

On the Connectedness and Diameter of a Geometric Johnson Graph*

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Abstract

Let P be a set of n points in general position in the plane. A subset I of P is called an *island* if there exists a convex set C such that $I = P \cap C$. In this paper we define the *generalized island Johnson graph* of P as the graph whose vertex consists of all islands of P of cardinality k , two of which are adjacent if their intersection consists of exactly l elements. We show that for large enough values of n , this graph is connected, and give upper and lower bounds on its diameter.

Keywords: Johnson graph, intersection graph, diameter, connectedness, islands.

1 Introduction

Let $[n] := \{1, 2, \dots, n\}$ and let $k \leq n$ be a positive integer. A k -subset of a set is a subset of k elements. The *Johnson graph* $J(n, k)$ is the graph whose vertex set consists of all k -subsets of $[n]$, two of which are adjacent if their intersection has size $k - 1$. The *Kneser graph* $K(n, k)$ is the graph whose vertex set consists of all k -subsets of $[n]$, two of which are adjacent if they are disjoint. The *generalized Johnson graph* $GJ(n, k, l)$ is the graph whose vertex

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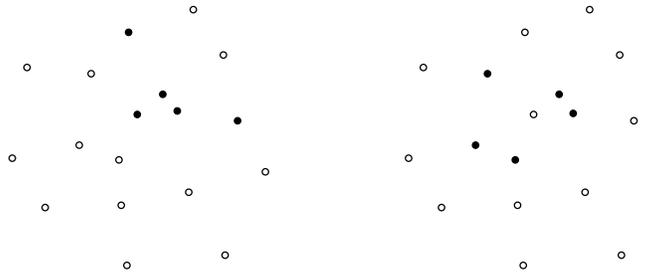


Figure 1: A subset of 5 points which is a 5-island, and a subset of 5 points which is not (both painted black).

set consists of all k -subsets of $[n]$, two of which are adjacent if they have exactly l elements in common. Thus $GJ(n, k, k-1) = J(n, k)$ and $GJ(n, k, 0) = K(n, k)$. Johnson graphs have been widely studied in the literature. This is in part for their applications in Network Design—where connectivity and diameter* are of importance. (Johnson graphs have small diameter and high connectivity.) Geometric versions of these graphs have been defined in the literature. In [1] the chromatic numbers of some “geometric type Kneser graphs” were studied. In this paper we study the connectedness and diameter of a “geometric” version of the generalized Johnson graph.

Let P be a set of n points in the plane. A subset $I \subseteq P$ is called an *island* if there exists a convex set C such that $I = P \cap C$. We say that I is a k -*island* if it has cardinality k (see Figure 1). Let $0 \leq l < k \leq n$ be integers. The *generalized island Johnson graph* $IJ(P, k, l)$ is the graph whose vertex set consists of all k -islands of P , two of which are adjacent if their intersection has exactly l elements. Note that $IJ(P, k, l)$ is an induced subgraph of $GJ(n, k, l)$. If P is in convex position, then $IJ(P, k, l)$ and $GJ(n, k, l)$ are isomorphic—since in this case every subset of k points is a k -island.

Graph parameters of $IJ(P, k, l)$ can be translated to problems in Combinatorial Geometry of point sets. Here are some examples.

- The number of vertices of this graph is the number of k -islands of P —the problem of estimating this number was recently studied in [7].
- An empty triangle of P is a triangle with vertices on P and without points of P in its interior. The empty triangles of P are precisely its 3-islands (or the number of vertices in $IJ(P, 3, l)$). Counting them has been a widely studied problem [2, 4, 6, 9, 11].
- A related question [3] is: What is the maximum number of empty triangles that can share an edge? This translates to the problem of determining the clique number of $IJ(P, 3, 2)$.

*A graph is connected if there is a path between any pair of its vertices. The distance between two vertices is the length of the shortest path joining them. The diameter is the maximum distance between every pair of vertices of a graph.

The paper is organized as follows. In Section 2, we prove that $IJ(P, k, l)$ is connected when n is large enough with respect to k and l . The proof of this result implies an upper bound of $O\left(\frac{n}{k-l}\right) + O(k-l)$ on the diameter of this graph. In Section 3, we improve this bound for the case when $l \leq k/2$, where we show that the diameter is at most $O(\log n) + O(k-l)$. We also exhibit a choice of P for which $IJ(P, k, l)$ has diameter at least $\Omega\left(\frac{\log n - \log k}{\log(k-l)}\right)$. Note that these bounds are asymptotically tight when $l \leq k/2$ and, k and l are constant with respect to n . A preliminary version of this paper appeared in [5].

