathbb{X}$ , where  $\mathbb{X}$  is a weighted projective line [3]. In the first case  $A$  is derived equivalent to a hereditary, in the second case to a canonical algebra, see paragraph “Canonical algebras” below. Since  $\mathcal{C}$  – up to equivalence – only depends on  $\text{D}^b(\text{mod } A)$ , we can assume that  $A$  itself is hereditary or canonical. Often, we also shall write  $\mathcal{C}(\mathcal{H})$  instead of  $\mathcal{C}(A)$  if  $\text{D}^b(\mathcal{H}) \simeq \text{D}^b(\text{mod } A)$ .

**Grothendieck groups.** Any  $\mathcal{C}$  as above is equipped with the auto-equivalence  $\tau : \mathcal{C} \rightarrow \mathcal{C}$ , induced by the Auslander-Reiten translation of  $D^b(\text{mod } A)$ . A triangle  $X \rightarrow Y \rightarrow Z \rightarrow \tau X$  in  $\mathcal{C}$  is called *induced* if it is – up to isomorphism – the image under  $\pi$  of an exact triangle in  $D^b(\text{mod } A)$ . Note that  $\tau$  takes the role of a suspension functor for  $\mathcal{C}$ , although the induced triangles usually will not define a triangulated structure on  $\mathcal{C}$ . We denote by  $\overline{K}_0(\mathcal{C})$  the Grothendieck group of  $\mathcal{C}$  with respect to all induced triangles.

If  $\mathcal{S}$  is an admissible triangulated structure on  $\mathcal{C}$  we denote by  $K_0(\mathcal{C}_{\mathcal{S}})$  the Grothendieck group of  $\mathcal{C}$  with respect to all triangles from  $\mathcal{S}$ . Since each induced triangle lies in  $\mathcal{S}$  we get a natural epimorphism

$$\overline{K}_0(\mathcal{C}) \rightarrow K_0(\mathcal{C}_{\mathcal{S}}).$$

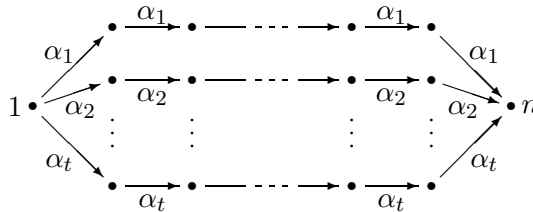
**Hereditary categories.** If  $\mathcal{H}$  is hereditary then the derived category admits a simple description: the indecomposable objects of  $D^b(\mathcal{H})$  are of the form  $T^i X$  for  $X \in \mathcal{H}$  indecomposable and some  $i \in \mathbb{Z}$ . The morphism spaces are given by

$$(2.1) \quad \text{Hom}_{D^b(\mathcal{H})}(T^i X, T^j Y) = \text{Ext}_{\mathcal{H}}^{j-i}(X, Y), \text{ for } X, Y \in \mathcal{H}.$$

In case  $\mathcal{H} = \text{coh } \mathbb{X}$ ,  $\tau$  is an autoequivalence on  $\mathcal{H}$  and therefore  $\mathcal{H}$  is a fundamental region for the functor  $F$ , that is, for each indecomposable object  $X \in \mathcal{D}$  there exists a unique  $Y \in \mathcal{H}$  such that  $X = F^i Y$  and therefore, we can identify the objects of  $\mathcal{C}$  with the objects of  $\mathcal{H}$  up to isomorphism.

We recall that the category  $\text{coh } \mathbb{X}$  has *Serre duality*, that is, there exists an autoequivalence  $\tau$  for which  $\text{Ext}_{\mathcal{H}}^1(X, Y) \simeq D \text{Hom}_{\mathcal{H}}(Y, \tau X)$  holds functorially in  $X$  and  $Y$ . Similarly the categories  $\mathcal{D} = D^b(\text{coh } \mathbb{X})$  and  $\mathcal{D} = D^b(\text{mod } A)$ , for  $A$  hereditary, have also Serre duality in the sense that  $\text{Hom}_{\mathcal{D}}(X, Y[1]) \simeq D \text{Hom}_{\mathcal{D}}(Y, \tau X)$  holds functorially in  $X$  and  $Y$ .

**Canonical algebras.** Canonical algebras were introduced by C. M. Ringel in [11] as algebras  $A = kQ/I$ , where the quiver  $Q$  is obtained by joining a source 1 with a sink  $n$  by  $t \geq 2$  arms consisting of  $p_1, \dots, p_t$  arrows respectively, all pointing from 1 to  $n$ :



The ideal  $I$  is generated by  $t - 2$  relations  $\alpha_i^{p_i} = \alpha_2^{p_2} - \mu_i \alpha_1^{p_1}$  for some pairwise distinct  $\mu_i \in k$  with  $\mu_i \neq 0, 1$ . The sequence  $(p_1, \dots, p_t)$  is called the *weight sequence* of  $A$ . If  $\sum_{i=1}^t \frac{1}{p_i} = t - 2$  then  $A$  is called *tubular*; this happens precisely for the weight sequences  $(2, 2, 2, 2)$ ,  $(3, 3, 3)$ ,  $(2, 4, 4)$  and  $(2, 3, 6)$ . We usually omit weights  $p_i = 1$  from the sequence, hence the weight sequence  $(3)$  means the sequence  $(1, 3)$ . We recall that if  $A$  is canonical of weight type  $(p_1, \dots, p_t)$  then  $D^b(\text{mod } A) \simeq D^b(\text{coh } \mathbb{X})$  for a weighted projective line  $\mathbb{X}$  of weight type  $(p_1, \dots, p_t)$ .

**Tubes.** Let  $\mathbb{X}$  be a weighted projective line of weight type  $(p_1, \dots, p_t)$  and  $\mathcal{H} = \text{coh } \mathbb{X}$ . We denote by  $\mathcal{H}_0$  the full subcategory of  $\mathcal{H}$  given by the objects of finite length and by  $\mathcal{H}_+$  the full subcategory of direct sums of indecomposable objects of infinite length. It is known, see [3], that  $\mathcal{H}_0 = \coprod_{x \in \mathbb{X}} \mathcal{T}_x$  is a coproduct of categories, where each  $\mathcal{T}_x$  is a *tube of rank  $q$* , that is a connected, hereditary, uniserial category, which in abstract form can be realized as  $\text{mod}_0^{\mathbb{Z}_q} k[[X]]$  (that is, as the category of  $\mathbb{Z}_q$ -graded  $k[[X]]$ -modules of finite length). Each tube of  $\mathcal{H}_0$  has rank one except finitely many (exceptional) tubes having rank  $p_1, \dots, p_t$ , respectively.

Furthermore  $\text{Hom}(\mathcal{H}_0, \mathcal{H}_+) = 0$  and for each non-zero object  $M \in \mathcal{H}_+$  and each  $x \in \mathbb{X}$ , we have  $\text{Hom}_{\mathcal{H}}(M, \mathcal{T}_x) \neq 0$ .

**Formulas for  $K_0(\text{coh } \mathbb{X})$ .** The Grothendieck group  $K_0(\mathcal{H})$  of the abelian category  $\mathcal{H} = \text{coh } \mathbb{X}$  is described in detail in [9, 8]. It is equipped with the Euler form defined by

$$\langle [X], [Y] \rangle = \dim_k \text{Hom}_{\mathcal{H}}(X, Y) - \dim_k \text{Ext}_{\mathcal{H}}^1(X, Y)$$

on classes of objects  $X, Y \in \mathcal{H}$ . It follows from Serre duality that for all  $\mathbf{x}, \mathbf{y} \in K_0(\mathcal{H})$  we have  $\langle \mathbf{y}, \mathbf{x} \rangle = -\langle \mathbf{x}, \Phi \mathbf{y} \rangle$ , where  $\Phi$  is the Coxeter transformation.

We denote by  $L$  the structure sheaf and for each  $i = 1, \dots, t$  the unique simple sheaf  $S_i$  belonging to the  $i$ -th exceptional tube such that  $\text{Hom}_{\mathcal{H}}(L, S_i) \neq 0$ . Then  $\text{Hom}_{\mathcal{H}}(L, S_i)$  is one-dimensional, and  $\text{Hom}_{\mathcal{H}}(L, \tau^j S_i) = 0$  for  $j = 1, \dots, p_i - 1$ . Furthermore, all simple sheaves from homogeneous tubes have the same class in  $K_0(\mathcal{H})$ ; we fix one, say  $S_0$ . Now define the following elements of  $K_0(\mathcal{H})$ :

$$\mathbf{a} = [L], \quad \mathbf{s}_0 = [S_0], \quad \mathbf{s}_i = [S_i] \text{ for } i = 1, \dots, t.$$

Define then the elements  $\mathbf{s}_i(j) = \Phi^j \mathbf{s}_i$  for  $j \in \mathbb{Z}_{p_i}$ . For later use we reproduce some facts from [9].

