

# Construction of chiral 4-polytopes with alternating or symmetric automorphism group

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## Abstract

In this paper we describe a construction for finite abstract chiral 4-polytopes with Schläfli type  $\{3, 3, k\}$  (with tetrahedral facets), and with an alternating or symmetric group as automorphism group. We use it to prove that for all but finitely many  $n$ , both  $A_n$  and  $S_n$  are the automorphism groups of such a polytope. We also show that the vertex-figures of the polytopes obtained from our construction are chiral.

**Keywords:** Abstract polytopes, chiral polytopes, symmetric groups, alternating groups.

# 1 Introduction

Abstract polytopes generalise the classical notion of convex geometric polytopes to more general structures. Highly symmetric examples include not only classical regular polytopes such as the Platonic solids and more exotic structures such as the 120-cell and 600-cell, but also non-degenerate regular maps on surfaces (such as Klein’s quartic, of genus 3).

Roughly speaking, an abstract polytope  $\mathcal{P}$  is a partially-ordered set endowed with a rank function, satisfying certain conditions that arise naturally from a geometric setting. Such objects were proposed by Grünbaum in the 1970s, and their definition (initially as ‘incidence polytopes’) and theory were developed by Danzer and Schulte.

Every automorphism of an abstract polytope is uniquely determined by its effect on any flag, which is a maximal chain in  $\mathcal{P}$  (when this is regarded as a poset). The most symmetric examples are *regular*, with all flags lying in a single orbit, and a comprehensive description of these is given in a book on the subject by McMullen and Schulte [6]. These objects are also known as ‘thin residually-connected geometries with a linear diagram’.

An interesting class of examples which are not quite regular are the *chiral* polytopes, for which the automorphism group has two orbits on flags, with any two flags that differ in a single element lying in different orbits. The study of chiral abstract polytopes was pioneered by Schulte and Weiss (see [10, 11] for example). Chiral polytopes of rank 3 are essentially the same as chiral maps on surfaces, with some modest extra geometric conditions.

For quite some time, the only known finite examples of chiral polytopes had ranks 3 and 4, but then some finite examples of rank 5 were constructed by Conder, Hubard and Pisanski [3], and now quite a few such examples are known. Many small examples of regular or chiral polytopes have been assembled in collections, as in [4, 5] for example.

In early 2009 the first author and Alice Devillers devised a construction for chiral polytopes whose facets are simplices, and used this to construct examples of finite chiral polytopes of ranks 6, 7 and 8 [unpublished]. At about the same time, the fourth author of this paper devised a quite different method for constructing finite chiral polytopes with given regular facets, and used this construction to prove the existence of finite chiral polytopes of every rank  $d \geq 3$ ; see [7]. The latter polytopes are enormous, however, and not easy to describe. It is still an open problem to find alternative constructions for families of chiral polytopes of relatively small order, or which have more easily described automorphism groups. A large number of other open questions about chiral polytopes are given by the fourth author in [8].

In this paper we make a contribution towards producing infinite families of chiral polytopes with well known groups. Specifically, we describe a construction for chiral 4-polytopes of type  $\{3, 3, k\}$ , with tetrahedral facets, using a way of combining together permutation representations of the tetrahedral group  $A_4$  into the automorphism group.

Our main result is the following:

**Theorem 1.1.** *For all but finitely many positive integers  $n$ , both  $A_n$  and  $S_n$  are the automorphism groups of chiral 4-polytopes of type  $\{3, 3, k\}$  for some  $k$ .*

In fact our construction proves this theorem for all  $n \geq 50$ , but thanks to an easy computation with MAGMA [1], we know it is also true for  $20 \leq n \leq 49$ , and hence for all  $n \geq 20$ . In addition, we know that the only smaller values of  $n$  for which  $A_n$  is the automorphism group of such a chiral 4-polytope are 9, 13, 14, 15, 17 and 18, while the only such values of  $n$  for  $S_n$  are 12, 16, 17, 18 and 19. Examples of generating permutations for  $A_n$  and  $S_n$  in the cases not covered by our construction are given in [2].

In a planned sequel, we will extend the ideas presented here to the construction of infinite families of chiral polytopes of larger rank  $d$ , using permutation representations of the alternating group  $A_d$  (as the rotation group of the regular  $(d-1)$ -simplex) to build their automorphism groups.

Here we give some further background on regular and chiral polytopes in Section 2, and then in Section 3 we set up some of the building blocks and other things needed for our construction. We describe our construction and prove Theorem 1.1 in Section 4. Finally, in Section 5 we show that the vertex-figures of the chiral 4-polytopes resulting from our construction are all chiral.

## 2 Abstract polytopes and chirality

An *abstract  $d$ -polytope* (or *abstract polytope of rank  $d$* ) is a partially ordered set  $\mathcal{P}$ , the elements and maximal totally ordered subsets of which are called *faces* and *flags* respectively, such that certain properties are satisfied, which we explain below.

### 2.1 Definition of abstract polytopes

First,  $\mathcal{P}$  contains a minimum face  $F_{-1}$  and a maximum face  $F_d$ , and there is a rank function from  $\mathcal{P}$  to the set  $\{-1, 0, \dots, d\}$  such that  $\text{rank}(F_{-1}) = -1$  and  $\text{rank}(F_d) = d$ . Every flag of  $\mathcal{P}$  contains precisely  $d+2$  elements, including  $F_{-1}$  and  $F_d$ . The faces of rank  $i$  are called  *$i$ -faces*, the 0-faces are called *vertices*, the 1-faces are called *edges*, and the  $(d-1)$ -faces are called *facets*. If  $F$  and  $G$  are faces of ranks  $r$  and  $s$  with  $F \leq G$ , then we say that  $F$  and  $G$  are *incident*, we define  $G/F := \{H \mid F \leq H \leq G\}$ , and call this a *section* of  $\mathcal{P}$ , of rank  $s - r - 1$ . When convenient, we identify the section  $G/F_{-1}$  with the face  $G$  itself in  $\mathcal{P}$ , and if  $v = F_0$  is a vertex, then the rank  $d-1$  section  $F_d/F_0 := \{H \mid F_0 \leq H\}$  is called the *vertex-figure* of  $\mathcal{P}$  at  $v$ .

Whenever  $G/F$  is a rank 1 section (with  $\text{rank}(G) - \text{rank}(F) = 2$ ), there are precisely two faces  $H_1$  and  $H_2$  such that  $F < H_i < G$ . This property is called the *diamond condition*. It implies that for any flag  $\Phi$  and for every  $i \in \{0, \dots, d-1\}$ , there is a unique flag  $\Phi^i$  differing from  $\Phi$  in precisely the  $i$ -face. We call  $\Phi^i$  the  *$i$ -adjacent flag* for  $\Phi$ .

Finally, for any two flags  $\Phi$  and  $\Phi'$  of  $\mathcal{P}$ , there exists a sequence  $\Psi_0, \Psi_1, \dots, \Psi_m$  of flags of  $\mathcal{P}$  from  $\Psi_0 = \Phi$  to  $\Psi_m = \Phi'$  such that  $\Psi_{k-1}$  is adjacent to  $\Psi_k$ , and  $\Phi \cap \Phi' \subseteq \Psi_k$ , for  $1 \leq k \leq m$ . The last condition is known as *strong flag-connectivity*, and completes the definition of an abstract  $d$ -polytope.

In this paper, we will deal with finite polytopes (namely those with finite rank and only finitely many faces of each rank).

Every rank 2 section  $G/F$  between an  $(i-2)$ -face  $F$  and an incident  $(i+1)$ -face  $G$  of a finite abstract polytope  $\mathcal{P}$  is isomorphic to the face lattice of a polygon, and by convention, we assume that each such polygon is non-degenerate (having at least 3 sides). If the number of sides of each such polygon depends only on  $i$ , and not on  $F$  or  $G$ , then we say that  $\mathcal{P}$  is *equivelar*. Regular and chiral polytopes (defined below) are examples of equivelar polytopes. We define the *Schläfli type* of an equivelar  $d$ -polytope  $\mathcal{P}$  as  $\{p_1, \dots, p_{d-1}\}$ , when each section between an  $(i-2)$ -face and an  $(i+1)$ -face is an abstract  $p_i$ -gon. By finiteness,  $p_i < \infty$  for all  $i$ , and by our non-degeneracy assumption,  $p_i > 2$  for all  $i$ .

## 2.2 Automorphisms and regular polytopes

An *automorphism* of an abstract polytope  $\mathcal{P}$  is an order-preserving permutation of its faces. We denote the group of automorphisms of  $\mathcal{P}$  by  $\Gamma(\mathcal{P})$ . By the diamond condition and strong flag-connectivity, every automorphism is uniquely determined by its effect on any flag, and it follows that the number of automorphisms of  $\mathcal{P}$  is bounded above by the number of flags of  $\mathcal{P}$ .