2 Connectedness

In this section we prove the following Theorem.

Theorem 2.1. *If $n > (k-l)(k-l+1) + k$, then $IJ(P, k, l)$ is connected and its diameter is $O\left(\frac{n}{k-l}\right) + O(k-l)$.*

The proof is divided in two parts:

- First we prove that $IJ(P, k, l)$ contains a connected subgraph \mathcal{F} of diameter $O\left(\frac{n-k}{k-l}\right) + O(k-l)$.
- Next we prove that for every vertex in $IJ(P, k, l)$ there is a path of length at most $O\left(\frac{n}{k-l}\right)$ connecting it to a vertex in \mathcal{F} .

2.1 $IJ(P, k, l)$ contains a connected subgraph

Let $P := \{p_0, p_1, \dots, p_{n-1}\}$ be a set of n points in general position in the plane. So that p_0 is the topmost point of P , and p_1, \dots, p_{n-1} are sorted counterclockwise by angle around p_0 . For $0 \leq i \leq j \leq n$, let $P_{i,j} := \{p_i, p_{i+1}, \dots, p_j\}$ and let $P'_{i,j} := P_{i,j} \cup \{p_0\}$. Observe that $P_{i,j}$ and $P'_{i,j}$ are both islands of P . We call these two types of islands *projectable*. Projectable islands are those islands that can be “projected” to a horizontal line, in such a way that its points are consecutive in the image of the whole set; see Figure 2. Let \mathcal{F} be the subgraph of $IJ(P, k, l)$ induced by the projectable k -islands of P . Let S be a set of $n-1$ points on a horizontal line h , and let $S' := S \cup \{x\}$, where x is a point not in h . It is not hard to see that \mathcal{F} is isomorphic to $IJ(S', k, l)$. We classify the islands of S' into two types: those that contain x , and those that do not. Notice that these two types correspond to the two types of projectable islands of P .

Now we show that $IJ(S', k, l)$ is connected. First we consider the subgraph of $IJ(S', k, l)$ induced by those islands of S' that do not contain x . Note that this is precisely $IJ(S, k, l)$. Without loss of generality assume that S is a set $x_1 < x_2 < \dots < x_{n-1}$ of points on the real line. Observe that a k -island of S is an *interval* of k consecutive elements $\{x_i, \dots, x_{i+k-1}\}$. For the sake of clarity, in what follows we refer to k -islands of S as k -intervals.

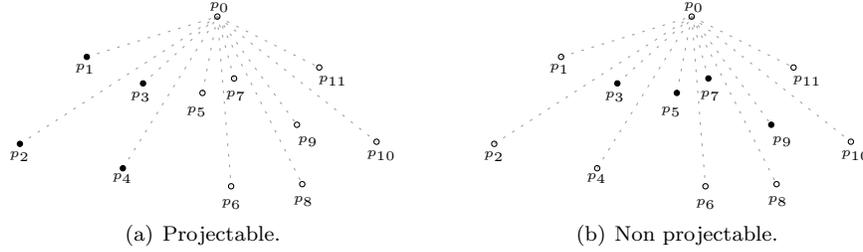


Figure 2: A 4-island which is projectable, and a 4-island which is not projectable.

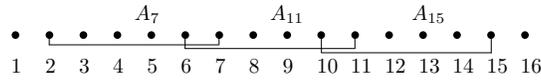


Figure 3: Three different k -intervals, for $|S| = 16, k = 6, l = 2$.

Two k -intervals of S are adjacent in $IJ(S, k, l)$ if they overlap in exactly l elements. It follows easily that if $l > 0$, each k -interval is adjacent to at most two different k -intervals, one containing its first element, and the other containing its last; see Figure 3. Since $IJ(S, k, l)$ has no cycles and its maximum degree is at most two, it is a union of pairwise disjoint paths. These paths can be described as follows. For $i < j$, let A_i and A_j be the intervals ending at x_i and x_j respectively. There is a path between A_i and A_j if and only if $i \equiv j \pmod{k-l}$. For consider the interval adjacent with A_i to its right, this interval must end at point $x_{i+(k-l)}$ (leaving exactly l points on the intersection). On the other hand, the interval adjacent with A_i to its left, must end at point $x_{i-(k-l)}$; see Figure 3. For $0 \leq r < k-l$, let \mathcal{P}_r be the subgraph of $IJ(S, k, l)$ induced by those k -intervals ending at a point with index congruent to $r \pmod{k-l}$. Thus we have:

Proposition 2.2. *If $l > 0$, \mathcal{P}_r is an induced path of $IJ(S, k, l)$. Moreover $IJ(S, k, l)$ is the union of $\{\mathcal{P}_r \mid 0 \leq r < k-l\}$.* \square

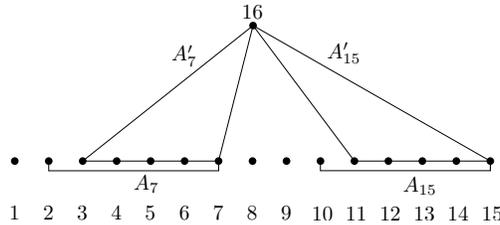


Figure 4: Four different k -islands in S' , for $|S'| = 16, k = 6, l = 2$.

For $l = 0$ and $n \geq 3k - 1$, every k -interval would either intersect the left-most k -interval or the right-most k -interval, but not both. In this case $IJ(S, k, 0)$ is connected and its diameter is at most 3. Note that except for some special cases, $IJ(S, k, l)$ is disconnected. Remarkably, as we show next, for a large enough value of n , the addition of one extra point makes the graph connected.

As before A_i is the k -island (k -interval) that ends at point x_i and does not contain x . Let A'_i be the k -island ending at point x_i and containing x ; see Figure 4. The structure of $IJ(S', k, l)$ when $l < 2$ is different from when $l \geq 2$. In what follows, we assume that $l \geq 2$ and briefly discuss the case $l < 2$ at the end of this section. Note that the subgraph of $IJ(S', k, l)$ induced by the A'_i 's is precisely $IJ(S, k, l)$. While the subgraph induced by the islands A'_i is isomorphic to $IJ(S, k - 1, l - 1)$. From these observations, the following lemma is not hard to prove:

Lemma 2.3. *If $l \geq 2$, then in $IJ(S', k, l)$:*

1. A_i is adjacent to $A'_{i-(k-l)}$ and $A'_{i+(k-l)-1}$ (if they exist).
2. A'_i is adjacent to $A_{i+(k-l)}$ and $A_{i-(k-l)+1}$ (if they exist).

The following theorem provides sufficient and necessary conditions for $IJ(S', k, l)$ to be connected.

Theorem 2.4. *For $l \geq 2$, the graph $IJ(S', k, l)$ is connected if and only if $n \geq 3k - 2l - 1$ or $n = k$.*

Proof. Let I and J be two k -islands of S' . As long as the intermediate islands exists we can repeatedly use Lemma 2.3 to find a path from I to an island whose endpoint is in the same residue class of $(k - l)$ as the endpoint of J , and that contains x if and only if J does. This is the case whenever $n \geq 3k - 2l - 1$. Afterwards Proposition 2.2 ensures that there is a path from this island to J .

Suppose that $n < 3k - 2l - 1$, then there exists a k -island containing x and having less than $k - l$ points to its left and less than $k - l$ points to its right. This island is an isolated vertex in $IJ(S', k, l)$. This sole vertex is all of $IJ(S', k, l)$ when $n = k$ (in which case the graph is connected). However, if $n > k$, there are at least two such k -islands. \square

The proof of Theorem 2.4 implicitly provides the following bound on the diameter of $IJ(S', k, l)$.

Proposition 2.5. *If $IJ(S', k, l)$ is connected, then its diameter is $O\left(\frac{n-k}{k-l}\right) + O(k - l)$.*

Proof. Suppose that $n \geq 3k - 2l - 1$, as otherwise the bound trivially holds. Let I and J be two k -islands of S' . Note that it takes at most $2(k - l)$ applications of Lemma 2.3 to take I to an island whose endpoint is in the same residue class of $(k - l)$ as the endpoint of J , and that contains x if and only if J does. The path in $IJ(S', k, l)$ or in $IJ(S, k, l)$ —depending on whether J contains x or

not-connecting this island to J has length at most $\left\lceil \frac{n-k}{k-l} \right\rceil$. Since between the starting points of two consecutive intervals in the same residue class there are $k-l$ points, none of these subintervals, lies in the right-most. \square

Finally we consider the case when $l < 2$. As we mentioned before, if $l = 0$ and $n \geq 3k-1$, $IJ(S, k, 0)$ is connected and its diameter is at most 3. This is the case also for $IJ(S', k, 0)$. On the other hand, if $l = 1$, then the islands containing x induce a graph isomorphic to $IJ(S, k-1, 0)$. From these observations and Lemma 2.3, we get the following result.

