

# Simple Euclidean arrangements with no $(\geq 5)$ -gons

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## Abstract

It is shown that if a simple Euclidean arrangement of  $n$  pseudolines has no  $(\geq 5)$ -gons, then it has exactly  $n - 2$  triangles and  $(n - 2)(n - 3)/2$  quadrilaterals. We also describe how to construct all such arrangements, and as a consequence we show that they are all stretchable.

## 1 Introduction

Our goal in this discussion is to analyze simple Euclidean arrangements of pseudolines in which every bounded cell is either a triangle or a quadrilateral.

We recall that a simple noncontractible closed curve in the projective plane  $\mathbb{P}$  is a *pseudoline*, and an *arrangement of pseudolines* is a collection  $\mathcal{B} = \{P_0, P_1, \dots, P_n\}$  of pseudolines that intersect (necessarily cross) pairwise exactly once. Since  $\mathbb{P} \setminus P_0$  is homeomorphic to the Euclidean plane  $\mathbb{E}$ , we may regard  $\{P_1, \dots, P_n\}$  as an *arrangement of pseudolines* in  $\mathbb{E}$  (and regard  $P_1, \dots, P_n$  as *pseudolines* in  $\mathbb{E}$ ). An arrangement is *simple* if no point belongs to more than two pseudolines.

The cell complex of an Euclidean arrangement has both bounded and unbounded cells. As in [5], we are only interested in bounded cells (whose interiors are the *faces*). Thus it is clear what is meant by a *triangle*, a *quadrilateral*, or, in general, an  *$n$ -gon* of the arrangement. In this work we are interested in arrangements in which every bounded cell is either a triangle or a quadrilateral; for obvious reasons we say that such an arrangement is  *$(\geq 5)$ -gon-free*.

One of the most interesting and widely studied problems concerning arrangements of lines and pseudolines is the determination of upper and lower bounds for the number  $p_k$  of  $k$ -sided faces. An extensive amount of research in such problems followed Grünbaum's seminal work [8] on arrangements and spreads (see for instance [6, 13, 14]). In [5], it was proved that in every simple Euclidean arrangement,  $p_3 \geq n - 2$ . Moreover, it immediately follows from the proof of Proposition 2.1 in [5] that in every  $(\geq 5)$ -gon-free-arrangement,  $p_3 = n - 2$ .

In this paper we describe procedure with which every  $(\geq 5)$ -gon-free simple Euclidean arrangement can be recursively constructed from the (unique up to isomorphism) simple Euclidean

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arrangement with 3 pseudolines. The central concept in this regard are twin pseudolines. Consider a simple Euclidean arrangement of pseudolines  $\mathcal{B} = \{P_1, P_2, \dots, P_n\}$ , and let  $P_i, P_j \in \mathcal{B}$ . Then the intersection point  $p_{i,j}$  between  $P_i$  and  $P_j$  divides  $P_i$  (respectively  $P_j$ ) into two subarcs, say  $A_i$  and  $B_i$  (respectively  $A_j$  and  $B_j$ ). We say that  $P_i$  and  $P_j$  are *twin* pseudolines (see Figure 1) if the following hold:

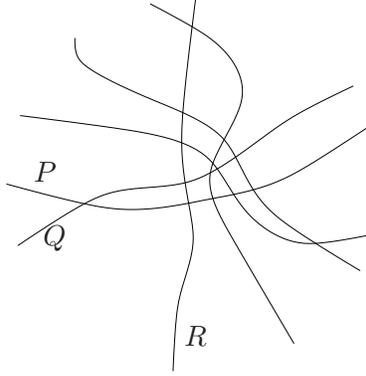


Figure 1: A simple Euclidean ( $\geq 5$ )-gon-free arrangement with 6 pseudolines. Pseudolines  $P$  and  $Q$  are twin. The triangle defined by  $P, Q$ , and  $R$ , is  $P$ -critical and  $Q$ -critical, but not  $R$ -critical.

- (i) One of  $A_i$  and  $B_i$  (say  $B_i$ , without any loss of generality) does not contain any intersection point of  $P_i$  with the pseudolines in  $\mathcal{B} \setminus \{P_i, P_j\}$ . Similarly, one of  $A_j$  and  $B_j$  (say  $B_j$ , without any loss of generality) does not contain any intersection point of  $P_j$  with the pseudolines in  $\mathcal{B} \setminus \{P_i, P_j\}$ .
- (ii) As we traverse each of  $A_i$  and  $A_j$ , starting at  $p_{i,j}$ , we intersect the pseudolines in  $\mathcal{B} \setminus \{P_i, P_j\}$  in the exact same order.

Twin pseudolines are essential for the understanding of ( $\geq 5$ )-gon-free arrangements, as the following statement reveals.