A  $d$ -polytope  $\mathcal{P}$  is said to be *regular* whenever  $\Gamma(\mathcal{P})$  acts transitively (and therefore regularly) on the set of all flags of  $\mathcal{P}$ . When that happens, the automorphism group  $\Gamma(\mathcal{P})$  is generated by involutions  $\rho_0, \dots, \rho_{d-1}$ , where  $\rho_i$  is the unique automorphism mapping a given *base flag*  $\Phi$  to its  $i$ -adjacent flag  $\Phi^i$ . Moreover, the generators  $\rho_0, \dots, \rho_{d-1}$  satisfy

$$\rho_i^2 = 1 \quad \text{for all } i, \tag{1}$$

$$(\rho_i \rho_j)^2 = 1 \quad \text{whenever } |i - j| \geq 2. \tag{2}$$

These generators also satisfy the following *intersection condition*:

$$\langle \rho_i \mid i \in I \rangle \cap \langle \rho_i \mid i \in J \rangle = \langle \rho_k \mid k \in I \cap J \rangle \quad \text{for all } I, J \subseteq \{0, 1, \dots, d-1\}. \tag{3}$$

The stabiliser in  $\Gamma(\mathcal{P})$  of the  $i$ -face of the base flag  $\Phi$  is generated by  $\{\rho_0, \dots, \rho_{d-1}\} \setminus \{\rho_i\}$ , for  $0 \leq i < d$ , and the order of the element  $\rho_{i-1} \rho_i$  coincides with the  $i$ -th term  $p_i$  of the Schläfli type  $\{p_1, \dots, p_{d-1}\}$ , for  $1 \leq i < d$ .

These properties of the automorphism group of a regular polytope can be exploited to construct examples from particular groups, called *string C-groups*. A string C-group of rank  $d$  is a finite group  $\Gamma$  and an associated set  $\{\rho_0, \dots, \rho_{d-1}\}$  of  $d$  generators for  $\Gamma$  which satisfy (1) and (2), as well as the intersection condition (3). For any such  $\Gamma$ , we

may construct a regular  $d$ -polytope  $\mathcal{P}$  with  $\Gamma = \Gamma(\mathcal{P})$ , by taking as its  $i$ -faces the (right) cosets of the subgroup generated by  $\{\rho_0, \dots, \rho_{d-1}\} \setminus \{\rho_i\}$ , for  $0 \leq i < d$ , and defining incidence by non-empty intersection; see [6, Theorem 2E11].

Hence up to isomorphism, regular  $d$ -polytopes are in one-to-one correspondence with string C-groups.

Next, we define the *rotation group*  $\Gamma^+(\mathcal{P})$  of a regular  $d$ -polytope  $\mathcal{P}$  as the subgroup of  $\Gamma(\mathcal{P})$  consisting of words of even length in the generators  $\rho_0, \dots, \rho_{d-1}$ , or equivalently, the subgroup generated by the *abstract rotations*  $\sigma_i = \rho_{i-1}\rho_i$  for  $1 \leq i < d$ . The index of  $\Gamma^+(\mathcal{P})$  in the full automorphism group  $\Gamma(\mathcal{P})$  is at most 2. Motivated by what happens for maps (in rank 3), we say that  $\mathcal{P}$  is *orientably regular* whenever this index is 2, and otherwise we say that  $\mathcal{P}$  is *non-orientably regular*.

Note that  $\sigma_i = \rho_{i-1}\rho_i$  has order  $p_i$  for all  $i$ . Moreover, these generators satisfy the relations

$$(\sigma_i\sigma_{i+1}\cdots\sigma_j)^2 = 1 \quad \text{for } 1 \leq i < j < d. \quad (4)$$

The involutory element  $\tau_{i,j} = \sigma_i\sigma_{i+1}\cdots\sigma_j$  is called an *abstract half-turn*, for  $1 \leq i < j < d$ . If we extend this definition of  $\tau_{i,j}$  by setting  $\tau_{0,i} = \tau_{i,d} = 1$  for  $0 \leq i \leq d$ , and  $\tau_{i,i} = \sigma_i$  for  $0 < i < d$ , so that  $\tau_{i,j}$  is defined whenever  $0 \leq i \leq j \leq d$ , and we define the subgroup  $H_I = \langle \tau_{i+1,j} \mid i, j \in I, i < j \rangle$  for every  $I \subseteq \{-1, 0, \dots, d\}$ , then these subgroups satisfy the intersection condition

$$H_I \cap H_J = H_{I \cap J} \quad \text{for all } I, J \subseteq \{-1, 0, \dots, d\}. \quad (5)$$

## 2.3 Chiral polytopes

The abstract  $d$ -polytope  $\mathcal{P}$  is said to be *chiral* if its automorphism group  $\Gamma(\mathcal{P})$  has two orbits on flags, with every two adjacent flags lying in different orbits. The reason for this terminology is that any such  $\mathcal{P}$  has maximum possible ‘rotational’ symmetry (admitting analogues of the abstract rotations  $\sigma_i = \rho_{i-1}\rho_i$ ), without admitting the ‘reflections’  $\rho_i$ .

The rank  $d$  of a chiral polytope is at least 3, since every abstract 2-polytope is combinatorially isomorphic to a regular convex polygon with at least 3 sides (by our non-degeneracy assumption). The facets and vertex-figures of a chiral  $d$ -polytope  $\mathcal{P}$  may be regular or chiral, but the  $(d-2)$ -faces (and dually the co-edges) are always regular (by a nice argument given in [10, Proposition 9]).

The structure of the automorphism group of a chiral polytope  $\mathcal{P}$  closely resembles that of the rotation group of a regular polytope. In particular,  $\Gamma(\mathcal{P})$  is generated by elements  $\sigma_1, \dots, \sigma_{d-1}$ , where  $\sigma_i$  maps a given base flag  $\Phi$  to the flag  $(\Phi^i)^{i-1}$  which differs from  $\Phi$  in its  $(i-1)$ - and  $i$ -faces. The rank 2 section of  $\mathcal{P}$  between the  $(i-2)$ - and  $(i+1)$ -faces of  $\Phi$  is then isomorphic to a regular  $p_i$ -gon for some  $p_i$ , and the automorphism  $\sigma_i$  permutes the  $(i-1)$ - and  $i$ -faces of this section in two cycles of length  $p_i$ .

Moreover, the generators  $\sigma_i$  also satisfy (4), and if we define elements  $\tau_{i,j} = \sigma_i \sigma_{i+1} \cdots \sigma_j$  for  $1 \leq i < j < d$ , and exactly as in the previous subsection for other values of  $i$  and  $j$ , then the subgroups  $H_I = \langle \tau_{i+1,j} \mid i, j \in I \rangle$  also satisfy the intersection condition (5).

For simplicity and consistency, we still refer to these generators  $\sigma_i$  of  $\Gamma(\mathcal{P})$  as *abstract rotations*, and the products  $\tau_{i,j}$  for  $1 \leq i < j < d$  as *abstract half-turns*. Also we often refer to the automorphism group of the chiral polytope  $\mathcal{P}$  as its *rotation group*, and sometimes denote it by  $\Gamma^+(\mathcal{P})$ .

Conversely, any finite group  $\Gamma$  generated by  $d-1$  elements  $\sigma_1, \sigma_2, \dots, \sigma_{d-1}$  satisfying (4) and the intersection condition (5) is the rotation subgroup of an abstract  $d$ -polytope  $\mathcal{P}$  that is either (orientably) regular or chiral; see [10, Theorem 1]. Indeed  $\mathcal{P}$  is regular if and only if there is a group automorphism  $\rho$  of  $\Gamma$  of order 2 such that

$$\sigma_i^\rho = \begin{cases} \sigma_i^{-1} & \text{when } i = 1, \\ \sigma_1^2 \sigma_i & \text{when } i = 2, \\ \sigma_i & \text{when } 2 < i < d. \end{cases} \quad (6)$$

Note (for later use) that for rank 3, the automorphism  $\rho$  has to invert  $\sigma_1$  and take  $\sigma_2$  to  $\sigma_1^2 \sigma_2 = \sigma_1 \sigma_2^{-1} \sigma_1^{-1}$ , so the composite of  $\rho$  with conjugation by  $\sigma_1$  inverts both  $\sigma_1$  and  $\sigma_2$ ; the existence of such an automorphism is the more customary test for chirality of maps.

Each chiral  $d$ -polytope  $\mathcal{P}$  occurs in two *enantiomorphic forms*, which may be understood as  $\mathcal{P}$  and its ‘mirror image’ (and hence as a right- and left-handed version of  $\mathcal{P}$ ). The group of the mirror image of  $\mathcal{P}$  is also  $\Gamma(\mathcal{P})$ , but with respect to the generators  $\sigma_1^{-1}, \sigma_1^2 \sigma_2, \sigma_3, \dots, \sigma_{d-1}$ . Further information can be found in [11].

## 2.4 Chiral 4-polytopes

In this paper we concentrate on chiral polytopes of rank  $d = 4$ .

By [10, Lemma 11], the intersection condition for a chiral 4-polytope  $\mathcal{P}$  can be reduced to just three cases, as follows:

$$\langle \sigma_1 \rangle \cap \langle \sigma_2 \rangle = \{1\}, \quad \langle \sigma_2 \rangle \cap \langle \sigma_3 \rangle = \{1\} \quad \text{and} \quad \langle \sigma_1, \sigma_2 \rangle \cap \langle \sigma_2, \sigma_3 \rangle = \langle \sigma_2 \rangle. \quad (7)$$

We will also make use of an alternative generating set for  $\Gamma^+(\mathcal{P})$ , namely  $\{\tau_1, \tau_2, \tau_3\}$ , where  $\tau_i = \tau_{1,i} = \sigma_1 \sigma_2 \cdots \sigma_i$  for  $1 \leq i \leq 3$ . In terms of these three generators, the relations  $(\sigma_i \sigma_{i+1} \cdots \sigma_j)^2 = 1$  in (4) are equivalent to

$$(\tau_1 \tau_3)^2 = \tau_2^2 = \tau_3^2 = 1. \quad (8)$$

Furthermore, the test in (6) for regularity of  $\mathcal{P}$  simplifies to the existence of a group automorphism  $\rho$  of  $\Gamma^+(\mathcal{P})$  such that

$$\tau_i^\rho = \tau_i^{-1} \quad \text{for } 1 \leq i \leq 3. \quad (9)$$

Finally we note that  $\langle \tau_1 \rangle = \langle \sigma_1 \rangle$  and  $\langle \tau_1, \tau_2 \rangle = \langle \sigma_1, \sigma_2 \rangle$ , but a comparison of orders shows that  $\langle \tau_2 \rangle \neq \langle \sigma_2 \rangle$ , and similarly it need not be true that  $\langle \tau_2, \tau_3 \rangle = \langle \sigma_2, \sigma_3 \rangle$ .

