

A Containment Result on Points and Circles

JORGE URRUTIA

Instituto de Matemáticas, Universidad Nacional Autónoma de México.

Abstract

Let P_n be a collection of n points on the plane. For any $x, y, z \in P_n$ let $C(x, y, z)$ be the number of elements of P_n contained in the circle through x , y and z . Let $A(P_n)$ be the average value of $C(x, y, z)$ over all triples of points $\{x, y, z\}$ contained in P_n . In this paper we prove that for any collection of points P_n , $A(P_n) \geq \lfloor (n-3)/3.33... \rfloor$, that is the *expected* number of elements of P_n contained in any circle through three points in P_n is at least $\lfloor (n-3)/3.33... \rfloor$. For the case when the elements of P_n are the vertices of a convex polygon, $A(P_n) = \lfloor (n-3)/2 \rfloor$. In this case our bound is tight.

1. Introduction

Let P_n be a collection of n points on the plane, no three of which are aligned, nor any four of which are cocircular. The following result was proved in [NU]: For any P_n there are two points $x, y \in P_n$ such that any circle containing x and y contains at least $\lfloor (n-2)/60 \rfloor$ points in P_n . This result has been subsequently improved in a sequence of papers; to $\lfloor n/27 \rfloor + 2$ in [HRW], to $\lfloor n/30 \rfloor$ in [BSSU], to $\lfloor 5(n-2)/84 \rfloor$ in [H] and most recently to $\lfloor n/4.7 \rfloor$ in [EHSS]. In this paper, we prove the following related result. For any P_n on the plane and $x, y, z \in P_n$ the expected number of points of P_n contained in the circle through x, y and z is at least $\lfloor (n-3)/3.33 \rfloor$. For the convex case, i.e. when the points in P_n are vertices of a convex polygon, our result can be improved to $\lfloor (n-3)/2 \rfloor$. For this case, this bound is optimal.

2. Preliminary Results

Consider any collection P_n of n points on the plane. Recall that for the rest of this paper we will assume that no three elements of P_n are aligned, nor four of them are cocircular. For any subset $\{x, y, z\}$ of P_n let $C(x, y, z)$ be the number of points of P_n contained in the circle through x, y and z (see Figure 1).

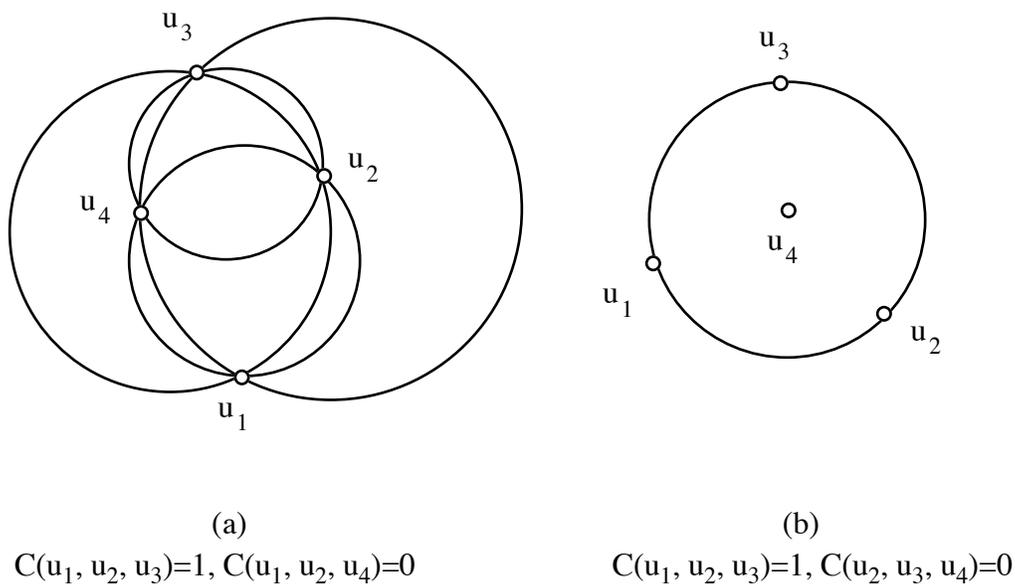


Figure 1

Denote by $A(P_n)$ the average value of $C(x, y, z)$ taken over all $\{x, y, z\}$ contained in P_n . The main objective in this section is to prove the following result:

Theorem 1: $A(P_n) \geq \lfloor (n-3)/3 \rfloor$.

Some preliminary results will be needed to prove Theorem 1.