**Proposition 2.1.** *Let  $\mathcal{H} = \text{coh } \mathbb{X}$  where  $\mathbb{X}$  is of weight type  $(p_1, \dots, p_t)$ .*

- (a) *The abelian group  $K_0(\mathcal{H})$  is generated by the elements  $\mathbf{a}$ ,  $\mathbf{s}_0$ ,  $\mathbf{s}_i(j)$ ,  $i = 1, \dots, t$  and  $j = 0, \dots, p_i - 1$ , subject to the defining relations*

$$(2.2) \quad \sum_{j=0}^{p_i-1} \mathbf{s}_i(j) = \mathbf{s}_0, \text{ for } i = 1, \dots, t.$$

- (b) *Define  $p = \text{lcm}(p_1, \dots, p_t)$ ,  $\delta = p \left( t - 2 - \sum_{i=1}^t \frac{1}{p_i} \right)$  and  $\text{rk}(\mathbf{x}) = \langle \mathbf{x}, \mathbf{s}_0 \rangle$ . Then for all  $\mathbf{x} \in K_0(\mathcal{H})$ , we have*

$$\Phi^p \mathbf{x} = \mathbf{x} + \delta \cdot \text{rk}(\mathbf{x}) \cdot \mathbf{s}_0$$

- (c) *We have*

$$\Phi \mathbf{a} = \mathbf{a} - \sum_{i=1}^t \mathbf{s}_i + (t-2) \cdot \mathbf{s}_0$$

- (d) *Furthermore, we have  $\langle \mathbf{s}_i(m), \mathbf{s}_j(n) \rangle = 0$  for  $i \neq j$ , and*

$$\langle \mathbf{s}_i(m), \mathbf{s}_i(n) \rangle = \begin{cases} 1 & \text{if } n \equiv m \pmod{p_i} \\ -1 & \text{if } n \equiv m+1 \pmod{p_i} \\ 0 & \text{else} \end{cases}$$

*for all  $i = 1, \dots, t$ .* □

Beside the rank function  $\text{rk}(\mathbf{x}) = \langle \mathbf{x}, \mathbf{s}_0 \rangle$  we also define the *degree* function by

$$\deg(\mathbf{x}) = \sum_{j=0}^{p-1} \langle \Phi^j \mathbf{a}, \mathbf{x} - \text{rk}(\mathbf{x}) \mathbf{a} \rangle$$

where  $p = \text{lcm}(p_1, \dots, p_t)$ . It is characterized by the properties  $\deg(L) = 0$ ,  $\deg(S_0) = p$  and  $\deg(\tau^j S_i) = \frac{p}{p_i}$  for  $i = 1, \dots, t$  and  $j \in \mathbb{Z}$ .

**Discriminant and slope.** Let  $\mathcal{H} = \text{coh}(\mathbb{X})$  be of weight type  $(p_1, \dots, p_t)$  and put  $p = \text{lcm}(p_1, \dots, p_t)$ . The *discriminant*

$$\delta_{\mathcal{H}} = p \left( (t-2) - \sum_{i=1}^t 1/p_i \right)$$

is an invariant of  $\mathcal{H}$  deciding on the complexity of the classification problem for  $\mathcal{H}$ , hence for  $\mathcal{C}(\mathcal{H})$ , see [3]. For  $\delta_{\mathcal{H}} < 0$  the category  $\mathcal{H}$  is derived equivalent to the category  $\text{mod } A$  for the path algebra  $kQ$  of an extended Dynkin quiver, and each such algebra  $kQ$  has this property. For  $\delta_{\mathcal{H}} = 0$  we are dealing with the tubular weights, and for  $\delta_{\mathcal{H}} > 0$  the classification problem for  $\mathcal{H}$  is wild. For this and the following statements we refer to [3].

Each bundle  $E$  has a *line bundle filtration*  $0 = E_1 \subset E_2 \subset \cdots \subset E_r = E$  where each  $E_i/E_{i-1}$  is a line bundle. For each non-zero bundle  $E$  its *slope*  $\mu(E) = \deg(E)/\mathrm{rk}(E)$  is a rational number such that

$$\mu(\tau E) = \mu(E) + \delta_{\mathcal{H}}$$

holds. By means of line bundle filtrations for  $E$  and  $F$  it follows that  $\mathrm{Hom}_{\mathcal{H}}(E, F) = 0$  if  $\mu(E) - \mu(F)$  is sufficiently large. In particular, for  $\delta_{\mathcal{H}} > 0$  (resp.  $\delta_{\mathcal{H}} < 0$ ) we have  $\mathrm{Hom}_{\mathcal{H}}(\tau^n E, F) = 0$  (resp.  $\mathrm{Hom}_{\mathcal{H}}(E, \tau^n F) = 0$  for  $n \gg 0$ ).

### 3. GROTHENDIECK GROUP WITH RESPECT TO INDUCED TRIANGLES

In this section, we describe the Grothendieck group  $\overline{K}_0(\mathcal{C})$  with respect to the induced triangles. Let  $\mathcal{D} = D^b(\mathrm{mod} A)$ . Then the Coxeter transformation  $\Phi : K_0(\mathcal{D}) \rightarrow K_0(\mathcal{D})$  is given by  $\Phi([X]) = [\tau X]$  for any object  $X$  of  $\mathcal{D}$ .

**Proof of Proposition 1.1.** The projection  $\pi : \mathcal{D} \rightarrow \mathcal{C}$  sends exact triangles to induced triangles, hence yields an epimorphism

$$K_0(\mathcal{D}) \rightarrow \overline{K}_0(\mathcal{C}), [X] \mapsto [\pi X].$$

We have  $[FX] = -[\tau X]$  in  $K_0(\mathcal{D})$ , hence  $[\pi X] = [\pi FX] = -[\pi \tau X]$  in  $\overline{K}_0(\mathcal{C})$  showing that  $\pi(1 + \Phi) = 0$ . In order to prove the exactness of

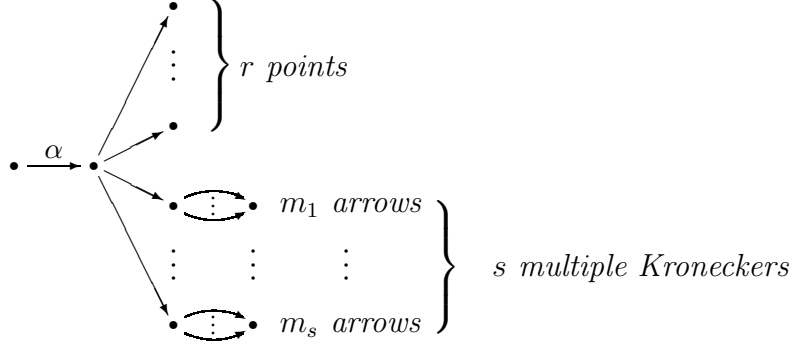
$$K_0(\mathcal{D}) \xrightarrow{1+\Phi} \overline{K}_0(\mathcal{C}) \xrightarrow{\pi} \overline{K}_0(\mathcal{C}) \rightarrow 0$$

it therefore suffices to show that each morphism  $\lambda : K_0(\mathcal{D}) \rightarrow G$ , for  $G$  an abelian group, with  $\lambda(1 + \Phi) = 0$  induces a morphism  $\overline{\lambda} : \overline{K}_0(\mathcal{C}) \rightarrow G$  with  $\lambda = \overline{\lambda}\pi$ .

By the assumption  $\lambda(1 + \Phi) = 0$  the function  $\lambda : \mathcal{D} \rightarrow G$  is constant on  $F$ -orbits and additive on exact triangles of  $\mathcal{D}$ , hence induces a function  $\overline{\lambda} : \mathcal{C} \rightarrow G$  which is additive on induced triangles.  $\square$

**Explicit description of  $\overline{K}_0(\mathcal{C})$ .** Write  $\mathbb{Z}_m$  for  $\mathbb{Z}/m\mathbb{Z}$ . We have the following general description of  $\overline{K}_0(\mathcal{C})$ .

**Proposition 3.1.** *Let  $A$  be any algebra of finite global dimension and let  $\mathcal{C} = \mathcal{C}(A)$ . Then  $\overline{K}_0(\mathcal{C})$  has a unique expression as  $\mathbb{Z}^r \oplus \bigoplus_{i=1}^s (\mathbb{Z}_{m_i} \oplus \mathbb{Z}_{m_i})$ , for natural numbers  $r, s$  and positive  $m_1, \dots, m_s$  such that  $m_i$  divides  $m_{i+1}$  for all  $i$ . Moreover, any such group occurs as  $\overline{K}_0(\mathcal{C}(H))$ , where  $H$  is the hereditary path algebra given by the following quiver.*

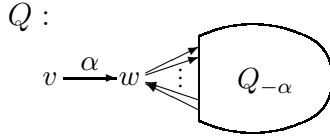


Before we enter the proof we need some preparatory lemmas. If  $Q$  is a quiver, we denote by  $B_Q$  the adjacency matrix of  $Q$ , that is,  $(B_Q)_{ij}$  denotes the number of arrows in  $Q$  from  $i$  to  $j$ . Let  $C$  be the Cartan matrix of  $A$ , that is, a matrix representing the Euler form. Since  $A$  has finite global dimension,  $C$  has determinant  $\pm 1$  and  $\Phi = -C^{-1}C^{\text{tr}}$ .