Proposition 2.6. *If $n \geq 3k$, $IJ(S', k, 0)$ and $IJ(S', k, 1)$ are connected and of diameter at most 4.* \square

2.2 Paths between projectable and non projectable islands

To finish the proof of Theorem 2.1, we prove that for any island of P , there is a path connecting it to a projectable island. At the end of this section we present a first bound on the diameter of $IJ(P, k, l)$.

Recall that $P = \{p_0, p_1, \dots, p_{n-1}\}$; p_0 is its topmost point and p_1, \dots, p_{n-1} are sorted counterclockwise by angle around p_0 . Let A be an island of P such that $|A \setminus \{p_0\}| \geq 2$. Define the *weight* of A as the difference between the largest and the smallest indices of the elements of $A \setminus \{p_0\}$ —an island of weight $k-1$ is always projectable. The following lemma ensures the existence of a path between any island and a projectable island, by eventually reducing the weight of any given island.

Lemma 2.7 (Shrinking Lemma). *If $n > (k-l)(k-l+1) + k$, then every non projectable k -island A of P has a neighbor in $IJ(P, k, l)$ which is either a projectable island or an island whose weight is less than that of A by at least $k-l$.*

Proof. Let the elements of A different from p_0 be p_{i_1}, \dots, p_{i_m} . Thus m is equal to k or to $k-1$ depending on whether A contains p_0 or not. Consider all maximal intervals of $P \setminus \{p_0\}$ containing exactly l elements of A . (That is maximal sets of consecutive elements of $P \setminus \{p_0\}$ containing exactly l elements of A .)

We distinguish two of these intervals: the one containing the first point of $P \setminus \{p_0\}$ and the one containing the last. We refer to them as *end intervals*, and to the rest as *interior intervals*.

Note that there are at most $k-l+1$ such intervals and that every element of $P \setminus \{p_0\}$ is in at least one of them. Since $n > (k-l)(k-l+1) + k$, one of these intervals, I , must contain at least $(k-l)$ points of $P \setminus A$.

Suppose that I is an interior interval. Let $J := A \cap I$. If J is non empty let B be the set of the $k-l$ points of $I \setminus A$ closest[†] to $\text{Conv}(J)$. If J is empty then

[†]The distance between $\text{Conv}(J)$ and a point $p \notin \text{Conv}(J)$, is defined as the length of the shortest line segment having p and a point of $\text{Conv}(J)$ as endpoints.

let B be any k -island contained in $I \setminus A$. Then $J \cup B$ is a k -island adjacent to A in $IJ(P, k, l)$, and its weight is smaller than the weight of A by at least $k - l$.

Now suppose that I is an end interval, let p_S and p_E be the first and last elements in $A \cap I$. If $[p_S, p_E]$ contains at least $k - l$ elements of $P \setminus A$, then proceed as with interior intervals. Otherwise, there are $r < k - l$ points of $P \setminus A$ in I . If I is the first interval, then let B be the $k - l - r$ points previous to p_S in $P \setminus \{p_0\}$. If I is the last interval, then let B be the $k - l - r$ points after p_E . Note that in either case, $[p_S, p_E] \cup B$ is a projectable island adjacent to A . \square

We are ready to finish the proof of Theorem 2.1.

Theorem 2.1 *If $n > (k - l)(k - l + 1) + k$, then $IJ(P, k, l)$ is connected and its diameter is $O\left(\frac{n}{k - l}\right) + O(k - l)$.*

Proof. Let A and B be k -islands of P . We apply Lemma 2.7 successively to find a sequence of consecutive adjacent islands $A = A_0, A_1, \dots, A_m$ and $B = B_0, B_1, \dots, B_{m'}$, in which each element has weight smaller than the previous by at least $k - l$, and the last element is a projectable island. Since the weight of the initial terms is at most n , these sequences have length $O\left(\frac{n}{k - l}\right)$.