### 3 Actions of $A_4$

In Section 4 we will construct families of chiral 4-polytopes whose facets are tetrahedra. The construction involves extending an intransitive action of the rotation group  $A_4$  of the tetrahedron on a set with  $n$  elements, to the standard action of  $A_n$  or  $S_n$  on the same set, by adjoining a new permutation that represents a generator of the automorphism group of the 4-polytope.

In this section we create some building blocks for the construction, via transitive permutation representations of  $A_4$ . We will be particularly interested in the permutations  $\tau_1$  and  $\tau_2$  representing the generators of  $A_4$  as the rotation group of the tetrahedron. These permutations satisfy the relations  $\tau_1^3 = \tau_2^2 = (\tau_1^{-1}\tau_2)^3 = 1$ .

#### 3.1 Building blocks

The transitive representations of  $A_4$  that we use as building blocks are those on 1, 4, 6 and 12 points, as follows:

**Representation A:** the trivial representation of  $A_4$ , of degree 1;

**Representation B:** the standard representation of  $A_4$  on 4 points, with

$$\tau_1 = (1, 3, 2)(4) \quad \text{and} \quad \tau_2 = (1, 2)(3, 4);$$

**Representation C:** the transitive representation of  $A_4$  on 6 points, given by

$$\tau_1 = (1, 2, 3)(4, 5, 6) \quad \text{and} \quad \tau_2 = (1, 4)(2, 5);$$

**Representation D:** the regular representation of  $A_4$  on 12 points, given by

$$\tau_1 = (1, 2, 3)(4, 5, 6)(7, 8, 9)(10, 11, 12) \quad \text{and} \quad \tau_2 = (1, 4)(2, 7)(3, 10)(5, 12)(6, 8)(9, 11).$$

Note that these transitive representations are unique up to re-labelling points, because  $A_4$  has a single conjugacy class of subgroups of each of the orders 12, 3, 2 and 1.

We will also be interested in the orbits of the subgroup  $\langle \tau_1 \rangle$ . In Representation B there are two orbits, of lengths 3 and 1 respectively, while in Representations C and D there are two of length 3 and four of length 3, respectively.

For later use, we illustrate these representations in Figure 1 by subdivided boxes, with each subdivision giving the length of an orbit of  $\langle \tau_1 \rangle$ .

#### 3.2 Extending the action of $A_4$

Our construction involves extending an intransitive action of  $A_4 = \langle \tau_1, \tau_2 \rangle$  to a transitive action of  $\langle \tau_1, \tau_2, \tau_3 \rangle$ , by a suitable definition of the third generator  $\tau_3$ .

The first and third of the relations  $(\tau_1\tau_3)^2 = \tau_2^2 = \tau_3^2 = 1$  given in (8) imply that  $\tau_3$  must be an involution which conjugates the generator  $\tau_1$  to its inverse. For this reason,  $\tau_3$

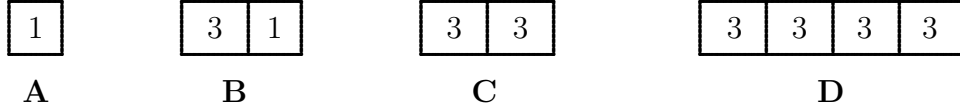


Figure 1: Transitive permutation representations of  $A_4 = \langle \tau_1, \tau_2 \rangle$  on 1, 4, 6 and 12 points

must permute the fixed points of  $\langle \tau_1 \rangle$  among themselves, and permute the orbits of length 3 among themselves. To make the resulting action of  $\langle \tau_1, \tau_2, \tau_3 \rangle$  transitive, we must link together the orbits of  $A_4 = \langle \tau_1, \tau_2 \rangle$ , and this can be achieved by defining  $\tau_3$  in such a way as to link together the orbits of  $\langle \tau_1 \rangle$ , perhaps sometimes linking an orbit to itself.

There is just one way of linking together two orbits of  $\langle \tau_1 \rangle$  of length 1, namely by making  $\tau_3$  interchange the single points from the orbits. On the other hand, linking together two different orbits of  $\langle \tau_1 \rangle$  of length 3 can be done in three ways. If  $\tau_1$  acts on one orbit as the 3-cycle  $(y_1, y_2, y_3)$ , where  $y_1 = \min\{y_1, y_2, y_3\}$ , and on the other as the 3-cycle  $(z_1, z_2, z_3)$ , where  $z_1 = \min\{z_1, z_2, z_3\}$ , then we have these three possibilities for the effect of  $\tau_3$  on the set  $\{y_1, y_2, y_3, z_1, z_2, z_3\}$ :

$$\begin{aligned} (y_1, z_1)(y_2, z_3)(y_3, z_2) & \dots \text{ type I} \\ (y_1, z_2)(y_2, z_1)(y_3, z_3) & \dots \text{ type II} \\ (y_1, z_3)(y_2, z_2)(y_3, z_1) & \dots \text{ type III.} \end{aligned}$$

In the special case where these orbits are the same (so that  $(y_1, y_2, y_3) = (z_1, z_2, z_3)$ ), the element  $\tau_3$  induces  $(y_2, y_3)$ ,  $(y_1, y_2)$  and  $(y_1, y_3)$  for types I, II and III, respectively.

Also at this stage we note that for an orientably regular or chiral 4-polytope  $\mathcal{P}$  of type  $\{3, 3, k\}$ , whose facets are tetrahedra, the reduced intersection condition (7) can be simplified even further.

**Lemma 3.1.** *Let  $\Gamma$  be a transitive permutation group of degree  $n$  generated by three elements  $\sigma_1, \sigma_2$  and  $\sigma_3$  satisfying*

$$\sigma_1^3 = \sigma_2^3 = (\sigma_1\sigma_2)^2 = (\sigma_2\sigma_3)^2 = (\sigma_1\sigma_2\sigma_3)^2 = 1$$

*with  $\langle \sigma_1, \sigma_2 \rangle \cong A_4$ . If  $\langle \sigma_2, \sigma_3 \rangle$  is intransitive and  $\sigma_2$  is not a power of  $\sigma_3$ , then the intersection condition (7) holds.*

*Proof.* First  $\langle \sigma_1 \rangle \cap \langle \sigma_2 \rangle = \{1\}$ , since  $\sigma_1$  and  $\sigma_2$  are two elements of order 3 generating  $A_4$ . Next, observe that  $\langle \sigma_1, \sigma_2 \rangle \cap \langle \sigma_2, \sigma_3 \rangle$  is a subgroup of  $\langle \sigma_1, \sigma_2 \rangle$ , containing  $\langle \sigma_2 \rangle$ , and that  $\langle \sigma_2 \rangle$  is maximal in  $\langle \sigma_1, \sigma_2 \rangle$ , since every cyclic subgroup of order 3 in  $A_4$  is maximal in  $A_4$ . It follows that if  $\langle \sigma_1, \sigma_2 \rangle \cap \langle \sigma_2, \sigma_3 \rangle \neq \langle \sigma_2 \rangle$ , then  $\langle \sigma_1, \sigma_2 \rangle \cap \langle \sigma_2, \sigma_3 \rangle = \langle \sigma_1, \sigma_2 \rangle$ , and therefore  $\sigma_1 \in \langle \sigma_2, \sigma_3 \rangle$ , which gives  $\Gamma = \langle \sigma_1, \sigma_2, \sigma_3 \rangle = \langle \sigma_2, \sigma_3 \rangle$ . But that is clearly impossible, because  $\Gamma$  is transitive while  $\langle \sigma_2, \sigma_3 \rangle$  is not. Thus  $\langle \sigma_1, \sigma_2 \rangle \cap \langle \sigma_2, \sigma_3 \rangle = \langle \sigma_2 \rangle$ . Finally,  $\langle \sigma_2 \rangle \cap \langle \sigma_3 \rangle = \{1\}$ , since the element  $\sigma_2$  of order 3 does not lie in  $\langle \sigma_3 \rangle$ .  $\square$



### 3.3 Other facts needed

To conclude this section we mention some results from group theory that we need for the construction presented in Section 4, specifically for recognising when a transitive subgroup of  $S_n$  is either  $A_n$  or  $S_n$ , and also about the automorphism groups of  $A_n$  and  $S_n$ .

**Theorem 3.2** (Jordan, 1873). *Let  $G$  be a primitive group of permutations on a set  $X$  of degree  $n$ , and suppose  $G$  contains an element that acts a  $p$ -cycle, fixing the other  $n-p$  points, where  $p$  is a prime such that  $p \leq n-3$ . Then  $G$  is isomorphic to  $A_n$  or  $S_n$ .*

For a proof, see [12, Theorem 13.9]. The next theorem is well-known; proofs can be found in [9, Corollary 7.7] for  $\text{Aut}(S_n)$ , and [13, Theorem 2.3] for  $\text{Aut}(A_n)$ , for example.