**Lemma 3.2.** *Let  $A = kQ$  be a hereditary algebra and  $\mathcal{C} = \mathcal{C}(A)$ . Then we have  $\overline{K}_0(\mathcal{C}) = \text{Coker}(B_Q - B_Q^{\text{tr}})$ .*

*Proof.* Since  $A$  is finite-dimensional,  $Q$  can not contain an oriented cycle. Hence the vertices of  $Q$  can be ordered such that  $C$  is upper triangular. Thus we see that  $B_Q$  is nilpotent, hence  $C = 1 + B_Q + B_Q^2 + B_Q^3 + \dots$  is a finite sum and  $C^{-1} = 1 - B_Q$ . Therefore  $1 + \Phi = (C^{-\text{tr}} - C^{-1})C^{\text{tr}} = ((1 - B_Q)^{\text{tr}} - (1 - B_Q))C^{\text{tr}} = (B_Q - B_Q^{\text{tr}})C^{\text{tr}}$ , which shows that  $\text{Coker}(1 + \Phi) = \text{Coker}(B_Q - B_Q^{\text{tr}})$ , thus the result follows by Proposition 1.1.  $\square$

We call an arrow  $\alpha : v \rightarrow w$  of a quiver  $Q$  a *source-arrow* if  $v$  is a source of  $Q$  and  $\alpha$  is the unique arrow of  $Q$  starting in  $v$ . Similarly an arrow  $\alpha : w \rightarrow v$  is a *sink-arrow* if  $v$  is a sink and  $\alpha$  the unique arrow ending in  $v$ . In both cases we denote by  $Q_{-\alpha}$  the quiver obtained from  $Q$  by removing the vertices  $v$  and  $w$  and all arrows starting or ending in  $v$  or  $w$ . The situation of a source-arrow is depicted as follows.



The next result is quite useful for calculating  $\overline{K}_0(\mathcal{C})$  in practice.

**Lemma 3.3.** *Let  $Q$  be a quiver with an arrow  $\alpha$ , which is a source- or a sink-arrow. Denote  $H = kQ$  and  $H' = kQ_{-\alpha}$ . Then we have  $\overline{K}_0(\mathcal{C}(H)) \simeq \overline{K}_0(\mathcal{C}(H'))$ .*



*Proof.* Assume that  $\alpha$  is a source arrow (the case where  $\alpha$  is a sink-arrow is similar). By renumbering the vertices, we can assume that  $\alpha$  is the arrow  $1 \rightarrow 2$ . Then we have

$$B_Q - B_Q^{\text{tr}} = \begin{bmatrix} 0 & 1 & 0 \\ -1 & 0 & \rho^{\text{tr}} \\ 0 & -\rho & B_{Q_{-\alpha}} - B_{Q_{-\alpha}}^{\text{tr}} \end{bmatrix}.$$

Adding multiples of the first row to the rows  $3, \dots, n$  and simultaneously adding (the same) multiples of the second column to the columns  $3, \dots, n$  we obtain a transformation matrix  $T$  and a block diagonal matrix

$$T(B_Q - B_Q^{\text{tr}})T^{\text{tr}} = \text{diag}\left(\begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}, B_{Q_{-\alpha}} - B_{Q_{-\alpha}}^{\text{tr}}\right)$$

and the result follows by Lemma 3.2.  $\square$

**Proof of Proposition 3.1.** If  $C$  denotes the Cartan matrix of  $A$  then  $1 + \Phi = (C^{-\text{tr}} - C^{-1})C^{\text{tr}}$ . Now  $S = (C^{-\text{tr}} - C^{-1})$  is skew-symmetric. Clearly, we have  $\text{Coker}(1 + \Phi) = \text{Coker } S$ .

Using the skew-normal form of  $S$ , see [10, Theorem IV.1], we obtain  $S' = U^{\text{tr}} S U$  for some  $U \in \text{GL}_n(\mathbb{Z})$ , where  $S' = \text{diag}(B_0, B_1, \dots, B_s)$  is a block-diagonal matrix with the following blocks:  $B_0$  is the zero matrix of size  $r \times r$  and for  $i = 1, \dots, s$ ,

$$B_i = \begin{bmatrix} 0 & m_i \\ -m_i & 0 \end{bmatrix}$$

where  $m_i$  divides  $m_{i+1}$  for all  $i = 1, \dots, s-1$ . Therefore  $\text{Im } S \simeq \text{Im } S' \simeq \bigoplus_{i=1}^s (m_i \mathbb{Z})^2$  and we obtain  $\overline{K}_0(\mathcal{C}) \simeq \text{Coker } S' \simeq \mathbb{Z}^r \oplus \bigoplus_{i=1}^s (\mathbb{Z}_{m_i} \oplus \mathbb{Z}_{m_i})$  as desired.

Let  $H$  be the hereditary algebra defined by the quiver in Proposition 3.1 and denote  $H' = kQ_{-\alpha}$ . By Lemma 3.3, we have  $\overline{K}_0(\mathcal{C}(H)) \simeq \overline{K}_0(\mathcal{C}(H'))$ . Now, the claim is obvious for  $H'$  since  $B_{Q_{-\alpha}}^{-1} - B_{Q_{-\alpha}}^{-\text{tr}} = \text{diag}(B_0, B_1, \dots, B_s)$  is the block-diagonal matrix as above.  $\square$

### The hereditary case.

**Proposition 3.4.** *If  $A$  is a hereditary algebra whose quiver is a tree then  $\overline{K}_0(\mathcal{C}(A))$  is a free abelian group.*

*Proof.* Any tree can be reduced to a disjoint union of  $r$  vertices, for some  $r$ , by cutting off source- and sink-arrows. Hence, we get  $\overline{K}_0(\mathcal{C}(A)) \simeq \mathbb{Z}^r$  by Lemma 3.3.  $\square$

**Proposition 3.5.** *Let  $A$  be a connected hereditary representation-finite algebra, that is, the underlying graph of its quiver is a Dynkin diagram  $\Delta$ . Then, we have the following description.*

$$\overline{K}_0(\mathcal{C}) = \begin{cases} 0, & \text{if } \Delta = \mathbb{A}_n, \mathbb{E}_n \text{ with } n \text{ even} \\ \mathbb{Z}, & \text{if } \Delta = \mathbb{A}_n, \mathbb{D}_n, \mathbb{E}_7 \text{ with } n \text{ odd} \\ \mathbb{Z}^2, & \text{if } \Delta = \mathbb{D}_n \text{ with } n \text{ even} \end{cases}$$

*Proof.* This follows immediately using Lemma 3.3.  $\square$

**The canonical case.** We now assume that  $A$  is canonical of weight type  $(p_1, \dots, p_t)$  and  $\mathcal{H}$  is the associated category of coherent sheaves. We put  $\mathcal{C} = \mathcal{C}(A) = \mathcal{C}(\mathcal{H})$  and start by describing  $\overline{K}_0(\mathcal{C})$  by generators and defining relations.

**Proposition 3.6.** *The abelian group  $\overline{K}_0(\mathcal{C})$  is generated by the elements  $\overline{\mathbf{a}}, \overline{\mathbf{s}}_0, \overline{\mathbf{s}}_1, \dots, \overline{\mathbf{s}}_t$  subject to the following defining relations.*

$$(3.1) \quad 2\overline{\mathbf{s}}_0 = 0,$$

$$(3.2) \quad 2\overline{\mathbf{a}} = \sum_{i=1}^t (\overline{\mathbf{s}}_i - \overline{\mathbf{w}}),$$

$$(3.3) \quad \overline{\mathbf{s}}_0 = \frac{1 - (-1)^{p_i}}{2} \overline{\mathbf{s}}_i, \text{ for } i = 1, \dots, t.$$

*Proof.* We recall from Proposition 2.1(a), that  $K_0(\mathcal{H})$  is the abelian group generated by  $\{\overline{\mathbf{a}}, \overline{\mathbf{s}}_0, \overline{\mathbf{s}}_i(j) \mid i = 1, \dots, t \text{ and } j = 0, \dots, p_i - 1\}$  subject to the defining relations (2.2). Therefore  $\overline{K}_0(\mathcal{C}) = K_0(\mathcal{H}) / \text{Im}(1 + \Phi)$  is the abelian group generated by the same generators with the relations (2.2) and the additional relations

$$(3.4) \quad \overline{\mathbf{a}} + \Phi \overline{\mathbf{a}} = 0,$$

$$(3.5) \quad \overline{\mathbf{s}}_0 + \Phi \overline{\mathbf{s}}_0 = 0 \text{ and}$$

$$(3.6) \quad \overline{\mathbf{s}}_i(j) + \Phi \overline{\mathbf{s}}_i(j) = 0 \text{ for } i = 1, \dots, t \text{ and } j = 1, \dots, p_i,$$

which altogether form a system of defining relations. Using Proposition 2.1(c), we can rewrite (3.4) as (3.2). Using  $\Phi \mathbf{s}_0 = \mathbf{s}_0$  we rewrite (3.5) as (3.1). Using  $\Phi \mathbf{s}_i(j) = \mathbf{s}_i(j+1)$  and (2.2) we obtain

$$\overline{\mathbf{s}}_0 = \sum_{j=0}^{p_1-1} (-1)^j \overline{\mathbf{s}}_1$$

which can be rewritten in the form (3.3). Thus, since  $\Phi \mathbf{s}_i(j) = \mathbf{s}_i(j+1)$ , the group  $\overline{K}_0(\mathcal{C})$  is generated by  $\overline{\mathbf{a}}, \overline{\mathbf{s}}_0, \overline{\mathbf{s}}_i = \overline{\mathbf{s}}_i(0)$  for  $i = 1, \dots, t$  subject to the defining relations (3.1), (3.2) and (3.3).  $\square$