As noted before the subgraph induced by the projectable islands is isomorphic to $IJ(S', k, l)$. Simple arithmetic shows that if $n > (k - l)(k - l + 1) + k$, then $n > 3k - 2l - 2$. Thus this subgraph is connected and has diameter $O\left(\frac{n - k}{k - l}\right) + O(k - l)$ (Theorem 2.4 and Proposition 2.5). Hence the diameter of $IJ(P, k, l)$ is $O\left(\frac{n}{k - l}\right) + O(k - l)$ as claimed. \square

3 Bounds

3.1 Upper bound

In this section, for the case when $l \leq k/2$, we improve the upper bound on the diameter of $IJ(P, k, l)$ given in Theorem 2.1. We use a *divide and conquer* strategy. Let A and B be two vertices of $IJ(P, k, l)$. First we find a neighbor of A and a neighbor of B ; discarding half of the points of P in the process. We iterate on the new found neighbors. Just before P has very few points and $IJ(P, k, l)$ may be disconnected; we apply Theorem 2.1.

The following lemma provides the divide and conquer part of the argument. The proof uses some of the ideas of the proof of the Shrinking Lemma.

Lemma 3.1. *Let A and B be two vertices of $IJ(P, k, l)$. If $n \geq 2((k - l)(k - l + 1) + k)$, and $l \leq k/2$, then there exists a closed halfplane, H , containing at most $n/2$ and at least $(k - l)(k - l + 1) + k$ points of P . With the additional property that A and B , each have a neighbor contained entirely in H .*

Proof. We use the ham-sandwich theorem to find a line ℓ so that each of the two closed halfplanes bounded by ℓ contain $\lceil k/2 \rceil$ points of A and $\lceil k/2 \rceil$ points of B .

Without loss of generality suppose that the halfplane H above ℓ contains at most $n/2$ points of P . If H , however, does not contain at least $(k-l)(k-l+1)+k$ points of P , move ℓ parallel down until it does. In this case H would contain at least as many points of A and B as it previously did and since we are assuming that $n \geq 2((k-l)(k-l+1)+k)$, it still contains at most $n/2$ points of P .

We will now show the existence of a neighbor of A in $IJ(P, k, l)$ with the desired properties. The corresponding neighbor of B can be found in a similar way. Let $P' := P \cap H$ and sort its elements by distance to ℓ . As in the proof of Lemma 2.7 we consider maximal intervals of P' containing exactly l consecutive elements of A . There is at least one such interval, given that H contains at least $k/2$ points of A and that we are assuming $l \leq k/2$. The rest of the proof employs the same arguments as the proof of Lemma 2.7 to find a neighbor of A contained in one of these intervals. □

Theorem 3.2. *If $n \geq 2((k-l)(k-l+1)+k)$ and $l \leq k/2$, then the diameter of $IJ(P, k, l)$ is $O(\log n) + O(k-l)$.*

Proof. Consider the following algorithm. Let A and B be two k -islands of P . We start by setting $A_0 := A$, $B_0 := B$, $P_0 := P$, $n_0 := n$. While $n_i \geq 2((k-l)(k-l+1)+k)$, we apply Lemma 3.1 to P_i , A_i , and B_i . At each step we obtain a closed halfplane H_i containing at most $n_i/2$ and at least $(k-l)(k-l+1)+k$ points of P_i , with the additional property that both A_i and B_i have neighbors A_{i+1} and B_{i+1} contained entirely in H_i . We set $P_{i+1} := H_i \cap P_i$, $n_{i+1} := |P_{i+1}|$, and continue the iteration. We can do this procedure at most $O(\log n)$ times. In the last iteration, we have a point set P_m with fewer than $2((k-l)(k-l+1)+k)$ and at least $(k-l)(k-l+1)+k$ elements. The islands A_m and B_m are both contained in P_m , and are joined by paths of length $O(\log n)$ to A and B respectively. We apply Theorem 2.1 to obtain a path of length at most $O(k-l)$ from A_m to B_m . Concatenating the three paths we obtain a path of length $O(\log n) + O(k-l)$ from A to B in $IJ(P, k, l)$. □

3.2 Lower bound

For the lower bound we use Horton sets [8]. We base our exposition on [10]. Let X and Y be two point sets in the plane. We say that X is *high above* Y (and that Y is *deep below* X), if the following conditions are met:

- No line passing through a pair of points of $X \cup Y$ is vertical.
- Each line passing through a pair of points of X lies above all the points of Y .
- Each line passing through a pair of points of Y lies below all the points of X .