**Theorem 3.3.** *For every  $n \geq 7$ , every automorphism of  $A_n$  and every automorphism of  $S_n$  is induced by conjugation by an element of  $S_n$ . In particular,  $\text{Aut}(A_n) \cong \text{Aut}(S_n) \cong S_n$  for every  $n \geq 7$ .*

## 4 Construction of chiral 4-polytopes

In this section we use the building blocks given earlier to construct two families of chiral 4-polytopes, with automorphism groups  $S_n$  and  $A_n$  respectively, for all  $n \geq 46$ .

### 4.1 General approach

We let  $X$  be the set  $\{1, 2, \dots, n\}$ , and define permutations  $\tau_1, \tau_2$ , and  $\tau_3 \in S_n$  such that  $\langle \tau_1, \tau_2 \rangle = A_4$  and  $\tau_1, \tau_2$ , and  $\tau_3$  satisfy (8). In order to prove that the construction actually gives a chiral 4-polytope, we need to do three things:

**Step (a):** *Show that  $\Gamma = \langle \tau_1, \tau_2, \tau_3 \rangle$  is  $A_n$  or  $S_n$ .*

Our construction ensures that the action of  $\Gamma$  is transitive on  $X$ . We exhibit an element of  $\Gamma$  that acts as a cycle of prime length  $p$ , fixing at least 3 points, and then use this to prove that  $\Gamma$  is primitive on  $X$ , and apply Theorem 3.2 to give  $\Gamma \cong A_n$  or  $\Gamma \cong S_n$ .

**Step (b):** *Show that  $\Gamma$  is the rotation subgroup of an orientably regular polytope or the automorphism group of a chiral polytope.*

For this step, all we need to do is prove that the permutations  $\sigma_1 = \tau_1$ ,  $\sigma_2 = \tau_1^{-1}\tau_2$  and  $\sigma_3 = \tau_2^{-1}\tau_3$  satisfy the reduced form of the intersection condition given in (7). By Lemma 3.1, it is sufficient to show that  $\langle \sigma_2, \sigma_3 \rangle$  is intransitive on  $X$ , and that  $\sigma_2 \notin \langle \sigma_3 \rangle$ .

**Step (c):** *Verify chirality, by ruling out the existence of a permutation  $\rho \in S_n$  such that  $\tau_i^\rho = \tau_i^{-1}$  for all  $i \in \{1, 2, 3\}$ .*

Note the permutations  $\tau_1$  and  $\tau_2$  are always even, since they come from permutation representations of  $A_4$ . It follows that once we have completed step (a), we can decide whether  $\Gamma$  is  $A_n$  or  $S_n$  by simply checking whether  $\tau_3$  is even or odd. In some cases we will make an adjustment to  $\tau_3$  that will still ensure that  $\Gamma = \langle \tau_1, \tau_2, \tau_3 \rangle$  is the automorphism group of some chiral 4-polytope of type  $\{3, 3, k\}$  for some  $k$ , but has a different parity, in which case we change  $\Gamma$  from an alternating group to a symmetric group, or vice versa.

We will consider a number of cases, based on the residue class of  $n \pmod 6$ . Before that, we give a concrete example (for  $n = 46$ ), which will show how most of the construction works. This can then be adapted in a number of ways for other values of the degree  $n$ .

## 4.2 Example: degree $n = 46$

Consider the following three permutations on 46 points:

$$\begin{aligned}\tau_1 &= (1, 2, 3)(4, 5, 6)(7, 8, 9)(10, 11, 12)(13, 14, 15)(16, 17, 18)(19, 20, 21)(22, 23, 24) \\ &\quad (25, 26, 27)(28, 29, 30)(31, 32, 33)(34, 35, 36)(37, 38, 39)(40, 41, 42)(43, 44, 45), \\ \tau_2 &= (1, 4)(2, 7)(3, 10)(5, 12)(6, 8)(9, 11)(13, 16)(14, 17)(19, 22)(20, 23) \\ &\quad (25, 28)(26, 29)(31, 34)(32, 35)(37, 40)(38, 41)(43, 44)(45, 46), \\ \tau_3 &= (1, 2)(4, 7)(5, 9)(6, 8)(10, 13)(11, 15)(12, 14)(16, 19)(17, 21)(18, 20)(22, 25)(23, 27) \\ &\quad (24, 26)(28, 31)(29, 33)(30, 32)(34, 39)(35, 38)(36, 37)(40, 43)(41, 45)(42, 44).\end{aligned}$$

These satisfy the required relations, and generate a transitive subgroup of  $S_{46}$ . The orbits of  $\langle \tau_1, \tau_2 \rangle$  are the sets  $\{1, 2, \dots, 12\}$ ,  $\{13, 14, \dots, 18\}$ ,  $\{19, 20, \dots, 24\}$ ,  $\{25, 26, \dots, 30\}$ ,  $\{31, 32, \dots, 36\}$ ,  $\{37, 38, \dots, 42\}$  and  $\{43, 44, 45, 46\}$ , of lengths 12, 6, 6, 6, 6, 6 and 4. The way in which the orbits of  $\langle \tau_1 \rangle$  are linked together by  $\tau_3$  is illustrated in Figure 2, where the Roman numerals indicate the type of link.

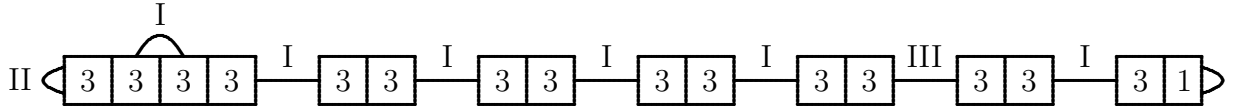


Figure 2: Orbit links for a permutation representation of degree 46

In this representation, observe that the elements  $\sigma_2 = \tau_1^{-1}\tau_2$  and  $\sigma_3 = \tau_2^{-1}\tau_3 = \tau_2\tau_3$  are as follows:

$$\begin{aligned}\sigma_2 &= (1, 10, 5)(2, 4, 8)(3, 7, 11)(6, 12, 9)(13, 15, 17)(14, 16, 18)(19, 21, 23)(20, 22, 24) \\ &\quad (25, 27, 29)(26, 28, 30)(31, 33, 35)(32, 34, 36)(37, 39, 41)(38, 40, 42)(43, 46, 45); \\ \sigma_3 &= (1, 7)(2, 4)(3, 13, 19, 25, 31, 39, 34, 28, 22, 16, 10)(5, 14, 21, 17, 12, 9, 15, 11) \\ &\quad (18, 20, 27, 23)(24, 26, 33, 29)(30, 32, 38, 45, 46, 41, 35)(36, 37, 43, 42, 44, 40).\end{aligned}$$

In particular, the cycle structure of  $\sigma_3$  is  $1^2 2^2 4^2 6^1 7^1 8^1 11^1$ , and so its order is 1848. Also  $\sigma_3^{168}$  is an 11-cycle, namely  $(3, 25, 34, 16, 13, 31, 28, 10, 19, 39, 22)$ .

We claim that the action of  $\Gamma = \langle \tau_1, \tau_2, \tau_3 \rangle$  is primitive on  $\{1, \dots, 46\}$ . To verify this, we assume the contrary (but we will ignore the fact that 3 and 11 do not divide 46, just to exhibit a more general argument). All the 11 points moved by  $\sigma_3^{168}$  would have to belong to the same block of imprimitivity, say  $U$ , since 11 is prime and every block containing a fixed point of  $\sigma_3^{168}$  would be fixed by  $\sigma_3^{168}$ . Next  $\tau_2$  preserves  $U$  since it interchanges the points 3 and 10 of  $U$ , similarly  $\tau_3$  preserves  $U$ , since it fixes the point 3. It follows that  $\tau_1$  cannot preserve  $U$ , and so the images of  $U$  under  $\tau_1$  and its inverse  $\tau_1^2$  must be new blocks  $V$  and  $W$ , containing  $\{1, 26, 35, 17, 14, 32, 29, 11, 20, 37, 23\}$  and  $\{2, 27, 36, 18, 15, 33, 30, 12, 21, 38, 24\}$  respectively. Now  $\tau_2$  preserves both  $V$  and  $W$ , since it interchanges the points 26 and 29 and fixes the point 24, and similarly  $\tau_3$  interchanges  $V$  with  $W$  since it interchanges the points 1 and 2. By transitivity, it follows that there are just three blocks, with  $\tau_1, \tau_2$  and  $\tau_3$  inducing the permutations  $(U, V, W)$ ,  $(U)(V)(W)$  and  $(U)(V, W)$  on them. In particular,  $\tau_2$  preserves every block, while  $\tau_1$  preserves no block. But that is impossible, since  $\tau_1$  fixes the point 46.

By Theorem 3.2, we find that  $\Gamma = A_{46}$  or  $S_{46}$ , and since  $\tau_3$  is even, we have  $\Gamma = A_{46}$ .

Next, we verify the intersection condition. First  $\langle \sigma_2, \sigma_3 \rangle = \langle \tau_1^{-1} \tau_2, \tau_2 \tau_3 \rangle$  is intransitive, since it has  $\{2, 4, 8\}$  as an orbit; and second,  $\sigma_2 \notin \langle \sigma_3 \rangle$ , since  $\sigma_2 = \tau_1^{-1} \tau_2$  induces the 3-cycle  $(1, 10, 5)$  while  $\sigma_3$  interchanges the points 1 and 7. Hence by Lemma 3.1, the intersection condition (7) holds.

Thus  $A_{46}$  is the rotation group of a regular or chiral 4-polytope  $\mathcal{P}$  (of type  $\{3, 3, 1848\}$ ).