**Proposition 3.7.** *Let  $\mathcal{H} = \text{coh } \mathbb{X}$  with weight sequence  $(p_1, \dots, p_t)$  where  $p_1, \dots, p_r$  are even and  $p_{r+1}, \dots, p_t$  are odd. Further let  $\mathcal{C} = \mathcal{C}(\mathcal{H})$ .*

- (i) *If  $r \geq 1$  then  $\overline{K}_0(\mathcal{C})$  is the free abelian group on  $\overline{\mathbf{a}}, \overline{\mathbf{s}}_2, \dots, \overline{\mathbf{s}}_r$ .*
- (ii) *If  $r = 0$  (that is, all weights  $p_i$  are odd) then  $\overline{K}_0(\mathcal{C}) \simeq \mathbb{Z}\overline{\mathbf{a}} \oplus \mathbb{Z}\overline{\mathbf{s}}_0 \simeq \mathbb{Z}_2 \oplus \mathbb{Z}_2$ .*

*Proof.* Let first  $r \geq 1$ . Then, by (3.3), we have  $\overline{\mathbf{s}}_0 = \frac{1-(-1)^{p_1}}{2} \overline{\mathbf{s}}_1 = 0$  and for  $i > r$ , we obtain  $\overline{\mathbf{s}}_i = 0$ , again by (3.3). Therefore  $\overline{\mathbf{s}}_1 = 2\overline{\mathbf{a}} - \sum_{i=2}^r \overline{\mathbf{s}}_i$  because of (3.2). It follows that  $\overline{\mathbf{a}}, \overline{\mathbf{s}}_2, \dots, \overline{\mathbf{s}}_r$  generate  $\overline{K}_0(\mathcal{C})$  without relations.

Now let  $r = 0$ . Then we obtain from (3.3) that  $\overline{\mathbf{s}}_i = \overline{\mathbf{s}}_0$  for all  $i = 1, \dots, t$ . Therefore we get that  $\overline{K}_0(\mathcal{C})$  is generated by  $\overline{\mathbf{a}}$  and  $\overline{\mathbf{s}}_0$  with the remaining defining relations  $2\overline{\mathbf{s}}_0 = 0$  and  $2\overline{\mathbf{a}} = 0$ .  $\square$

**The dual Grothendieck groups.** In the sequel the Grothendieck groups  $\overline{K}_0(\mathcal{C})$  and  $K_0(\mathcal{C}_S)$  are free over  $\mathbb{Z}$  or  $\mathbb{Z}_2$ , respectively. We define dual Grothendieck groups  $\overline{K}_0(\mathcal{C})^*$  and  $K_0(\mathcal{C}_S)^*$  forming the respective  $\mathbb{Z}$ - or  $\mathbb{Z}_2$ -duals.

We first deal with the  $\mathbb{Z}$ -free case. Since the Cartan matrix has determinant  $\pm 1$ , the Euler form induces an isomorphism  $K_0(\mathcal{H}) \xrightarrow{\sim} K_0(\mathcal{H})^*$ ,  $\mathbf{y} \mapsto \langle \mathbf{y}, - \rangle$ . A linear form  $\lambda : K_0(\mathcal{H}) \rightarrow \mathbb{Z}$  induces a linear form  $\overline{\lambda} : \overline{K}_0(\mathcal{C}) \rightarrow \mathbb{Z}$  if and only if  $\lambda \circ (1 + \Phi) = 0$ .

**Lemma 3.8.** *A linear form  $\lambda = \langle \mathbf{y}, - \rangle$  satisfies  $\lambda \circ (1 + \Phi) = 0$  if and only if  $\Phi \mathbf{y} = -\mathbf{y}$ . In particular, in this case  $\text{rk } \mathbf{y} = 0$  and  $\deg \mathbf{y} = 0$ .*

*Proof.* We have  $\langle \mathbf{y}, - \rangle \circ (1 + \Phi) = 0$  if and only if  $\langle \mathbf{y}, \Phi^{-1} \mathbf{x} \rangle + \langle \mathbf{y}, \Phi \Phi^{-1} \mathbf{x} \rangle = 0$  for all  $\mathbf{x} \in K_0(\mathcal{H})$ , and since  $\langle \mathbf{y}, \Phi \mathbf{x} \rangle = \langle \Phi^{-1} \mathbf{y}, \mathbf{x} \rangle$  this is equivalent to  $\langle \mathbf{y} + \Phi \mathbf{y}, - \rangle = 0$ . Since the Cartan matrix has determinant  $\pm 1$  the assertion follows.  $\square$

For any abelian group  $G$  define  $G_2 = G \otimes_{\mathbb{Z}} \mathbb{Z}_2$ . Furthermore let  $\text{rk}_2, \deg_2 : K_0(\mathcal{H})_2 \rightarrow \mathbb{Z}_2$  be the functions induced by  $\text{rk}$  and  $\deg$ . Similarly define  $\langle -, - \rangle_2 : K_0(\mathcal{H})_2 \times K_0(\mathcal{H})_2 \rightarrow \mathbb{Z}_2$  to be induced by the Euler form.

**Proposition 3.9.** *Let  $\mathcal{H} = \text{coh } \mathbb{X}$  with weight sequence  $(p_1, \dots, p_t)$  and set  $\mathcal{C} = \mathcal{C}(\mathcal{H})$ . The group  $\langle \Phi \rangle$  acts on  $K_0(\mathcal{H})$  by  $\Phi \cdot \mathbf{y} = -\Phi \mathbf{y}$ .*

- (i) *If there is at least one even weight  $p_i$  then there is an isomorphism*

$$K_0(\mathcal{H})^{\langle \Phi \rangle} \xrightarrow{\sim} \overline{K}_0(\mathcal{C})^*, \quad \mathbf{y} \mapsto \langle \mathbf{y}, - \rangle$$

which gives rise to an exact sequence

$$0 \rightarrow \overline{K}_0(\mathcal{C})^* \rightarrow K_0(\mathcal{H}) \xrightarrow{1+\Phi} K_0(\mathcal{H}) \rightarrow \overline{K}_0(\mathcal{C}) \rightarrow 0.$$

(ii) If all weights are odd, then there is an isomorphism

$$K_0(\mathcal{H})_2^{(\Phi)} \xrightarrow{\sim} \overline{K}_0(\mathcal{C})^*, \mathbf{y} \mapsto \langle \mathbf{y}, - \rangle_2$$

which gives rise to an exact sequence

$$0 \rightarrow \overline{K}_0(\mathcal{C})^* \rightarrow K_0(\mathcal{H})_2 \xrightarrow{1+\Phi} K_0(\mathcal{H})_2 \rightarrow \overline{K}_0(\mathcal{C}) \rightarrow 0.$$

*Proof.* Part (i) follows from Lemma 3.8 and the proof of (ii) is similar using reduction modulo 2.  $\square$

If  $\mathbf{x} \in K_0(\mathcal{H})$  is a  $\Phi$ -periodic object with period  $q_{\mathbf{x}}$ , we define

$$v(\mathbf{x}) = \sum_{j=0}^{q_{\mathbf{x}}-1} (-1)^j \Phi^j \mathbf{x}$$

and if  $q_{\mathbf{x}}$  is even, we define

$$h(\mathbf{x}) = \sum_{j=0}^{\frac{q_{\mathbf{x}}}{2}-1} \Phi^{2j} \mathbf{x}.$$

**Proposition 3.10.** *Let  $\mathcal{H} = \text{coh } \mathbb{X}$  with weight sequence  $(p_1, \dots, p_t)$  where  $p_1, \dots, p_r$  are even and  $p_{r+1}, \dots, p_t$  are odd. Further let  $\mathcal{C} = \mathcal{C}(\mathcal{H})$ .*

(i) *If  $r \geq 1$  then*

$$(3.7) \quad \langle v(\mathbf{s}_1), - \rangle, \langle h(\mathbf{s}_2) - h(\mathbf{s}_1), - \rangle, \dots, \langle h(\mathbf{s}_r) - h(\mathbf{s}_1), - \rangle$$

*is a  $\mathbb{Z}$ -basis of  $\overline{K}_0(\mathcal{C})^*$ .*

(ii) *If  $r = 0$  (that is, all weights  $p_i$  are odd) then  $\text{rk}_2$  and  $\text{deg}_2$  is a  $\mathbb{Z}_2$ -basis of  $\overline{K}_0(\mathcal{C})^*$ .*

*Proof.* (i) Clearly  $(1 + \Phi)v(\mathbf{s}_1) = 0$  since  $p_1$  is even. Furthermore,  $(1 + \Phi)h(\mathbf{s}_i) = \mathbf{s}_0$  for  $i = 1, \dots, r$  and therefore, by the Proposition 3.9, we get that (3.7) are indeed elements of  $\overline{K}_0(\mathcal{C})^*$ . From the formulas

$$\begin{aligned} \langle v(\mathbf{s}_1), \mathbf{a} \rangle &= 1, & \langle v(\mathbf{s}_1), \mathbf{s}_h \rangle &= 0 \\ \langle h(\mathbf{s}_j) - h(\mathbf{s}_1), \mathbf{a} \rangle &= 0, & \langle h(\mathbf{s}_j) - h(\mathbf{s}_1), \mathbf{s}_h \rangle &= \delta_{jh} \end{aligned}$$

it follows that (3.7) forms a  $\mathbb{Z}$ -basis of  $\overline{K}_0(\mathcal{C})^*$ .