Consider a subset of P_n with exactly four elements $\{u_1, u_2, u_3, u_4\}$. Two possibilities arise for the convex closure $\text{conv}\{u_1, u_2, u_3, u_4\}$ of $\{u_1, u_2, u_3, u_4\}$; either $\text{conv}\{u_1, u_2, u_3, u_4\}$ is a triangle or $\text{conv}\{u_1, u_2, u_3, u_4\}$ is a quadrilateral. Consider the four circles C_i determined by $\{u_1, u_2, u_3, u_4\} - \{u_i\}$, $i=1, \dots, 4$.

Observation 1: If $\text{conv}\{u_1, u_2, u_3, u_4\}$ is a triangle, *exactly one* of the four circles C_i , $i=1, \dots, 4$, will contain the four points in $\{u_1, u_2, u_3, u_4\}$ (see Figure 1 (b)). If $\text{conv}\{u_1, u_2, u_3, u_4\}$ is a quadrilateral, then *exactly two* of the four triangles C_i , $i=1, \dots, 4$, will contain the four points in $\{u_1, u_2, u_3, u_4\}$ (see Figure 1 (a)).

In the second case, if u_2 and u_4 are opposite to each other and the sum of the angles at u_2 and u_4 is at least 180° then the circle through u_1, u_2 and u_3 contains u_4 in its interior and the triangle through u_1, u_3 and u_4 contains u_2 in its interior (see Figure 1 (b)).

Construct a bipartite graph $B(P_n)$ whose vertex set consists of the three and four subsets of P_n . A three subset S of P_n is adjacent to a four subset S' of P_n iff S is contained in S' and the circle through the points in S contains the four points in S' (see Figure 2).

Observation 2: In $B(P_n)$ any vertex representing a four set S' has degree one or two, depending on whether $\text{conv}(S')$ is a triangle or a quadrilateral.

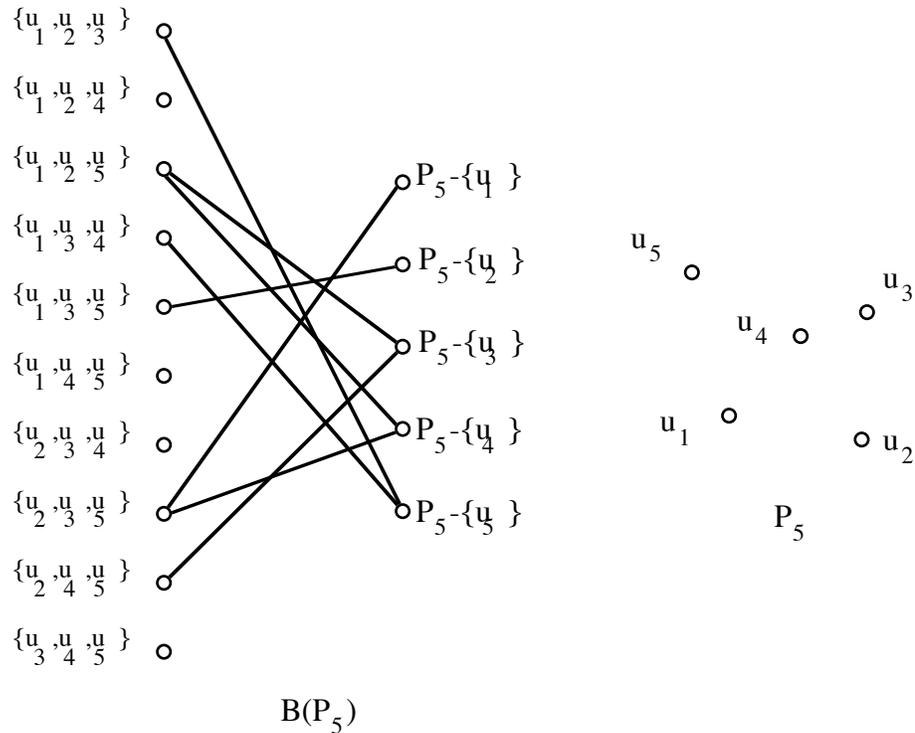


Figure 2

Lemma 1: If the degree $\deg(S)$ of a three set $S=\{x,y,z\}$ in $B(P_n)$ is k , then $C(x,y,z)=k$, i.e. the number of points in P_n contained in the interior of the circle through the points in S is exactly k .