Next, suppose  $\mathcal{P}$  is regular. Then there must exist an involutory group automorphism  $\rho$  of  $\Gamma$  inverting each of  $\tau_1, \tau_2$  and  $\tau_3$ . By Theorem 3.3, this automorphism  $\rho$  can be taken as a permutation in  $S_{46}$ . In particular, since  $\rho$  inverts  $\tau_1$ , it must permute the orbits of  $\langle \tau_1 \rangle$  among themselves, and hence must fix the point 46. Then since  $\rho$  inverts  $\tau_2$ , it follows that  $\rho$  preserves the orbit  $\{45, 46\}$  of  $\langle \tau_2 \rangle$ , and hence fixes the point 45. In turn, since  $\rho$  inverts  $\tau_1$ , it must interchange the other two points 43 and 44 of the 3-cycle  $(43, 44, 45)$  of  $\tau_1$ , and then must interchange the orbits  $\{40, 43\}$  and  $\{42, 44\}$  of  $\langle \tau_3 \rangle$ , and hence must interchange the points 40 and 42. But this is impossible, since 42 is fixed by  $\tau_2$  while 40 is not. Thus  $\mathcal{P}$  is a chiral 4-polytope, of type  $\{3, 3, 1848\}$ , with automorphism group  $A_{46}$ .

To do the same for  $S_{46}$ , we define  $\tau_1$  and  $\tau_2$  exactly as above, but now take

$$\begin{aligned} \tau_3 = & (1, 2)(4, 6)(7, 8)(10, 13)(11, 15)(12, 14)(16, 19)(17, 21)(18, 20)(22, 25)(23, 27) \\ & (24, 26)(28, 31)(29, 33)(30, 32)(34, 39)(35, 38)(36, 37)(40, 43)(41, 45)(42, 44). \end{aligned}$$

This is almost the same as the permutation taken for  $\tau_3$  above, but with the three transpositions  $(4, 7)$ ,  $(5, 9)$  and  $(6, 8)$  replaced by the two transpositions  $(4, 6)$  and  $(7, 8)$ , and two fixed points 5 and 9. With regard to Figure 2, we have replaced the  $\tau_3$ -link between the first two orbits of  $\langle \tau_1 \rangle$  by self-links for those two orbits, of types III and II respectively.

This time we have

$$\sigma_3 = (1, 6, 7)(2, 8, 4)(3, 13, 19, 25, 31, 39, 34, 28, 22, 16, 10)(5, 14, 21, 17, 12)(9, 15, 11) \\ (18, 20, 27, 23)(24, 26, 33, 29)(30, 32, 38, 45, 46, 41, 35)(36, 37, 43, 42, 44, 40),$$

which has cycle structure  $3^3 4^2 5^1 6^1 7^1 11^1$ , so its order is 4620.

Now  $\sigma_3^{420}$  is an 11-cycle, in fact the 8th power of the one found before, and it can be used to prove that the action of  $\Gamma = \langle \tau_1, \tau_2, \tau_3 \rangle$  is primitive, and hence that  $\Gamma = A_{46}$  or  $S_{46}$ . This time  $\tau_3$  is odd, so  $\Gamma = S_{46}$ . Again the intersection condition is satisfied (noting that  $\{2, 4, 8\}$  is still an orbit of  $\langle \sigma_2, \sigma_3 \rangle = \langle \tau_1^{-1} \tau_2, \tau_2 \tau_3 \rangle$  and that  $(1, 10, 5)$  is still a cycle of  $\sigma_2$ ), and the same argument as before proves chirality. Thus we have another chiral 4-polytope, but now of type  $\{3, 3, 4620\}$ , and with automorphism group  $S_{46}$ .

### 4.3 Adding extra orbits of $A_4$ of length 6

Now take the above example (for  $n = 46$ ), and insert an additional orbit of length 6 for  $\langle \tau_1, \tau_2 \rangle \cong A_4$  between the last two on the right, with a  $\tau_3$ -link of type I to the previous final orbit of length 6 and a  $\tau_3$ -link of type III to the orbit of length 4, as in Figure 3.

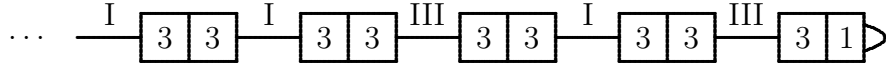


Figure 3: Inserting an extra orbit of  $\langle \tau_1, \tau_2 \rangle \cong A_4$  of length 6

This gives a transitive permutation representation on  $46 + 6 = 52$  points, with the following changes made to the three permutations  $\tau_i$  used to generate  $A_{46}$ :

$\tau_1$ : adjoin the two 3-cycles  $(46, 47, 48)$  and  $(49, 50, 51)$ , and the fixed point 52,

$\tau_2$ : replace  $(43, 44)(45, 46)$  by  $(43, 46)(44, 47)(49, 50)(51, 52)$ , fixing points 45 and 48,

$\tau_3$ : replace the fixed point 46 by  $(46, 51)(47, 50)(48, 49)$ , fixing the point 52.

With these changes, the only effect on the permutation  $\sigma_3 = \tau_2 \tau_3$  is to alter cycles containing any of the points numbered greater than 42, and in fact it is easy to see that the two cycles  $(30, 32, 38, 45, 46, 41, 35)$  and  $(36, 37, 43, 42, 44, 40)$  of lengths 7 and 6 are replaced by  $(30, 32, 38, 45, 41, 35)$ ,  $(36, 37, 43, 51, 52, 46, 40)$  and  $(42, 44, 50, 48, 49, 47)$ , of lengths 6, 7 and 6. In particular, the cycle structure of  $\sigma_3$  remains the same except for the addition of one further cycle of length 6, and  $\sigma_3^{168}$  is still the same 11-cycle, namely  $(3, 25, 34, 16, 13, 31, 28, 10, 19, 39, 22)$ .

Again this 11-cycle and the existence of a fixed point of  $\tau_1$  can be used to prove that the group  $\Gamma = \langle \tau_1, \tau_2, \tau_3 \rangle$  is primitive, and then since the parity of  $\tau_3$  has changed from even to odd, we have  $\Gamma = S_{52}$ . The intersection condition (7) holds for exactly the same reasons as for degree 46, and the proof of chirality is entirely similar: any involutory group automorphism  $\rho$  inverting each of  $\tau_1$ ,  $\tau_2$  and  $\tau_3$  would have to fix the points 52 and 51, and swap the points 49 and 50, and then swap the points 47 and 48, which is impossible.

Thus  $S_{52}$  is the automorphism group of a chiral 4-polytope  $\mathcal{P}$  (of type  $\{3, 3, 1848\}$ ).

Furthermore, we can now make the same change to the effect of  $\tau_3$  on the first orbit of  $\langle \tau_1, \tau_2 \rangle$  (of length 12) as we did for degree 46, with a change in parity of  $\tau_3$ , and the same arguments work again, to prove that  $A_{52}$  is the automorphism group of a chiral 4-polytope of type  $\{3, 3, 4620\}$ .

In summary, inserting the extra orbit of  $A_4$  of length 6 increased the degree  $n$  by 6, but retained the properties of the permutations  $\tau_1, \tau_2$  and  $\tau_3$  needed to prove that  $A_n$  and  $S_n$  are the automorphism groups of chiral 4-polytopes of type  $\{3, 3, k\}$  for some  $k$ .

But clearly we can do the same kind of thing again. Suppose we insert another new orbit of  $A_4$  of length 6 between the last one and the orbit of length 4, with a  $\tau_3$ -link of type III to the previous final orbit of length 6 and a  $\tau_3$ -link of type I to the orbit of length 4. Then the degree  $n$  increases by 6, and we return to a situation similar to that for degree 46. With the obvious re-numbering of points in the last two orbits of  $A_4$ , the cycles  $(36, 37, 43, 51, 52, 46, 40)$  and  $(42, 44, 50, 48, 49, 47)$  of lengths 7 and 6 for  $\sigma_3$  in the case of degree 52 are replaced by  $(36, 37, 43, 51, 46, 40)$ ,  $(42, 44, 50, 57, 58, 53, 47)$  and  $(48, 49, 55, 54, 56, 52)$ , of lengths 6, 7 and 6. Hence the cycle structure of  $\sigma_3$  is again changed only by the addition of another cycle of length 6. All the previous arguments work in the same way, to prove that  $A_{58}$  and  $S_{58}$  are the automorphism groups of chiral 4-polytopes of types  $\{3, 3, 1848\}$  and  $\{3, 3, 4620\}$  respectively.

These insertions can be repeated over and over again, increasing the degree by 6 through insertion of a new orbit of length 6 for  $A_4$  each time. Provided that the types of the  $\tau_3$ -links joining successive new orbits of  $A_4$  are chosen to alternate between types I and III, the important properties of the the permutations  $\tau_1, \tau_2$  and  $\tau_3$  will be retained, and all our arguments will go through in the same way as for degrees 46 and 52.

Thus we have the following: *for every integer  $n \geq 46$  such that  $n \equiv 4 \pmod{6}$ , both  $A_n$  and  $S_n$  are the automorphism groups of chiral 4-polytopes of type  $\{3, 3, k\}$  for some  $k$ .*

In fact  $k$  can be taken as 1848 or 4620, depending on the residue class of  $n \pmod{12}$ , and in particular, our construction shows there are infinitely many chiral 4-polytopes of type  $\{3, 3, k\}$  for each of these two values of  $k$ .

## 4.4 Adding an extra point fixed by $A_4$

In all of the cases considered so far in this section, with degree  $n \equiv 4 \pmod{6}$ , the subgroup  $\langle \tau_1, \tau_2 \rangle \cong A_4$  had single orbits of lengths 12 and 4, and  $\frac{n-16}{6}$  orbits of length 6, and the permutation  $\tau_1$  had a single fixed point (which we chose to be  $n$ ) and  $\frac{n-1}{3}$  cycles of length 3. We will now consider what happens when we adjoin a single orbit of length 1.