(ii) We know that  $\overline{\mathbf{a}}, \overline{\mathbf{s}}_0$  is a  $\mathbb{Z}_2$ -basis of  $\overline{K}_0(\mathcal{C})$  by Proposition 3.7. We have  $\Phi \mathbf{s}_0 = \mathbf{s}_0$  and therefore  $\text{rk}_2 = \langle -, \mathbf{s}_0 \rangle_2$  defines a linear form on  $\overline{K}_0(\mathcal{H})$ .

Since  $\bar{\mathbf{s}}_i = \bar{\mathbf{s}}_0$  for  $i = 1, \dots, t$ , we get from Proposition 2.1(d) that  $\Phi \bar{\mathbf{a}} = \bar{\mathbf{a}} \bmod 2$ . Hence we get

$$\deg_2(\mathbf{x}) = \sum_{j=0}^p \langle \Phi^j \mathbf{a}, \mathbf{x} - \text{rk}(\mathbf{x})\mathbf{a} \rangle_2 = \langle \mathbf{a}, \mathbf{x} - \text{rk}(\mathbf{x})\mathbf{a} \rangle_2 = \langle \mathbf{a}, \mathbf{x} \rangle_2 + \text{rk}_2(\mathbf{x}).$$

Thus, also  $\deg$  induces a linear map  $\deg_2 : \bar{K}_0(\mathcal{C}) \rightarrow \mathbb{Z}_2$ . Since  $\text{rk}_2(\mathbf{a}) = 1$ ,  $\text{rk}_2(\mathbf{s}_0) = 0$  and  $\deg_2(\mathbf{a}) = 0$ ,  $\deg_2(\mathbf{s}_0) = 1$ , it follows that  $\text{rk}_2$ ,  $\deg_2$  form a  $\mathbb{Z}_2$ -basis of  $\bar{K}_0(\mathcal{C})^*$ .  $\square$

#### 4. ADDITIVE FUNCTIONS ON $\mathcal{C}_{\mathcal{S}}$

**Cutting technique.** For a finite dimensional  $k$ -vector space  $V$  let  $|V|$  (resp.  $|V|_2$ ) denote its  $k$ -dimension (resp. its  $k$ -dimension modulo two). We put  $\mu_E(X) = |\text{Hom}_{\mathcal{C}}(E, X)|$  and write  $\bar{\mu}_E(X)$  for  $\mu_E(X)$  modulo two.

In the sequel we identify members  $\lambda$  from the dual Grothendieck group  $\bar{K}_0(\mathcal{C})^*$  with mappings  $\lambda$  defined on  $\mathcal{C} = \mathcal{C}(\mathcal{H})$  with values in  $\mathbb{Z}$ , respectively in  $\mathbb{Z}_2$ , that are additive on induced triangles. We call  $\lambda$  *realizable* if, depending on the case considered, it has the form  $\mu_E - \mu_F$  (resp.  $\bar{\mu}_E$ ) with  $E$  and  $F$  from  $\mathcal{C}$ . The realizable functions form a subgroup of  $\bar{K}_0(\mathcal{C})^*$ . Note that usually neither  $\mu_E$  nor  $\bar{\mu}_E$  or  $\mu_E - \mu_F$  are realizable. Our next proposition shows how to construct realizable functions which additionally belong to  $K_0(\mathcal{C}_{\mathcal{S}})^*$  for an admissible triangulated structure  $\mathcal{S}$  on  $\mathcal{C}$ .

For any object  $U \in \mathcal{D} = \text{D}^b(\mathcal{H})$  and any positive integer  $q$  define the function  $\lambda_X^{(q)}$  on the objects  $Y$  of  $\mathcal{C}$  by

$$(4.1) \quad \lambda_U^{(q)} : \mathcal{C} \rightarrow \mathbb{Z}, \quad \lambda_U^{(q)}(Y) = \sum_{i=0}^{q-1} (-1)^i |\text{Hom}_{\mathcal{C}}(\pi U, T^i Y)|$$

and set  $\bar{\lambda}_U^{(q)} : \mathcal{C} \rightarrow \mathbb{Z}_2$ ,  $Y \mapsto \lambda_U^{(q)}(Y) \bmod 2$

**Proposition 4.1.** *Suppose that  $U$  is an object in  $\text{D}^b(\mathcal{H})$  such that for some positive integer  $q$  we have  $\tau^q X \simeq F^m X$  for some  $m \in \mathbb{Z}$ .*

- (i) *If  $q$  is even then  $\lambda_X^{(q)}$  is additive on each triangle of an admissible triangulated structure on  $\mathcal{C}$ .*
- (ii) *If  $q$  is odd, then  $\bar{\lambda}_X^{(q)}$  is additive on each triangle of an admissible triangulated structure on  $\mathcal{C}$ .*

*Proof.* Identify  $U$  with its image in  $\mathcal{C}$ . Let  $X \xrightarrow{\alpha} Y \xrightarrow{\beta} Z \xrightarrow{\gamma} TX$  be a triangle in  $\mathcal{C}$  with respect to an admissible triangulated structure.

Application of the functor  $\mathrm{Hom}_{\mathcal{C}}(U, -)$  gives a long exact sequence

$$\begin{aligned} 0 \rightarrow K &\rightarrow \mathrm{Hom}_{\mathcal{C}}(U, X) \rightarrow \mathrm{Hom}_{\mathcal{C}}(U, Y) \rightarrow \mathrm{Hom}_{\mathcal{C}}(U, Z) \rightarrow \\ &\rightarrow \mathrm{Hom}_{\mathcal{C}}(U, \tau X) \rightarrow \mathrm{Hom}_{\mathcal{C}}(U, \tau Y) \rightarrow \cdots \\ \cdots &\rightarrow \mathrm{Hom}_{\mathcal{C}}(U, \tau^{q-1}Y) \rightarrow \mathrm{Hom}_{\mathcal{C}}(U, \tau^{q-1}Z) \rightarrow K' \rightarrow 0, \end{aligned}$$

where  $K = \mathrm{Ker}(\mathcal{C}(U, \alpha))$  and

$$(4.2) \quad K' = \mathrm{Ker}(\mathcal{C}(U, \tau^q \alpha)) \simeq \mathrm{Ker}(\mathcal{C}(\tau^{-q}U, \alpha)) \simeq \mathrm{Ker}(\mathcal{C}(U, \alpha)) = K.$$

The alternating sum of the dimensions of the spaces in the sequence equals zero. If  $q$  is even we hence get

$$(4.3) \quad \lambda_U^{(q)}(X) - \lambda_U^{(q)}(Y) + \lambda_U^{(q)}(Z) = 0.$$

Therefore  $\lambda_U^{(q)}$  is a linear form on  $K_0(\mathcal{C})$ . If  $q$  is odd then this holds modulo 2.  $\square$

**The even canonical case.** We first study the case where  $\mathcal{H} = \mathrm{coh} \mathbb{X}$  with weight sequence  $(p_1, \dots, p_t)$ . In this case we have linear forms which are defined by “periodic” elements which lie in tubes: If  $U \in \mathcal{H}$  is indecomposable lying in a tube of rank  $q$  then  $\tau^q U \simeq U$ .

Assume that  $p_1, \dots, p_r$  are even and  $p_{r+1}, \dots, p_t$  are odd. Let  $\mathcal{T}_1, \dots, \mathcal{T}_r$  be the exceptional tubes in  $\mathcal{H}_0$  of rank  $p_1, \dots, p_r$ , respectively, and recall that  $S_i$  is a simple object from  $\mathcal{T}_i$ . By Proposition 4.1 the functions  $\lambda_i = \lambda_{S_i}^{(p_i)}$  are additive on the triangles of any admissible triangulated structure  $\mathcal{S}$  on  $\mathcal{C}$ .

If  $\mathbf{x}$  is an element in  $K_0(\mathcal{H})$ , denote by  $\widehat{\mathbf{x}}$  its image in  $K_0(\mathcal{C}_{\mathcal{S}})$ .

