## Categorical approach to equivariant Morse theory

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## Morse theory

Let M be  $C^{\infty}$ , compact, Riemannian manifold (without boundary), and

 $f: M \longrightarrow \mathbb{R}$ 

a  $C^\infty$  map. A critical point  $p \in M$  is *Morse* if the bilinear form

$$Hess_p(f) = \left( \begin{array}{c} rac{\partial^2 f}{\partial x_i \partial x_j}(p) \end{array} 
ight)$$

is non-degenerate.



The gradient flow lines  $\gamma$  :  $\mathbb{R} \longrightarrow M$  satisfying

$$rac{d\gamma}{dt} + 
abla_\gamma(f) = 0\,.$$

For *a* critical point, the *stable* and *unstable* manifold are

$$W^{s}(a) = \{x \in M : \lim_{t \to +\infty} \gamma_{x}(t) = a\}$$

$$W^u(a) = \{x \in M : \lim_{t \to -\infty} \gamma_x(t) = a\}$$



# Classifying spaces

### Definition

For  $\mathcal{C}$  a category, the classifying space  $B\mathcal{C}$  is the realization of the nerve  $N\mathcal{C}$ . Where for a simplicial set (space) X the realization is defined as the quotient  $\coprod_{n\geq 0}\Delta_n \times X_n / \sim$  with  $(s, X(f)a) \sim (\Delta_f(s), a)$  and we get:

- Category  $\mathfrak{C} \longmapsto$  Topological space  $B\mathfrak{C}$
- Functor  $F : \mathcal{C} \to \mathcal{D} \longmapsto$  Continuous function  $BF : B\mathcal{C} \to B\mathcal{D}$
- Natural transformation  $\alpha: F \Rightarrow G \mapsto Homotopy H_{\alpha}: B\mathfrak{C} \times I \rightarrow B\mathfrak{D}$

#### Definition

Let  $F : \mathcal{C} \to \mathcal{D}$  functor, y in  $\mathcal{D}$ . The category  $y \setminus F$  has objects (x, v),  $v : y \to F(x)$ , morphisms from (x, v) to (x', v') is  $u : x \to x'$ , v' = F(u)v.

#### **Theorem A** (Quillen)

If the category  $y \setminus F$  is contractible for every object y of  $\mathcal{D}$ , then the functor F is a homotopy equivalence.

## Morse theory and classifying spaces

For  $f: M \to \mathbb{R}$  a Morse function we define the "flow category"  $C_f$  as follows:

• the objects, are just the union of all the critical points

$$\operatorname{Obj} \mathfrak{C}_f = \bigsqcup_{p \in \operatorname{Crit}_f} p$$

• for two critical points a and b we define the space of objects  $\operatorname{Hom}_{\mathcal{C}_f}(a, b)$  as the compactification of the moduli space  $\mathcal{M}(a, b) = (W^u(a) \cap W^s(b))/\mathbb{R}$ . We denote this space by  $\overline{\mathcal{M}}(a, b)$ .

## **Theorem** (Cohen-Jones-Segal)

- For  $f : M \longrightarrow \mathbb{R}$  a Morse function, the classifying space of  $\mathcal{C}_f$  is of the homotopy type of M.
- For f : M → ℝ a Morse-Smale function, the classifying space of C<sub>f</sub> is homeomorphic with M.

• For a category  ${\mathfrak C}$  there are pair of functors



where  $s(\mathcal{C})$  has objects  $a \xrightarrow{\gamma} b$  and morphism from  $a_1 \xrightarrow{\gamma_1} b_1$  to  $a_2 \xrightarrow{\gamma_2} b_2$  pairs  $a_2 \xrightarrow{\alpha} a_1$  and  $b_1 \xrightarrow{\beta} b_2$  with  $\gamma_2 = \beta \gamma_1 \alpha$ . This functors are prefibred and

$$S^{-1}(x) = x \setminus C, \ T^{-1}(y) = (C/y)^{o}$$

- Let <u>M</u> the category with objects the elements of M and morphism only identities, so <u>BM</u> = M. There are functors <u>M</u> ↔ s(C<sub>f</sub>), defined by x → (γ<sub>x</sub>, x) and projection. This categories are homotopy equivalent.