Necessarily, the permutations  $\tau_1$  and  $\tau_2$  will fix this point, while  $\tau_3$  must interchange it with the only other fixed point of  $\tau_1$ . The only change to the permutation  $\sigma_3$  is to enlarge its unique 7-cycle (containing the original fixed point of  $\tau_1$ ) to an 8-cycle. For example, when  $n = 46$ , the cycle  $(30, 32, 38, 45, 46, 41, 35)$  becomes  $(30, 32, 38, 45, 47, 46, 41, 35)$ .

The order of  $\sigma_3$  changes from 1848 to  $1848/7 = 264$ , or from 4620 to  $2 \cdot 4620/7 = 1320$ , and in those two cases respectively, the permutations  $\sigma_3^{24}$  and  $\sigma_3^{120}$  are 11-cycles, namely  $\xi = (3, 19, 31, 34, 22, 10, 13, 25, 39, 28, 16)$  and  $\xi^5 = (3, 10, 16, 22, 28, 34, 39, 31, 25, 19, 13)$ .

In each case the 11-cycle and the existence of a fixed point of  $\tau_1$  can be used to prove that the resulting permutations  $\tau_1, \tau_2$  and  $\tau_3$  generate a primitive group, and hence an alternating or symmetric group. Also the intersection condition holds for exactly the same reasons as before. On the other hand, the proof of chirality needs a small variation.

Take  $n$  to be the resulting degree, and  $n-1$  and  $n$  as the fixed points of  $\tau_1$ , and  $n-2$  as the image of  $n-1$  under  $\tau_2$  in the orbit of  $A_4$  of length 4. Now suppose there exists an involutory group automorphism  $\rho$  of  $\Gamma = \langle \tau_1, \tau_2, \tau_3 \rangle$  inverting each  $\tau_i$ . By Theorem 3.3, this automorphism  $\rho$  can be taken as an element of  $S_n$ , and since  $\rho$  inverts  $\tau_1$ , it must fix or interchange the points  $n-1$  and  $n$ . If it fixes both, then the same argument as before gives a contradiction, and so it must interchange them. But that is impossible, since  $n-1$  and  $n$  lie in cycles of  $\tau_2$  of different lengths (namely 2 and 1). Hence there is no such automorphism  $\rho$ , and we have a chiral 4-polytope.

Thus we have the following: *for every integer  $n \geq 47$  such that  $n \equiv 5 \pmod{6}$ , both  $A_n$  and  $S_n$  are the automorphism groups of chiral 4-polytopes of type  $\{3, 3, k\}$  for some  $k$ .*

In fact  $k$  can be taken as 264 or 1320, depending on the residue class of  $n \pmod{12}$ , and in particular, our construction shows there are infinitely many chiral 4-polytopes of type  $\{3, 3, k\}$  for each of these two values of  $k$ .

## 4.5 Adding a second orbit of $A_4$ of length 4

Next, we consider what happens when we add a second orbit of length 4 for  $A_4$  to the permutations given earlier for  $A_{46}$ , but at the ‘first end’, linked to the orbit of length 12 for  $A_4$  by a  $\tau_3$  link of type II, as in Figure 4.

Specifically (and to avoid altering the numbering too much), we introduce four new points, labelled  $v, x, y$  and  $z$ , with the assumption that  $v < x < y < z$ , and make the following changes made to the three permutations  $\tau_i$  used to generate  $A_{46}$ :

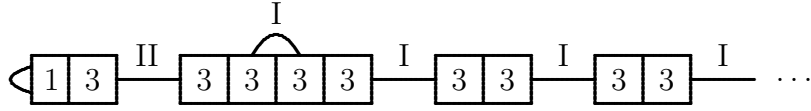


Figure 4: Adding a second orbit of length 4 for  $A_4$

- $\tau_1$ : adjoin the 3-cycle  $(x, y, z)$  and the fixed point  $v$ ,
- $\tau_2$ : adjoin the transpositions  $(v, z)$  and  $(x, y)$ ,
- $\tau_3$ : replace the transposition  $(1, 2)$  and fixed point 3 by  $(x, 2)(y, 1)(z, 3)$ , fixing  $v$ .

With these changes, the only effect on the permutation  $\sigma_3 = \tau_2\tau_3$  is to alter the cycles containing any the points numbered 1, 2 and 3, namely the transpositions  $(1, 7)$  and  $(2, 4)$  and the 11-cycle  $(3, 13, 19, 25, 31, 39, 34, 28, 22, 16, 10)$ . These cycles are replaced by  $(x, 1, 7)$ ,  $(y, 2, 4)$  and  $(v, 3, 13, 19, 25, 31, 39, 34, 28, 22, 16, 10, z)$ , of lengths 3, 3 and 13, respectively.

In particular, the cycle structure of  $\sigma_3$  becomes  $1^2 3^2 4^2 6^1 7^1 8^1 13^1$ , and so  $\sigma_3$  now has order 2184. Also  $\sigma_3^{168}$  is a 13-cycle, namely  $(3, v, z, 10, 16, 22, 28, 34, 39, 31, 25, 19, 13)$ .

We claim that the action of  $\Gamma = \langle \tau_1, \tau_2, \tau_3 \rangle$  is primitive on  $\{1, \dots, 46\} \cup \{v, x, y, z\}$ . If not, then the 13 points moved by  $\sigma_3^{168}$  would belong to the same block  $U$ , and  $U$  would be preserved by  $\tau_1$  and  $\tau_3$ , since the point  $v$  is fixed by both  $\tau_1$  and  $\tau_3$ , and  $U$  would be preserved by  $\tau_2$ , since  $\tau_2$  swaps  $v$  with  $z$ . But then  $U$  would be preserved by  $\langle \tau_1, \tau_2, \tau_3 \rangle = \Gamma$ , and so could not be a block of imprimitivity. Since  $\tau_3$  is even, it follows that  $\Gamma \cong A_{50}$ .

Also the subgroup generated by  $\sigma_2$  and  $\sigma_3$  is intransitive, because it has  $\{y, 2, 4, 8\}$  as an orbit, and  $\sigma_2$  does not lie in  $\langle \sigma_3 \rangle$ , because  $\sigma_2$  induces the 3-cycle  $(2, 4, 8)$  while  $\sigma_3$  induces the 3-cycle  $(y, 2, 4)$  on this orbit. By Lemma 3.1, the intersection condition holds.

We still need to confirm chirality. Suppose there is an involution  $\rho$  in  $S_{50}$  which conjugates each of  $\tau_1, \tau_2$  and  $\tau_3$  to its inverse. Then  $\rho$  fixes or interchanges the two fixed points of  $\tau_1$ , namely  $v$  and 46, and if it fixes 46 then the same argument as before gives a contradiction, so it must interchange them. It follows that  $\rho$  swaps  $v^{\tau_2} = z$  with  $46^{\tau_2} = 45$ , and also  $z^{\tau_3} = 3$  with  $45^{\tau_3} = 41$ . But that is impossible, since 3 and 41 lie in cycles of  $\tau_2$  of different lengths (namely 2 and 1).

Thus  $A_{50}$  is the automorphism group of a chiral 4-polytope of type  $\{3, 3, 2184\}$ .

Next, if we make the same change to the effect of  $\tau_3$  on the orbit  $\{1, 2, \dots, 12\}$  of  $\langle \tau_1, \tau_2 \rangle$  as we did for degree 46, then we find that the cycles of  $\sigma_3$  containing the points of  $\{v, x, y, z, 1, 2, \dots, 12\}$  are  $(v, 3, 13, 19, 25, 31, 39, 34, 28, 22, 16, 10, z)$ ,  $(x, 1, 6, 7)$ ,  $(y, 2, 8, 4)$ ,  $(5, 14, 21, 17, 12)$  and  $(9, 15, 11)$ . In this case  $\sigma_3$  has cycle structure  $3^1 4^4 5^1 6^1 7^1 13^1$ , and hence order 5460. Again the existence of the 13-cycle and the effect of  $\tau_1, \tau_2$  and  $\tau_3$  on the points  $v$  and  $z$  imply that  $\Gamma = \langle \tau_1, \tau_2, \tau_3 \rangle$  is primitive, and this time the change in parity

of  $\tau_3$  gives  $\Gamma \cong S_{50}$ . Also  $\{y, 2, 4, 8\}$  is an orbit of  $\langle \sigma_2, \sigma_3 \rangle$ , on which  $\sigma_2$  induces the 3-cycle  $(2, 4, 8)$  and  $\sigma_3$  induces the 4-cycle  $(y, 2, 8, 4)$ , and hence the intersection condition holds, again by Lemma 3.1. Chirality follows from the same argument as for  $A_{50}$  above.

Thus  $S_{50}$  is the automorphism group of a chiral 4-polytope of type  $\{3, 3, 5460\}$ .

Now we can repeat the process begun in sub-section 4.3, and introduce further orbits of length 6 for  $A_4$  near the ‘other end’. As before, this adds extra 6-cycles to the cycle structure for  $\sigma_3$ , but does not affect the proof of primitivity, and therefore still gives the group  $\Gamma = \langle \tau_1, \tau_2, \tau_3 \rangle$  as either  $A_n$  or  $S_n$  each time. Also verification of the intersection condition and proof of chirality are entirely analogous to those for the  $A_{50}$  case, above.