**Proposition 4.2.** *Assume that the number  $r$  of even weights  $p_i$  is non-zero, then the linear forms  $\lambda_i$  ( $i = 1, \dots, r$ ) are realizable, linearly independent over  $\mathbb{Z}$  and  $K_0(\mathcal{C}_{\mathcal{S}}) = \overline{K}_0(\mathcal{C}) \simeq \mathbb{Z}^r$ .*

*Proof.* Linear independence of  $\lambda_1, \dots, \lambda_r$  follows from  $\lambda_i(S_j) = 2\delta_{ij}$ , where  $\delta_{ij}$  denotes the Kronecker symbol. We conclude that  $\widehat{\mathbf{s}}_1, \dots, \widehat{\mathbf{s}}_r$  are linearly independent and hence also  $\widehat{\mathbf{a}}, \widehat{\mathbf{s}}_2, \dots, \widehat{\mathbf{s}}_r$ , since  $2\widehat{\mathbf{a}} = \sum_{i=1}^m \widehat{\mathbf{s}}_i$ . Therefore  $K_0(\mathcal{C}_{\mathcal{S}}) = \overline{K}_0(\mathcal{C}) \simeq \mathbb{Z}^r$  follows from Proposition 3.7 (i).  $\square$

**The odd canonical case.** We adopt the notations of the previous section. Recall that  $S_0$  denotes a simple object from a homogeneous tube in  $\mathcal{H}_0$ .

**Proposition 4.3.** *Let  $\mathcal{C} = \mathcal{C}(\mathcal{H})$ , where  $\mathcal{H}$  is the category of coherent sheaves on a weighted projective line of weight type  $(p_1, \dots, p_t)$ , where all weights  $p_i$  are odd. Then the following holds:*

- (i) *Always  $\text{rk}_2$  is a non-zero realizable member of  $K_0(\mathcal{C}_S)^* \subseteq \overline{K}_0(\mathcal{C})^*$ .*
- (ii) *For  $\delta_{\mathcal{H}} \neq 0$  the subgroup of realizable members of  $\overline{K}_0(\mathcal{C})^*$  agrees with the subgroup  $\langle \text{rk}_2 \rangle$  generated by the rank modulo two.*
- (iii) *For  $\delta_{\mathcal{H}} = 0$ , that is for weight type  $(3, 3, 3)$ , we have equality*

$$K_0(\mathcal{C}_S)^* = \overline{K}_0(\mathcal{C})^* = \mathbb{Z}_2 \text{rk}_2 \oplus \mathbb{Z}_2 \deg_2,$$

*and each member of  $\overline{K}_0(\mathcal{C})^*$  is realizable.*

*Proof.* (i) and (iii): We invoke Proposition 3.10 and use that  $\text{rk}_2$  can be realized as  $\overline{\lambda}_0 = \overline{\lambda}_{S_0}^{(1)}$  where  $\overline{\lambda}_0(L) = 1 \pmod{2}$  and  $\overline{\lambda}_0(S_0) = 0$ .

In the tubular case, only the weight type  $(3, 3, 3)$  matters, thus the structure sheaf  $L$  lies in a tube of  $\tau$ -period three. Hence  $\deg_2$  is realized by  $\overline{\lambda}_L^{(3)}$  where  $\overline{\lambda}_L^{(3)}(S_0) = 1 \pmod{2}$ .

(ii): Assume the function  $\overline{\mu}_E(X) = |\text{Hom}_{\mathcal{C}}(E, X)|_2$  with  $E$  from  $\mathcal{H}$  is additive on induced triangles. We are going to show that  $\overline{\mu}_E$  is a multiple of  $\text{rk}_2$ . Since  $X \rightarrow X \rightarrow 0 \rightarrow \tau X$  is an induced triangle, we get

$$\overline{\mu}_E(X) = \overline{\mu}_E(\tau X) = \overline{\mu}_{\tau^{-1}E}(X)$$

for each object  $X$  of  $\mathcal{H}$ . Since  $p = \text{lcm}(p_1, \dots, p_t)$  is odd, setting  $\overline{E} = \bigoplus_{j=0}^{p-1} \tau^j E$  we thus obtain  $\overline{\mu}_E = \overline{\mu}_{\overline{E}}$ .

Next, we use a decomposition  $E = E_+ \oplus E_0$  of  $E$  into a bundle  $E_+$  and an object  $E_0$  of finite length. Invoking that  $\tau^p$  acts as the identity on finite length objects of  $\mathcal{H}$ , we see that  $\overline{E}_0 = \bigoplus_{j=0}^{p-1} \tau^j E$  is fixed under  $\tau$ . The expression  $\overline{\mu}_{\overline{E}_0}(X) = |\text{Hom}_{\mathcal{H}}(\overline{E}_0, X)|_2 + |\text{Ext}_{\mathcal{H}}^1(\overline{E}_0, \tau^{-1}X)|_2$  hence agrees with  $\langle \overline{E}_0, X \rangle_2$ , and  $\overline{\mu}_{\overline{E}_0}$  is a multiple of  $\text{rk}_2$ . By part (i) the function  $\text{rk}_2$  is additive on induced triangles, we conclude that the same holds for  $\overline{\mu}_{E_+}$ . From now on, we may hence assume that  $E$  is a bundle. Note that

$$\overline{\mu}_E(X) = \overline{\mu}_{\overline{E}}(X) = \langle \langle E, X \rangle \rangle_2 + \Delta_E(X),$$

where  $\langle \langle E, X \rangle \rangle = \sum_{j=0}^{p-1} \langle \tau^j E, X \rangle$ ,  $\langle \langle E, X \rangle \rangle_2 = \langle \langle E, X \rangle \rangle \pmod{2}$  and

$$\begin{aligned} \Delta_E(X) &= \sum_{j=0}^{p-1} (|\text{Ext}_{\mathcal{H}}^1(\tau^j E, X)|_2 + |\text{Ext}_{\mathcal{H}}^1(\tau^{j+1} E, X)|_2) \\ &= |\text{Ext}_{\mathcal{H}}^1(E, X)|_2 + |\text{Ext}_{\mathcal{H}}^1(\tau^p E, X)|_2. \end{aligned}$$

By the Riemann-Roch formula,

$$(4.4) \quad \langle \langle E, X \rangle \rangle = -\frac{p}{2} \delta_{\mathcal{H}} \text{rk}(E) \text{rk}(X) + \begin{vmatrix} \text{rk}(E) & \text{rk}(X) \\ \deg(E) & \deg(X) \end{vmatrix},$$

see [9], the function  $\langle\langle E, - \rangle\rangle_2$  is a linear combination of  $\text{rk}_2$  and  $\text{deg}_2$ . Hence  $\langle\langle E, - \rangle\rangle_2$  is a member of  $\overline{K}_0(\mathcal{C})^*$ , implying that  $\Delta_E$  also belongs to  $\overline{K}_0(\mathcal{C})^*$ . By construction,  $\Delta_E$  vanishes on  $S_0$ . By means of a line bundle filtration of  $E$ , Serre duality implies that  $\Delta_E(L') = 0$  for any line bundle  $L'$  of sufficiently large degree, and we deduce from Proposition 3.7 that  $\Delta_E = 0$ . If  $E$  is of even rank, then the function  $\langle\langle E, - \rangle\rangle_2$ , hence also the function  $\overline{\mu}_E$ , is a multiple of  $\text{rk}_2$ , proving the claim in this case.

It remains to deal with the case that the rank of  $E$  is odd, where we deduce a contradiction from the assumption that  $\overline{\mu}_E$  belongs to  $\overline{K}_0(\mathcal{C})^*$ . Invoking  $\overline{\mu}_E = \overline{\mu}_{\overline{E}}$ , we have shown that  $\Delta_{\overline{E}} = 0$ . Note that  $\Delta_{\overline{E}} = 0$  asserts that

$$(4.5) \quad |\text{Ext}_{\mathcal{H}}^1(\overline{E}, X)|_2 = |\text{Ext}_{\mathcal{H}}^1(\tau^{np}\overline{E}, X)|_2$$

for each  $n \in \mathbb{Z}$  and each object  $X$  from  $\mathcal{H}$ .

*Case  $\delta_{\mathcal{H}} > 0$ :* Clearly, the functions  $\langle\overline{E}, - \rangle = \langle\langle E, - \rangle\rangle$  and  $\langle\tau^{np}\overline{E}, - \rangle = \langle\langle \tau^{np}E, - \rangle\rangle$  are additive on induced triangles. They agree modulo two on  $S_0$  and by formula (4.5) also on each line bundle  $L'$  of large negative degree. It then follows from Proposition 3.7 that  $\langle\overline{E}, - \rangle_2 = \langle\tau^{np}\overline{E}, - \rangle_2$ .