Proof: Let S be a three subset of P_n and $H(S)$ the circle through the points in S . For every point x in the interior of $H(S)$, the sets S and $S' = S \cup \{x\}$ are adjacent in $G(P_n)$. The result now follows easily. □

Let a_1 be the number of four subsets S' of P_n whose convex closure $\text{conv}(S')$ is a triangle and a_2 the number of four subsets S' of P_n for which $\text{conv}(S')$ is a quadrilateral.

Lemma 2: The sum of the degrees of all the vertices of $B(P_n)$ representing three subsets S of P_n equals $a_1 + 2a_2 = \binom{n}{4} + a_2$.

Proof: By Observation 2, each four subset S' of P_n contributes one or two to the

sum of the degrees of the vertices of $B(P_n)$ representing three subsets of P_n , depending on whether $\text{conv}(S')$ is a triangle or a quadrilateral.

□

For example in Figure 2, $\text{conv}(P_5 - \{u_1\})$ and $\text{conv}(P_5 - \{u_2\})$ are both triangles and $\text{conv}(P_5 - \{u_3\})$, $\text{conv}(P_5 - \{u_4\})$ and $\text{conv}(P_5 - \{u_5\})$ are 4-gons. Then for this case $a_2=2$ and $a_3=3$. Then the number of edges in $G(P_5) = a_1 + 2a_2 + 3a_3 = 2 + 2*2 + 3*2 = 11$. Also the degree in $B(P_5)$ of each vertex representing a three S subset of P_5 is the number of points contained in the circle through S.

It now follows that the average value $A(P_n)$ we are trying to determine is the average degree in of the vertices $B(P_n)$ representing three subsets of P_n . Then we can obtain the following equality for $A(P_n)$:

Corollary 1:
$$A(P_n) = \frac{\binom{n}{4} + a_2 \binom{n}{3}}{\binom{n}{3}}$$

3. The Convex Case

We now proceed to prove our first result for the case when the elements of P_n are the vertices of a convex polygon. A set of points P_n will be called convex if the elements of P_n are the vertices of a convex polygon.

Theorem 2: If P_n is a convex set of points, $A(P_n) = (n-3)/2$

Proof: If P_n is a convex set, for any 4-subset S' of P_n $\text{conv}(S')$ is a quadrilateral.

Then $a_2 = \binom{n}{4}$ and by Lemma 2 and Corollary 1
$$A(P_n) = \frac{2 \binom{n}{4}}{\binom{n}{3}} = (n-3)/2.$$

□

4. The General Case

We now proceed to obtain a lower bound for the value of $A(P_n)$ for the general case when P_n is not necessarily convex. Notice that Theorem 2 gives us an upper

bound for the value of $A(P_n)$ for the general case when P_n is no necessarily convex.

To obtain a lower bound for $A(P_n)$, by Corollary 1, all we need to do is to establish a lower bound for the value of a_2 , i.e. a lower bound on the number of four subsets of P_n whose convex closure is a quadrilateral.

To do this let us construct a graph $G(P_n)$ from P_n as follows. The vertices of $G(P_n)$ are all the two subsets of P_n . Two subsets $\{u,v\}$, $\{x,y\}$ are adjacent in $G(P_n)$ iff the line segments $l(x,y)$ and $l(u,v)$ joining x to y and u to v intersect (see Figure 3). Let $I(P_n)$ be the number of edges in $G(P_n)$.