For a set $X = \{x_1, x_2, \dots, x_n\}$ of points in the plane with no two points having the same x -coordinate and with the indices chosen so that the x -coordinate

Lemma 3.4. *Let x and y be two points of H_0 , such that x is to the left of y , and z is a point with depth less than $\delta(\{x, y\})$. Then the island $H_0 \cap \text{Conv}(\{x, y, z\})$ contains at least $2^{\delta(\{x, y\}) - \delta(z) - 1} - 1$ points with depth greater than that of z , and lying in between x and y .*

Proof. Let $A := H_0 \cap \text{Conv}(\{x, y, z\})$. We will proceed by induction on $r = \delta(\{x, y\}) - \delta(z)$. If $r = 1$ there is nothing to prove, since $2^{r-1} - 1 = 0$. Assume then that $r > 1$. Let s be the unique string of all zeros, such that H_s contains A but H_{s0} and H_{s1} do not. Note that z lies in H_{s1} while x and y both lie in H_{s0} ; actually since we are assuming $r > 1$, they both lie in H_{s00} . Consider the set H_{s0} , since it is a Horton set, A contains at least a point in H_{s01} , between x and y . Of all such points, choose $x_{k'}$ to be the shallowest. The depth of $x_{k'}$ is one more than that of z . By induction, the island $H_0 \cap \text{Conv}(\{x, y, x_{k'}\})$ contains a set I of at least $2^{r-2} - 1$ points. These points have depth greater than that of $x_{k'}$ (thus contained in H_{s00}) and between x and y . Therefore I is contained in A . For each point in I , consider the next point x_m to its right in H_{s0} . This point must be in H_{s01} and $\delta(z) < \delta(x_m)$. Thus we have $2^{r-2} - 1$ additional points in A . Note that the point to the right of x in H_{s0} is not in the previous counting. Therefore A has at least $2^{r-2} - 1 + 2^{r-2} - 1 + 1 = 2^{r-1} - 1$ points with depth greater than that of z , and lying between x and y . \square

Lemma 3.5. *If A and B are two adjacent islands in $IJ(H_0, k, l)$ (with $l \geq 2$), then their depths differ by at most $O(\log(k-l))$.*

Proof. Without loss of generality assume that the depth of A is greater than the depth of B . Let C be the island $A \cap B$. Note that the depth of C is at least the depth of A . If z is the shallowest point of B , then $\delta(z) = \delta(B)$, and this point has depth less than $\delta(C)$. Consider an edge of the convex hull of C , whose supporting line separates C and z . Let x and y be its endpoints. Then by Lemma 3.4, the island $H_0 \cap \text{Conv}(\{x, y, z\})$ contains at least $2^{\delta(\{x, y\}) - \delta(z) - 1} - 1 \geq 2^{\delta(A) - \delta(B) - 1} - 1$ points, none of which is in C . However, since these points do lie in B , there are at most $k-l$ of them. Therefore $\delta(A) - \delta(B)$ is $O(\log(k-l))$ as claimed. \square

Theorem 3.6. *The diameter of $IJ(H_0, k, l)$ for $l \geq 2$ is $\Omega\left(\frac{\log(n) - \log(k)}{\log(k-l)}\right)$.*

Proof. Let A be an island with the largest possible depth, which is $\lceil \log_2(n/k) \rceil$. Let B be an island of depth 1. By Lemma 3.5 in any path joining A and B in $IJ(H_0, k, l)$, the depth of two consecutive vertices differs by $O(\log(k-l))$. Therefore any such path must have length $\Omega\left(\frac{\log(n) - \log(k)}{\log(k-l)}\right)$. \square

We point out that there was an error in the proof of Theorem 11 in the preliminary version of this paper [5]; thus the bounds stated there are incorrect.

The diameter of the generalized Johnson graph can be substantially different from that of the generalized island Johnson graph. The diameter of $GJ(n, k, l)$ is $O(k)$ when n is large enough, while the diameter of $IJ(P, k, l)$ can be $\Omega\left(\frac{\log(n) - \log(k)}{\log(k-l)}\right)$.

Determining upper and lower bounds for the diameter of $IJ(P, k, l)$ seems to be a challenging problem when $l > k/2$. It might happen that there is a sharp jump in the diameter when l rises above $k/2$. We leave the closing of this gap as an open problem.

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