By means of a line bundle filtration for  $\overline{E}$  we obtain for each integer  $n \gg 0$  two line bundles  $L_1$  and  $L_2$  of consecutive degrees  $d$  and  $d+1$  such that

$$(4.6) \quad \text{Hom}_{\mathcal{H}}(\tau^{np}\overline{E}, L_i) = 0 \text{ and } \text{Ext}_{\mathcal{H}}^1(\overline{E}, L_i) = 0 \text{ for } i = 1, 2.$$

By (4.4) we get  $\langle\overline{E}, L_i\rangle_2 = \alpha + \text{deg}_2(L_i)$  for some  $\alpha \in \mathbb{Z}_2$ , only depending on  $E$ . We then choose one of the  $L_i$  such that (4.6) and further  $\langle\overline{E}, L_i\rangle_2 = 1$  holds. Invoking (4.5) we obtain the contradiction

$$\begin{aligned} 1 &= \langle\overline{E}, L_i\rangle_2 = \langle\tau^{np}\overline{E}, L_i\rangle_2 \\ &= |\text{Hom}_{\mathcal{H}}(\tau^{np}\overline{E}, L_i)|_2 + |\text{Ext}_{\mathcal{H}}^1(\tau^{np}\overline{E}, L_i)|_2 = 0. \end{aligned}$$

*Case  $\delta_{\mathcal{H}} < 0$ :* The proof is similar, choosing  $n \ll 0$ . □

**The Dynkin case.** Now, let  $A$  be a connected hereditary representation-finite algebra whose quiver has as underlying graph the Dynkin diagram  $\Delta$ . Then  $\Delta$  is a star with length of the arms  $p_1, \dots, p_t$  (where  $t \leq 3$ ) and the Auslander-Reiten quiver of  $\text{D}^b(\text{mod } A)$  is  $\mathbb{Z}\Delta$  whose  $\tau$ -orbits correspond to the vertices of  $\Delta$ . In this case there are no tubes. Nevertheless we find “periodic” objects. Let  $m$  be the Coxeter number



of  $\Delta$ , that is, the order of the Coxeter transformation  $\Phi$ . We have

$$m = \begin{cases} n+1 & \text{if } \Delta = \mathbb{A}_n, \\ 2(n-1) & \text{if } \Delta = \mathbb{D}_n, \\ 12, 18, 30 & \text{if } \Delta = \mathbb{E}_6, \mathbb{E}_7, \mathbb{E}_8, \text{ respectively.} \end{cases}$$

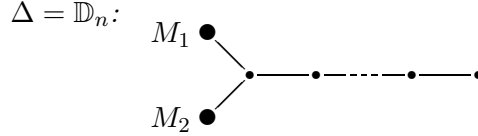
Since  $K_0(\mathcal{C}) = 0$  in the cases  $\Delta = \mathbb{A}_n$  ( $n$  even),  $\mathbb{E}_6, \mathbb{E}_8$  by Proposition 3.5, we restrict our attention to the remaining cases. Note that then  $m$  is always an even number.

**Proposition 4.4.** *In the cases  $\Delta = \mathbb{A}_n$  with  $n$  odd or  $\Delta = \mathbb{E}_7$ , let  $M$  be an indecomposable object of  $D^b(\text{mod } A)$  lying in a  $\tau$ -orbit as indicated in the following picture.*



Then  $\lambda_M^{(m+2)}$  is a non-zero realizable function, which is additive on all triangles.

In the case  $\Delta = \mathbb{D}_n$  ( $n \geq 4$ ) one can choose indecomposable objects  $M_1$  and  $M_2$  of  $D^b(\text{mod } A)$  lying in the two  $\tau$ -orbits as indicated in the following picture



such that the functions  $\lambda_i = \lambda_{M_i}^{(m+2)}$  for  $i = 1, 2$  are non-zero realizable and, for  $n$  even, linearly independent.

*Proof.* For any indecomposable  $A$ -module  $U$  we have  $\tau^m U \simeq T^{-2}U$  in  $\mathcal{D} = D^b(\text{mod } A)$  which implies  $\tau^{m+2}U \simeq F^{-2}U$ . By Proposition 4.1 the function  $\lambda_U^{(m+2)}$  is additive on triangles in  $\mathcal{C}$  with respect to any admissible triangulated structure on  $\mathcal{C}$ .

Let  $\mathcal{H} = \text{mod } A$ . For indecomposable objects  $M$  and  $N$  in  $\mathcal{D}$  we have (identifying them with their images in  $\mathcal{C}$ )

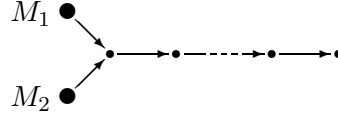
$$\begin{aligned} \lambda_M^{(m+2)}(N) &= \sum_{i=0}^{m+1} (-1)^i |\text{Hom}_{\mathcal{C}}(\tau^{-i}M, N)| \\ &= \sum_{j \in \mathbb{Z}} \sum_{i=0}^{m+1} (-1)^i |\text{Hom}_{\mathcal{D}}(M, \tau^{i-j}T^jN)|. \end{aligned}$$

Setting  $\mu_j(M, N) = \sum_{i=0}^{m+1} (-1)^i |\text{Hom}_{\mathcal{D}}(M, \tau^{i-j} T^j N)|$  we have  $\mu_j(M, N) = 0$  for  $j < 0$  and  $M, N \in \mathcal{H} \cup \tau^{-}\mathcal{H}$ .

In the case  $\Delta = \mathbb{A}_n$  ( $n$  odd) we get  $\lambda_M^{(m+2)}(M) = 2$ , where  $M$  is as indicated above. Indeed,  $\mu_0(M, M) = 1 = \mu_1(M, M)$  and  $\mu_j(M, M) = 0$  for  $j \geq 2$ .

In the case  $\Delta = \mathbb{E}_7$  we have  $\lambda_M^{(m+2)}(M) = 6$ . Indeed,  $\mu_0(M, M) = 1$ ,  $\mu_1(M, M) = 3$ ,  $\mu_2(M, M) = 2$  and  $\mu_j(M, M) = 0$  for  $j \geq 3$ .

In the case  $\Delta = \mathbb{D}_n$  let  $M_1$  and  $M_2$  be in the AR quiver lying in the following slice.



Let  $\lambda_1 = \lambda_{M_1}^{(m+2)}$  and  $\lambda_2 = \lambda_{M_2}^{(m+2)}$  where  $M_1$  and  $M_2$  are indicated as above. Let  $M, M' \in \{M_1, M_2\}$  with  $M \neq M'$ . It is easy to see that  $\text{Hom}_{\mathcal{D}}(M, \tau^i M) \neq 0$  if and only if  $i$  is even and  $-(n-2) \leq i \leq 0$ . Similarly,  $\text{Hom}_{\mathcal{D}}(M, \tau^i M') \neq 0$  if and only if  $i$  is odd and  $1 \leq i \leq n-1$ . Moreover,

$$\tau^{-(n-1)} M \simeq \begin{cases} TM & n \text{ even,} \\ TM' & n \text{ odd.} \end{cases}$$

Using this we get  $\mu_0(M, M) = 1$ ,  $\mu_0(M, M') = 0$ , and

	$\mu_1(M, M)$	$\mu_1(M, M')$	$\mu_2(M, M)$	$\mu_2(M, M')$
$n$ even	$\frac{n}{2}$	$-\frac{n-2}{2}$	$\frac{n-2}{2}$	$-\frac{n-2}{2}$
$n$ odd	$\frac{n-1}{2}$	$-\frac{n-1}{2}$	$\frac{n-3}{2}$	$-\frac{n-1}{2}$

and  $\mu_j(M, M) = 0 = \mu_j(M, M')$  for  $j \geq 3$ . Consequently, for even  $n$  one has  $\lambda_1(M_1) = n$ ,  $\lambda_1(M_2) = -(n-2)$ ,  $\lambda_2(M_1) = -(n-2)$  and  $\lambda_2(M_2) = n$ , and linear independence of  $\lambda_1$  and  $\lambda_2$  follows. If  $n$  is odd, then  $\lambda_1(M_1) = n-1 = \lambda_2(M_2)$  and  $\lambda_1(M_2) = -(n-1) = \lambda_2(M_1)$ .  $\square$

**Proof of Theorem 1.2.** For case (i) the assertion follows from Proposition 4.2, for case (ii) it follows from Proposition 4.3 and for (iii) it follows from the fact that by Proposition 1.1,  $K_0(\mathcal{C}_S)$  is a quotient of  $\overline{K}_0(\mathcal{C})$  and by Proposition 4.4 and 3.5 both are free of the same rank.  $\square$

**Proof of Theorem 1.3.** This follows immediately from Propositions 4.2 and 4.3.  $\square$

## 5. CLUSTER TUBES

**Existence of admissible structures.** Let  $\mathcal{T}$  be a tube of rank  $q$ . We consider the cluster category  $\mathcal{C} = \mathcal{C}(\mathcal{T})$  as orbit category  $D^b(\mathcal{T})/F^{\mathbb{Z}}$ , where again  $F = \tau^{-1}T$ , where  $\tau$  is the Auslander-Reiten translation and  $T$  the suspension functor. We call  $\mathcal{C}(\mathcal{T})$  the *cluster tube* of rank  $q$ . Since  $\mathcal{T}$  has no tilting object, we can not invoke Keller's result [7] directly to conclude that  $\mathcal{T}$  has an admissible triangulated structure. We now show that  $\mathcal{T}$  admits an admissible structure anyway.

**Proposition 5.1.** *The cluster tube  $\mathcal{C}(\mathcal{T})$  of rank  $q$  admits an admissible triangulated structure.*

*Proof.* Let  $\mathbb{X}$  be a weighted projective line of weight type  $(q) = (1, q)$  and let  $\mathcal{H} = \text{coh } \mathbb{X}$ . Recall the definitions of  $\mathcal{H}_0$  and  $\mathcal{H}_+$  from Section 2. We may view  $\mathcal{T}$  as a full subcategory of  $\mathcal{H}_0$ , which is even exact because of (2.1). Therefore  $\mathcal{C}(\mathcal{T})$  is a full subcategory of  $\mathcal{C}(\mathcal{H})$ .