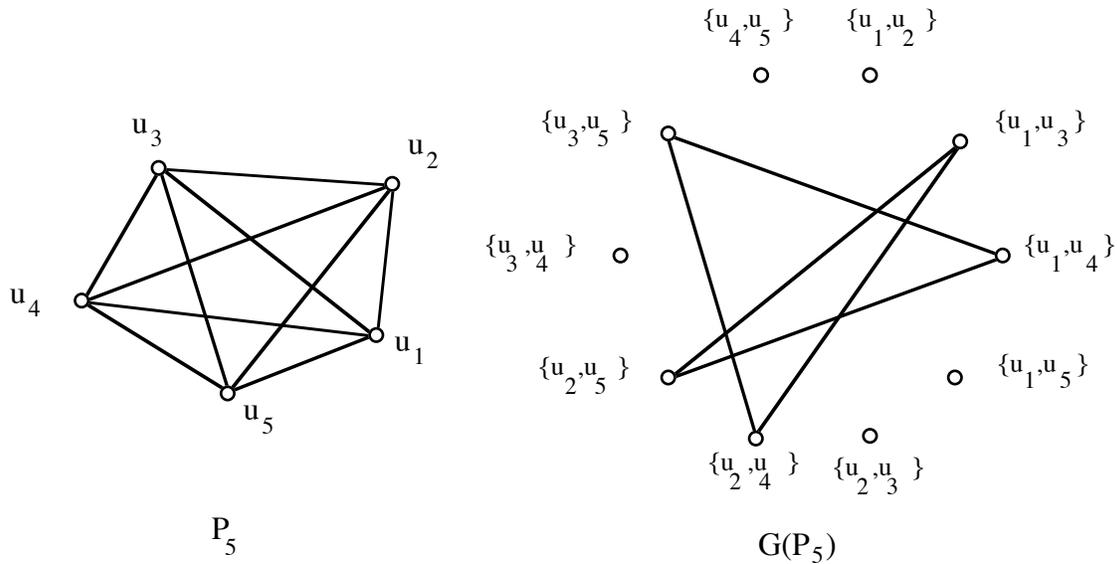


Figure 3

Lemma 3: $a_2=I(P_n)$.

Proof: If $\{u,v\}$, $\{x,y\}$ are adjacent in $I(P_n)$, then $l(x,y)$ and $l(u,v)$ intersect and $conv(u,v,x,y)$ is a quadrilateral. Conversely each subset S' of P_n whose convex closure is a quadrilateral determines exactly one edge in $I(P_n)$.

□

The following result was proved in [NU].

Lemma 4: $I(P_n)$ is at least $\frac{\lfloor n \rfloor}{n \lfloor 4 \rfloor}$.

4. The Main Result

We are now ready to prove our main result.

Proof of Theorem 1

By Corollary 1, $A(P_n) = \frac{\binom{n}{4} + a_2}{\binom{n}{3}}$. Using Lemmas 3 and 4, we obtain that

$$a_2 = I(P_n) \geq \frac{\binom{n}{4}}{\binom{n}{4}}. \text{ Then } A(P_n) \geq \frac{\binom{n}{4} + \frac{\binom{n}{4}}{\binom{n}{4}}}{\binom{n}{3}} = \frac{6(n-3)}{20} = (n-3)/3.33\dots$$

□

As a consequence of our results we can easily prove the following result:

Theorem 3: Let P_n be any collection of points on the plane, $u, v \in P_n$ and C any circle through u and v containing at least a third point x in P_n . Then the expected number of points of P_n contained in C is at least $\lfloor (n-3)/3.33 \rfloor$. If P_n is convex the above bound can be improved to $\lfloor n/2 \rfloor$.

Proof: Let C be a circle through u and v containing a subset H of P_n . Then it is easy to verify that one of the following two possibilities holds:

- a) there is a third point $w \in P_n - H$ such that the circle C' through u, v and w contains in its interior the same set of points in P_n as C .
- b) there is a third point $w \in H$ such that the circle through u, v and w contains in its interior exactly the elements in $H - w$.

Our result now follows in a similar way as Theorem 1.

□

5 Conclusions

As we pointed out in the introduction of this paper, it is known that for any collection of points on the plane there are $u, v \in P_n$ such that any circle through u and

v contains at least $\lfloor n/4.7 \rfloor$ points in P_n . On the other hand, the results presented in this paper tell us that for any three points $u, v, w \in P_n$ the expected number of points of P_n contained in the circle through them is at least $\lfloor (n-3)/3.33 \rfloor$. We also show that for any two points $u, v \in P_n$ the expected number of points contained in any circle through u and v (containing at least a third point x in P_n) is at least $\lfloor (n-3)/3.33 \rfloor$. For the convex case, the both bounds are improved to $\lfloor n/2 \rfloor$.

References

- [BL] Bárány, I. and Larman, D. G., "A combinatorial property of points and ellipsoids".
Preprint (1987).
- [BSSU] Bárány, I., Schmerl, J.H., Sidney, S.J. and Urrutia J., "A combinatorial result about points and balls in Euclidean space". To appear in *Discrete and Computational Geometry*.
- [EHSS] Edelsbrunner, H., Hasan, N., Seidel, R. and Shen, J. "Circles through two points that always enclose many points". Preprint University of Illinois at Urbana, January 1988.
- [H] Hayward, R., "A note on the circle containment problem". To appear in *Discrete and Computational Geometry*.
- [HRW] Hayward, R., Rappaport, D. and Wegner, R., "Some extremal results on circles containing points". To appear in *Discrete and Computational Geometry*.
- [NU] Neumann-Lara, V. and Urrutia, J., "A combinatorial result on points and circles on the plane". To appear in *Discrete Mathematics*.