## Semi-direct product

## Definition

Suppose *G* acts on  $\mathcal{C}$ , the semi-direct product  $\mathcal{C} \rtimes G$  is a category with:

- the objects of C;
- the morphisms are pairs  $(\gamma, g) : x \longrightarrow y$  with  $g \in G$  and  $\gamma : gx \longrightarrow y$ a morphism in  $\mathbb{C}$ ; and
- the composition of (γ, g) : x → y with (δ, h) : y → z is (δhγ, hg). This is described as follows.



#### Theorem

The classifying space of the semi-direct product  $\mathbb{C} \rtimes G$  has the weak homotopy type of the Borel construction  $B\mathbb{C} \times_G EG$ .

### **Theorem A** (Quillen-Moerdijk)

Let  $F : \mathfrak{D} \longrightarrow \mathfrak{C}$  be a G-<u>invariant continuous functor</u> between topological categories. If for  $n \ge 0$ , the quotient map

$$B(\operatorname{Nerve}_n(\mathcal{C}) \setminus F) / G \longrightarrow \operatorname{Nerve}_n(\mathcal{C})$$

is a weak homotopy equivalence, then

$$\widehat{BF}: B\mathcal{D}/G \longrightarrow B\mathcal{C}$$

is a weak homotopy equivalence.

- For F : D → C functor and φ : X → C<sub>0</sub> continuous map, with C<sub>0</sub> the objects. The objects of X \ F are triples (x, u, y) with x ∈ X, y ∈ D<sub>0</sub> and u : φ(x) → F(y); the morphisms γ : (x, u, y) → (x', u', y') for x = x' are arrows γ : y → y' with F(γ) ∘ u = u'.
- Let G be the category with objects G and only one morphism between any pair of objects. Consider the functor T : C × G → C × G defined in objects (x,g) → g<sup>-1</sup>x and in morphisms
   (x,g) (<sup>γ,h<sup>-1</sup>g)</sup>/<sub>→</sub> (y,h) the image by T is (h<sup>-1</sup>γ, h<sup>-1</sup>g). Denote the

 $(x,g) \xrightarrow{\leftarrow} (y,h)$  the image by T is  $(h^{-1}\gamma, h^{-1}g)$ . Denote the category  $\mathcal{T} := \operatorname{Nerve}_n(\mathfrak{C} \rtimes G)/T$ 

$$B\mathfrak{T} = \coprod_{\mathsf{Nerve}_n(\mathbb{C}\rtimes G)} B\mathfrak{T}_{\overline{x}} \simeq \coprod_{\mathsf{Nerve}_n(\mathbb{C}\rtimes G)} \coprod_{k\in G} B\mathfrak{T}_k \cong \coprod_{\mathsf{Nerve}_n(\mathbb{C}\rtimes G)} \coprod_{g\in G} EG$$

where we have the action relates  $\mathfrak{T}_k \xrightarrow{g} \mathfrak{T}_{gk}$  and the inclusion  $\mathfrak{T}_k \hookrightarrow \overline{G}$ is a homotopy equivalence. Thus  $B\mathfrak{T}/G$  is of the (weak) homotopy type of  $\operatorname{Nerve}_n(\mathfrak{C} \rtimes G)$  and hence  $B(\mathfrak{C} \times \overline{G})/G \simeq B(\mathfrak{C} \rtimes G)$ .

Let M be a compact manifold with an action of a Lie group G, that is  $M \times G \longrightarrow M$ .

Furthermore, if  $N_1$ ,  $N_2$  are two Morse submanifolds, then we have an action

$$G \times W(N_1, N_2) \times \mathbb{R} \longrightarrow W(N_1, N_2)$$

given by  $(g, x, t) \longrightarrow g\gamma_x(t)$  where suppose  $g\gamma_x = \gamma_{gx}$  as sets. Thus we have an action of G over the flow category  $\mathcal{C}_f$  and we get the following result.

#### Theorem

• For a G-invariant Morse function we get

$$B(\mathfrak{C}_f\rtimes G)\simeq B\mathfrak{C}_f\times_G EG$$
.

• For G a finite group we get

 $B(\mathfrak{C}_f \rtimes G) \simeq B\mathfrak{C}_f \times_G EG \simeq B(B\mathfrak{C}_f \rtimes G).$ 

## Corollary

For G a group acting free over a manifold M and  $f : M \longrightarrow \mathbb{R}$  a G-invariant function, we get the (weak) homotopy equivalence

 $B(\mathfrak{C}_f \rtimes G) \simeq M/G$ .

Thanks!!