Thus we have the following: *for every integer  $n \geq 50$  such that  $n \equiv 2 \pmod{6}$ , both  $A_n$  and  $S_n$  are the automorphism groups of chiral 4-polytopes of type  $\{3, 3, k\}$  for some  $k$ .*

In fact  $k$  can be taken as 2184 or 5460, depending on the residue class of  $n \pmod{12}$ , and in particular, our construction shows there are infinitely many chiral 4-polytopes of type  $\{3, 3, k\}$  for each of these two values of  $k$ .

Moreover, we can make the same adjustment as in sub-section 4.4, by adding an extra fixed point of  $\langle \tau_1, \tau_2 \rangle \cong A_4$  at the ‘other end’. In this case, the order of  $\sigma_3$  changes from 2184 to  $2184/7 = 312$ , or from 5460 to  $2 \cdot 5460/7 = 1560$ , respectively, and the permutations  $\sigma_3^{24}$  and  $\sigma_3^{120}$  are 13-cycles, namely  $\zeta = (3, z, 16, 28, 39, 25, 13, v, 10, 22, 34, 31, 19)$  and  $\zeta^5 = (3, 25, 34, 16, v, 19, 39, 22, z, 13, 31, 28, 10)$ . Again it is easy to verify primitivity, and deduce that  $\Gamma = \langle \tau_1, \tau_2, \tau_3 \rangle$  is isomorphic to  $A_n$  or  $S_n$ . Also the intersection condition holds for exactly the same reasons as before, but again, the proof of chirality needs a small variation. This time there are three fixed points of  $\tau_1$ , two of which are interchanged by  $\tau_3$ . If there exists an involuntary permutation  $\rho$  of the points that inverts each  $\tau_i$ , then it must fix or interchange those two, and then the argument follows in the same way as in sub-section 4.4.

Thus we have the following: *for every integer  $n \geq 51$  such that  $n \equiv 3 \pmod{6}$ , both  $A_n$  and  $S_n$  are the automorphism groups of chiral 4-polytopes of type  $\{3, 3, k\}$  for some  $k$ .*

In fact  $k$  can be taken as 312 or 1560, depending on the residue class of  $n \pmod{12}$ , and in particular, our construction shows there are infinitely many chiral 4-polytopes of type  $\{3, 3, k\}$  for each of these two values of  $k$ .

## 4.6 Adding a third orbit of $A_4$ of length 4

We are left with the cases of degree  $n \equiv 0$  or  $1 \pmod{6}$ . For these, we start with our constructions from the previous sub-section for degrees congruent to 2 or 3 mod 6 (beginning with 50 and 51), and adjoin a third orbit of length 4 for  $A_4$ , at the same end as the second such orbit, with a  $\tau_3$ -link of type III to itself. This is illustrated in Figure 5.



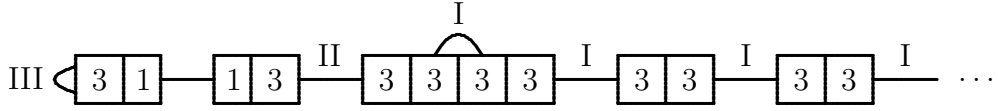


Figure 5: Adding a third orbit of length 4 for  $A_4$

Specifically, we introduce another four new points, labelled  $p, q, r$  and  $s$ , with the assumption that  $p < q < r < s$ , and make the following changes to the three permutations  $\tau_i$  used for generating  $A_{n-4}$  or  $S_{n-4}$ :

- $\tau_1$ : adjoin the 3-cycle  $(p, q, r)$  and the fixed point  $s$ ,
- $\tau_2$ : adjoin the transpositions  $(p, q)$  and  $(r, s)$ ,
- $\tau_3$ : replace the fixed point  $v$  by  $(p, r)(s, v)$ , fixing  $q$ .

Obviously this increases the degree by 4, from  $n-4$  to  $n$ , and in all cases the only effect on the permutation  $\sigma_3$  is to replace the 13-cycle  $(v, 3, 13, 19, 25, 31, 39, 34, 28, 22, 16, 10, z)$  by the cycle  $(v, 3, 13, 19, 25, 31, 39, 34, 28, 22, 16, 10, z, s, p, q, r)$ , which has length 17.

In particular, the order of  $\sigma_3$  changes from 2184 or 5460 to 2856 or 7140 when  $n-4 \equiv 4 \pmod{6}$ , and from 312 or 1560 to 408 or 2040 when  $n-4 \equiv 5 \pmod{6}$ .

In all cases some power of  $\sigma_3$  is a single 17-cycle containing all the points  $p, q$  and  $r$ , and this can be used to prove that  $\Gamma = \langle \tau_1, \tau_2, \tau_3 \rangle$  is primitive, since it contains the point  $p$  and its images under each of the generators of  $\Gamma$ . It follows that  $\Gamma = A_n$  or  $S_n$ , again depending on the parity of  $\tau_3$ .

The intersection condition holds for the same reasons as in the previous sub-section, but again a little more care is needed to prove chirality. When  $n \equiv 0 \pmod{6}$ , there are three fixed points of  $\tau_1$ , and two of them (namely  $s$  and  $v$ ) are interchanged by  $\tau_3$ , while the third one (at the ‘other end’) is fixed by  $\tau_3$ . Hence any permutation  $\rho$  in  $S_n$  that conjugates each  $\tau_i$  to its inverse must fix the third one, and then chirality follows from the same argument as for degree 46. On the other hand, when  $n \equiv 1 \pmod{6}$ , there are four fixed points of  $\tau_1$ , with two at each end, both interchanged by  $\tau_3$ . Just one of those, however, is a fixed point of  $\tau_2$ , and so it is fixed by any such  $\rho$ , and then chirality follows from the same argument as for degree 47.

Thus we have the following: *for every integer  $n \geq 54$  such that  $n \equiv 0$  or  $1 \pmod{6}$ , both  $A_n$  and  $S_n$  are the automorphism groups of chiral 4-polytopes of type  $\{3, 3, k\}$  for some  $k$ .*

In fact  $k$  can be taken as 2856 or 7140 when  $n \equiv 0 \pmod{6}$ , and as 408 or 2040 when  $n \equiv 1 \pmod{6}$ , in both cases depending on the residue class of  $n \pmod{12}$ ; and in particular, our construction shows there are infinitely many chiral 4-polytopes of type  $\{3, 3, k\}$  for each of these four values of  $k$ .

## 5 Vertex-figures

In this section we prove the following:

**Theorem 5.1.** *The vertex-figures of the polytopes constructed in Section 4 are all chiral.*

Again there is some variation in the argument of different residue classes of  $n \bmod 6$ , but the approach is much the same in all cases.

*Proof.* Let  $\tau_1, \tau_2$  and  $\tau_3$  be the generators of  $\Gamma(\mathcal{P})$  as given, and take  $\sigma_1 = \tau_1$ ,  $\sigma_2 = \tau_1^{-1}\tau_2$  and  $\sigma_3 = \tau_2^{-1}\tau_3 = \tau_2\tau_3$  as before. Then the subgroup  $\Gamma_0$  generated by  $\sigma_2$  and  $\sigma_3$  is the rotation group of a vertex-figure of  $\mathcal{P}$ .

It is easy to verify that the group  $\Gamma_0 = \langle \sigma_2, \sigma_3 \rangle$  always has two orbits on the  $n$ -point set  $X$ , one of which has length 3 or 4, with the other having length  $n-3$  or  $n-4$ . Indeed if  $n \equiv 4$  or  $5 \pmod{6}$ , the small orbit  $Y$  is  $\{2, 4, 8\}$ , while otherwise  $Y$  is  $\{2, 4, 8, y\}$ , where  $y$  is the middle point of the 3-cycle  $(x, y, z)$  of the ‘second’  $A_4$ -orbit of length 4, which is linked by  $\tau_3$  to the  $A_4$ -orbit of length 12 as in sub-sections 4.5 and 4.6.

Also some power  $\xi$  of  $\sigma_3$  is either the 11-cycle  $(3, 25, 34, 16, 13, 31, 28, 10, 19, 39, 22)$ , or the 13-cycle  $(v, 3, 25, 34, 16, 13, 31, 28, 10, 19, 39, 22, z)$ , where  $v$  and  $z$  are another two of the four points of the second  $A_4$ -orbit of length 4 introduced in 4.5, or the 17-cycle  $(v, 3, 25, 34, 16, 13, 31, 28, 10, 19, 39, 22, z, s, p, q, r)$ , where  $p, q, r$  and  $s$  are the four points of the third  $A_4$ -orbit of length 4 introduced in 4.6.

We will first show that  $\Gamma_0$  acts on the set  $Z = X \setminus Y$  as an alternating or symmetric group of degree  $|Z| = n-3$  or  $n-4$ , and then show that  $\Gamma_0$  admits no automorphism that inverts both  $\sigma_2$  and  $\sigma_3$ , which is enough to prove chirality of the vertex-figures.

Suppose  $\Gamma_0$  is imprimitive on  $Z$ . Then all the points of the cycle  $\xi$  of prime length (obtained as a power of  $\sigma_3$ ) lie in the same block of imprimitivity, say  $U$ . Now  $U$  is preserved by  $\sigma_3$ , and so cannot be preserved by  $\sigma_2$ , and furthermore, since  $\sigma_2$  has order 3, the images of  $U$  under  $\sigma_2$  and its inverse  $\sigma_2^2$  must be new blocks  $V$  and  $W$ . Next, in all cases,  $\sigma_2$  takes 10 to 5, 19 to 21, and 14 to 16, while  $\sigma_3$  takes 5 to 14, and 14 to 21. It follows that  $V$  contains  $10^{\sigma_2} = 5$  and  $19^{\sigma_2} = 21$ , while  $W$  contains  $16^{\sigma_2^2} = 14$ , and therefore  $\sigma_3$  interchanges  $V$  and  $W$ . Hence there are just three blocks, cyclically permuted by  $\sigma_2$ . But also  $\sigma_2$  fixes at least one point, namely one of the points of the first  $A_4$ -orbit of length 4, and so  $\sigma_2$  preserves at least one block, a contradiction.