By [7], there exists an admissible triangulated structure  $\mathcal{S}$  on  $\mathcal{C}(\mathcal{H})$ . We denote by  $\mathcal{S}'$  the subclass of  $\mathcal{S}$  given by all triangles  $X \rightarrow Y \rightarrow Z \rightarrow TX$  such that  $X, Y, Z \in \mathcal{C}(\mathcal{T})$ . It is clear that once we show that  $\mathcal{S}'$  is a triangulated structure on  $\mathcal{C}(\mathcal{T})$  then it is admissible. Since  $\mathcal{T}$ , and then also  $\mathcal{C}(\mathcal{T})$ , is closed under direct sums and summands in  $\mathcal{H}$ , we only have to verify that  $X, Y \in \mathcal{C}(\mathcal{T})$  implies  $Z \in \mathcal{C}(\mathcal{T})$  for any triangle  $X \rightarrow Y \rightarrow Z \rightarrow TX$  in  $\mathcal{S}$ .

By the preceding remark, we can assume that  $X, Y \in \mathcal{T}$  and  $Z \in \mathcal{H}$ . Write  $Z = Z_+ \oplus Z_0$  where  $Z_0 \in \mathcal{H}_0$  and  $Z_+ \in \mathcal{H}_+$ . Let  $W \in \mathcal{H}$  be a simple object in some homogeneous tube  $\mathcal{T}' \neq \mathcal{T}$ . Applying the functor  $\text{Hom}_{\mathcal{C}}(-, W)$  to the triangle  $X \rightarrow Y \rightarrow Z \rightarrow TX$ , we get an exact sequence

$$\text{Hom}_{\mathcal{C}}(TX, W) \rightarrow \text{Hom}_{\mathcal{C}}(Z, W) \rightarrow \text{Hom}_{\mathcal{C}}(Y, W)$$

whose end terms are zero, because  $\mathcal{T}$  and  $\mathcal{T}'$  are orthogonal in  $\mathcal{H}$  and  $\mathcal{C}(\mathcal{H})$ . Therefore  $\text{Hom}_{\mathcal{C}}(Z, W) = 0$ , in particular  $\text{Hom}_{\mathcal{H}}(Z, W) = 0$ . Hence  $Z_+ = 0$  and  $Z_0 \notin \mathcal{T}'$ . Since we can vary  $\mathcal{T}' \subset \mathcal{H}_0$  we also see that  $Z = Z_0 \in \mathcal{T}$ .  $\square$

**The Grothendieck group of a cluster tube.** Let  $\mathcal{T}$  be a tube and  $\mathcal{C} = \mathcal{C}(\mathcal{T})$  its cluster category. As in 1.1 one shows  $\overline{K}_0(\mathcal{C}) = \text{Coker}(1 + \Phi)$ . We call an admissible triangulated structure on  $\mathcal{T}$  an *induced* triangulated structure if it is obtained from an embedding of  $\mathcal{T}$  in  $\text{coh } \mathbb{X}$  as explained in the previous paragraph.

**Proposition 5.2.** *Let  $\mathcal{T}$  be a tube of rank  $q$ .*

- (i) If  $q$  is even then for any admissible triangulated structure  $\mathcal{S}$  on  $\mathcal{C} = \mathcal{C}(\mathcal{T})$  we have  $K_0(\mathcal{C}_\mathcal{S}) = \overline{K}_0(\mathcal{C}) \simeq \mathbb{Z}$ .
- (ii) If  $q$  is odd then for any induced triangulated structure  $\mathcal{S}$  on  $\mathcal{C} = \mathcal{C}(\mathcal{T})$  we have  $K_0(\mathcal{C}_\mathcal{S}) = \overline{K}_0(\mathcal{C}) \simeq \mathbb{Z}_2$ .

*Proof.* (i) If  $S$  is a simple object in  $\mathcal{T}$  then  $K_0(\mathcal{T})$  is the free group generated by the elements  $\mathbf{s}(j) = [\tau^j S]$ , for  $j \in \mathbb{Z}_q$ . Therefore  $\overline{\mathbf{s}}(j) = -\overline{\mathbf{s}}(j+1)$  in  $\overline{K}_0(\mathcal{C})$  and  $\overline{K}_0(\mathcal{C})$  is generated by  $\overline{\mathbf{s}} = \overline{\mathbf{s}}(0)$  without relation. This shows  $\overline{K}_0(\mathcal{C}) = \mathbb{Z}\overline{\mathbf{s}} \simeq \mathbb{Z}$ .

Finally, we can define  $\lambda_S^{(q)} : \mathcal{C} \rightarrow \mathbb{Z}$  as in (4.1) which defines a linear form  $\lambda : K_0(\mathcal{C}_\mathcal{S}) \rightarrow \mathbb{Z}$  with  $\lambda(S) = 2$ . Thus  $K_0(\mathcal{C}_\mathcal{S})$  has at least rank one and (i) follows.

(ii) Let  $S \in \mathcal{T}$  be a simple object. Then  $\overline{K}_0(\mathcal{C})$  is generated by  $\overline{\mathbf{s}}$ , where  $\mathbf{s} = [S]$ , and we have  $2\overline{\mathbf{s}} = 0$ . We show that  $\overline{\mathbf{s}}$  induces a non-trivial element in  $K_0(\mathcal{C}_\mathcal{S})$ . For any object  $X$  in  $\mathcal{C}$  define

$$\lambda(X) = \sum_{j=0}^{q-1} |\mathrm{Hom}_{\mathcal{C}}(L, \tau^j X)|_2$$

For an object  $X$  in  $\mathcal{T}$  we have  $\lambda(\pi X) = \deg_2(X)$ . Indeed, since  $\tau^q X \simeq X$

$$\begin{aligned} \lambda(\pi X) &= \sum_{j=0}^{q-1} |\mathrm{Hom}_{\mathcal{T}}(L, \tau^j X)|_2 \pm \sum_{j=0}^{q-1} |\mathrm{Ext}_{\mathcal{T}}^1(L, \tau^{j-1} X)|_2 \\ &= \sum_{j=0}^{q-1} \langle L, \tau^j X \rangle_2 = \deg_2(X). \end{aligned}$$

In particular,  $\lambda(\pi S) = 1 \neq 0$ . Now,  $\lambda$  is additive on triangles in  $\mathcal{C}$ , which is shown with a version of the cutting technique similar to the proof of Proposition 4.1. In order to show that  $K \simeq K'$  like in (4.2) we use that  $\tau^q$  is the identity functor on  $\mathcal{T}$ .  $\square$

## REFERENCES

- [1] A. Buan, R. Marsh, M. Reineke, I. Reiten and G. Todorov: *Tilting theory and cluster combinatorics*. To appear in Adv. Math.
- [2] S. Fomin, A. Zelevinsky, *Cluster algebras I: Foundations*. J. Amer. Math. Soc. **15** (2002), no. 2, 497-529.
- [3] W. Geigle and H. Lenzing: *A class of weighted projective curves arising in representation theory of finite-dimensional algebras*. Springer Lecture Notes in Math. **1273**, 265-297, Springer-Verlag, Berlin, 1987.

- [4] D. Happel: *Triangulated categories in the representation theory of finite-dimensional algebras*. London Mathematical Society Lecture Note Series, 119. Cambridge University Press, Cambridge, 1988.
- [5] D. Happel: *A characterization of hereditary categories with tilting object*. Invent. Math. 144 (2001), no. 2, 381–398.
- [6] D. Happel and I. Reiten: *Directing objects in hereditary categories*. Trends in the representation theory of finite-dimensional algebras (Seattle, WA, 1997), 169–179, Contemp. Math., 229, Amer. Math. Soc., Providence, RI, 1998.
- [7] B. Keller: *On triangulated orbit categories*. Doc. Math. 10 (2005), 551–581.
- [8] D. Kussin: *On the  $K$ -theory of tubular algebras*. Colloq. Math. **86** (2000), 137–152.
- [9] H. Lenzing: *A  $K$ -theoretic study of canonical algebras*. Representation theory of algebras (Cocoyoc, 1994), 433–454, CMS Conf. Proc., 18, Amer. Math. Soc., Providence, RI, 1996.
- [10] M. Newman: *Integral Matrices*. Academic Press, New York, 1972.
- [11] C. M. Ringel: *Tame algebras and integral quadratic forms*. Lecture Notes in Mathematics **1099**, Springer-Verlag, Berlin, 1984.

INSTITUTO DE MATEMÁTICAS, UNIVERSIDAD NACIONAL AUTÓNOMA DE MÉXICO,  
CIUDAD UNIVERSITARIA, C.P. 04510, MEXICO

*E-mail address:* `barot@matem.unam.mx`

INSTITUT FÜR MATHEMATIK, UNIVERSITÄT PADERBORN, 33095 PADERBORN,  
GERMANY

*E-mail address:* `dirk@math.uni-paderborn.de`

INSTITUT FÜR MATHEMATIK, UNIVERSITÄT PADERBORN, 33095 PADERBORN,  
GERMANY

*E-mail address:* `helmut@math.uni-paderborn.de`