Thus  $\Gamma_0$  is primitive on  $Z = X \setminus Y$ . Moreover, the existence of the prime cycle  $\xi$  shows that  $\Gamma_0$  is alternating or symmetric on  $Z$  (by Jordan’s theorem).

On the other hand,  $\sigma_2$  induces  $(2, 4, 8)$  on  $Y$ , and  $\sigma_3$  induces either  $(2, 4)$  or  $(2, 8, 4)$  on  $Y$  when  $|Y| = 3$ , or  $(2, 4, y)$  or  $(2, 8, 4, y)$  on  $Y$  when  $|Y| = 4$ , so  $\Gamma_0 = \langle \sigma_2, \sigma_3 \rangle$  acts on  $Y$  as  $S_3, A_3, A_4$  or  $S_4$ . It follows that  $\Gamma_0$  is isomorphic to a sub-direct product  $G_1 \times G_2$  where  $G_1 = A_{n-3}, S_{n-3}, A_{n-4}$  or  $S_{n-4}$ , and  $G_2 = S_3, A_3, A_4$  or  $S_4$ . (Recall that a sub-direct

product of groups  $G_1$  and  $G_2$  is a subgroup  $G$  of  $G_1 \times G_2$  with the property that the restrictions to  $G$  of the projections  $\pi_i: G_1 \times G_2 \rightarrow G_i$  are both surjective.)

Now each of  $A_{n-3}$ ,  $S_{n-3}$ ,  $A_{n-4}$  and  $S_{n-4}$  is insoluble, with no non-trivial abelian normal subgroup, while  $A_3$ ,  $S_3$ ,  $A_4$  and  $S_4$  are soluble, and so the kernel  $K$  of the action of  $\Gamma_0$  on  $Z = X \setminus Y$  is the largest soluble normal subgroup of  $\Gamma_0$ , and is therefore characteristic in  $\Gamma_0$  (that is, invariant under all automorphisms of  $\Gamma_0$ ). Thus every automorphism of  $\Gamma_0$  induces an automorphism of the group  $\Pi_0 \cong \Gamma_0/K$  induced by  $\Gamma_0$  on  $Z$ , which of course is  $A_{n-3}$ ,  $S_{n-3}$ ,  $A_{n-4}$  or  $S_{n-4}$ .

Next, suppose the vertex-figures of  $\mathcal{P}$  are regular, so that  $\Gamma_0$  has an automorphism that inverts both  $\sigma_2$  and  $\sigma_3$ . Then by the above argument, this automorphism induces an automorphism of  $\Pi_0$  which inverts the permutations induced by  $\sigma_2$  and  $\sigma_3$  on  $Z$ . Also by Theorem 3.3, we know that the latter can be viewed as a permutation on  $Z$ . We can therefore complete the proof of chirality by showing that there is no permutation  $\rho$  in  $\text{Sym}(Z)$  that conjugates each of  $\sigma_2$  and  $\sigma_3$  to its inverse.

In exactly half of the cases we have considered, the permutation  $\sigma_3$  has exactly two fixed points, namely 6 and 8. These are the cases where  $\tau_3$  links the second and third orbits of  $\langle \tau_1 \rangle$  in the  $A_4$ -orbit of length 12, or equivalently, where  $\tau_3$  contains the transpositions (4, 7), (5, 9) and (6, 8). In all these cases, (1, 10, 5), (6, 12, 9) and (14, 16, 18) are 3-cycles of  $\sigma_2$ , and (5, 14, 21, 17, 12, 9, 15, 11) and (18, 20, 27, 23) are an 8-cycle and a 4-cycle of  $\sigma_3$ , and the point 1 lies in a 2-cycle or 3-cycle of  $\sigma_3$ .

Now  $\rho$  must fix the unique fixed point of  $\sigma_3$  on  $Z = X \setminus Y$ , namely 6, and therefore  $\rho$  interchanges the other two points 9 and 12 of the 3-cycle (6, 12, 9) of  $\sigma_2$ . It follows that conjugation by  $\rho$  inverts the 8-cycle (5, 14, 21, 17, 12, 9, 15, 11) of  $\sigma_3$ , and hence interchanges the points 5 and 14, and must then conjugate the 3-cycle (1, 10, 5) of  $\sigma_2$  to the inverse of the 3-cycle (14, 16, 18) of  $\sigma_2$ . Hence  $\rho$  interchanges the points 1 and 18. But that is impossible, since 18 lies in a 4-cycle of  $\sigma_3$ , while 1 lies in a 2-cycle or 3-cycle of  $\sigma_3$ .

In the other half of all cases,  $\sigma_3$  has no fixed points, but has a unique 5-cycle, namely (5, 14, 21, 17, 12), and this must be inverted by  $\rho$ , and the same is true for the prime cycle  $\xi$  of length 11, 13 or 17. Now each of the four points 5, 14, 21 and 17 of the 5-cycle (5, 14, 21, 17, 12) of  $\sigma_3$  lies in a 3-cycle of  $\sigma_2$  that has a point in common with the prime cycle  $\xi$ , but the fifth point 12 does not have this property. Hence  $\rho$  fixes the point 12, and therefore must interchange the other two points 6 and 9 of the 3-cycle (6, 12, 9) of  $\sigma_2$ .

In all these remaining cases, the point 9 lies in a 3-cycle of  $\sigma_3$ , namely (9, 15, 11), and it follows that the point 6 must also lie in a 3-cycle of  $\sigma_3$ . In the cases where there are two or more  $A_4$ -orbits of length 4 (and  $\sigma_3$  has no fixed points), the point 6 lies in the 4-cycle (1, 6, 7,  $x$ ) of  $\sigma_3$ , and so we can ignore those. This leaves only the cases where there is just one  $A_4$ -orbit of length 4, namely those with  $n \equiv 4$  or  $5 \pmod{6}$ . For these we consider what happens locally around the single  $A_4$ -orbit of length 4.

When  $n \equiv 4 \pmod{6}$  (as in the case  $n = 46$  and its extensions considered in sub-

sections 4.2 and 4.3), we may label the points of  $X$  such that the generators  $\tau_i$  of  $\Gamma$  have the following forms:

$$\begin{aligned}\tau_1 &= \dots (n-12, n-11, n-10)(n-9, n-8, n-7)(n-6, n-5, n-4)(n-3, n-2, n-1), \\ \tau_2 &= \dots (n-15, n-12)(n-14, n-11)(n-9, n-6)(n-8, n-5)(n-3, n-2)(n-1, n), \\ \tau_3 &= \dots (n-12, n-7)(n-11, n-8)(n-10, n-9)(n-6, n-3)(n-5, n-1)(n-4, n-2) \\ &\quad \text{or } \dots (n-12, n-9)(n-11, n-7)(n-10, n-8)(n-6, n-1)(n-5, n-2)(n-4, n-3).\end{aligned}$$

With this labelling,  $n-2$  is the only fixed point of  $\sigma_2$ , and this lies in a 6-cycle of  $\sigma_3$ , which is  $(n-10, n-9, n-3, n-4, n-2, n-6)$  when  $n \equiv 10 \pmod{12}$  (such as when  $n = 46$ ), or  $(n-10, n-8, n-2, n-4, n-3, n-5)$  when  $n \equiv 4 \pmod{12}$  (such as when  $n = 52$ ). Also the unique 7-cycle of  $\sigma_3$  is  $(n-16, n-14, n-8, n-1, n, n-5, n-11)$  when  $n \equiv 10 \pmod{12}$ , or  $(n-16, n-15, n-9, n-1, n, n-6, n-12)$  when  $n \equiv 4 \pmod{12}$ .

In both cases  $\rho$  must fix the only fixed point of  $\sigma_2$ , namely  $n-2$ , and so the 6-cycle of  $\sigma_3$  containing  $n-2$  must be inverted by  $\rho$ . When  $n \equiv 10 \pmod{12}$ , this implies that  $\rho$  fixes  $n-9$ , and hence interchanges the two other points  $n-7$  and  $n-5$  of the 3-cycle  $(n-9, n-7, n-5)$  of  $\sigma_2$ . But that is impossible, since  $n-5$  lies in a 7-cycle of  $\sigma_3$ , while  $n-7$  does not. Similarly, when  $n \equiv 4 \pmod{12}$ , we find that  $\rho$  fixes  $n-5$ , and hence swaps  $n-7$  and  $n-9$ , which is impossible since  $n-9$  lies in a 7-cycle of  $\sigma_3$ , while  $n-7$  does not.

A similar approach works when  $n \equiv 5 \pmod{6}$ . In this case  $\sigma_2$  has two fixed points, one being the (unique) point fixed by  $\langle \tau_1, \tau_2 \rangle \cong A_4$ . This lies in an 8-cycle of  $\sigma_3$ , while the other lies in a 6-cycle of  $\sigma_3$ , and hence both points must be fixed by  $\rho$ . Then the same argument as in the case  $n \equiv 4 \pmod{6}$  shows that two points from a 3-cycle of  $\sigma_2$  are interchanged by  $\rho$ , but one of them lies in the 8-cycle of  $\sigma_3$  while the other does not. Hence no such  $\rho$  exists, and this completes the proof.  $\square$

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