**Theorem 1** *In every simple ( $\geq 5$ )-gon-free Euclidean arrangement with at least 4 pseudolines there are distinct pseudolines  $Q_1, Q_2, Q_3, Q_4$  such that  $Q_1$  and  $Q_2$  are twin, and  $Q_3$  and  $Q_4$  are twin.*

**Remark 2** *Theorem 1 is best possible in every sense: (i) for each  $n \geq 4$  there is a simple ( $\geq 5$ )-gon-free Euclidean arrangement  $\mathcal{A}$  with  $n$  pseudolines, with exactly two pairs of twin pseudolines; and (ii) for each  $n \geq 5$  there is a simple Euclidean arrangement  $\mathcal{A}$  with  $n$  pseudolines, only one ( $\geq 5$ )-gon, and such that  $\mathcal{A}$  has no twin pseudolines.*

The constructions that prove Remark 2 are given in Figure 2. Start by drawing  $P_1, P_2, P_3, P_4$  as shown. Now suppose that for some  $r \geq 4$ ,  $\{P_1, P_2, \dots, P_r\}$  has been constructed. If  $r$  is even, then add  $P_{r+1}$  so that  $P_{r-1}$  and  $P_{r+1}$  are twin, in such a way that as we traverse  $P_{r+1}$  from right to left, the first curve in  $\{P_1, P_2, \dots, P_r\}$  we intersect is  $P_{r-1}$ . If  $r$  is odd, then add  $P_{r+1}$  so that  $P_r$  and  $P_{r+1}$  are twin, in such a way that as we traverse  $P_{r+1}$  from left to right, the first curve in  $\{P_1, P_2, \dots, P_r\}$  we intersect is  $P_r$ . The simple Euclidean arrangement  $\{P_1, P_2, \dots, P_r\}$  obtained at each step is ( $\geq 5$ )-gon-free, and has exactly two pairs of twin pseudolines, namely  $\{P_1, P_2\}$  and

$\{P_{r-1}, P_{r+1}\}$  if  $r$  is even, and  $\{P_1, P_2\}$  and  $\{P_r, P_{r+1}\}$  if  $r$  is odd. Now suppose that  $\{P_1, P_2, \dots, P_k\}$  has been constructed for some  $k \geq 4$ , and add a pseudoline  $Q$  (dashed pseudoline in this figure) as illustrated. Then the simple Euclidean arrangement  $\{P_1, P_2, \dots, P_k, Q\}$  has no twin pseudolines at all, and it has exactly one ( $\geq 5$ )-gon, namely the 5-gon bounded by  $P_1, P_2, P_{k-1}, P_k$ , and  $Q$ .

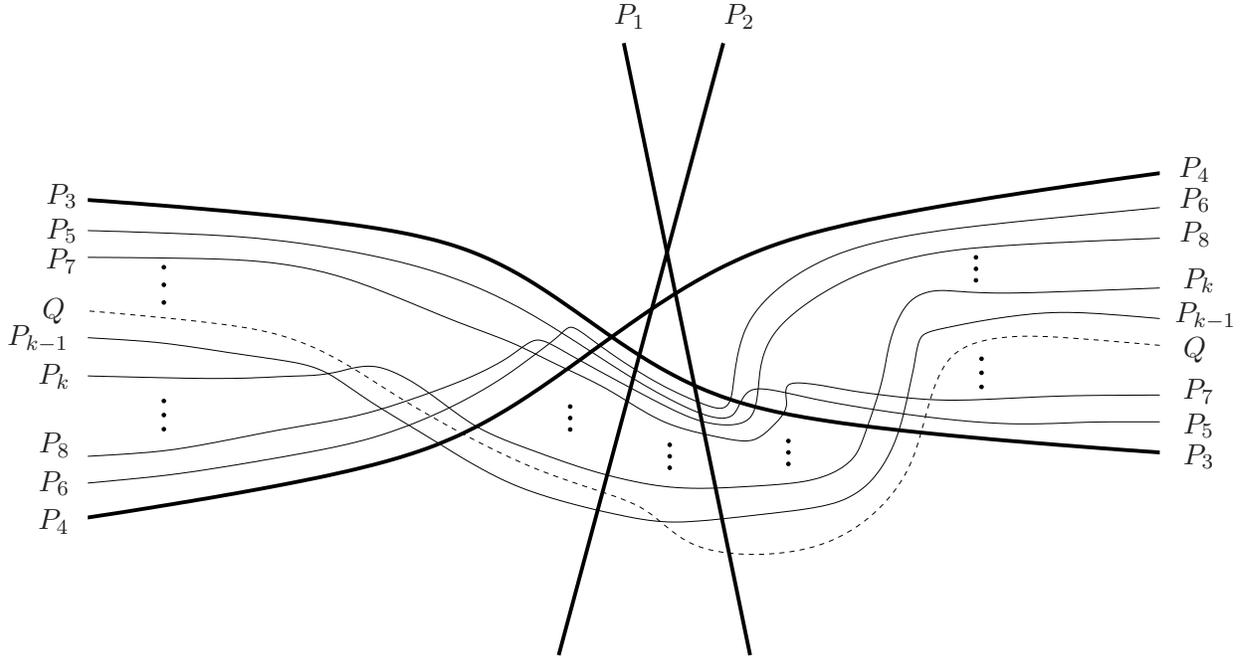


Figure 2: This construction shows that Theorem 1 is best possible (see Remark 2).

Theorem 1 not only gives a procedure to generate all simple ( $\geq 5$ )-gon-free Euclidean arrangements, but also has a neat consequence in the realm of stretchability.

An *arrangement of lines* in  $\mathbb{E}$  is a collection of straight lines, no two of them parallel. Thus every arrangement of lines is an arrangement of pseudolines. On the other hand, not every arrangement of pseudolines is *stretchable*, that is, equivalent to an arrangement of lines (recall that two arrangements are *equivalent* if they generate isomorphic cell decompositions of  $\mathbb{E}$ ). Every arrangement of 8 pseudolines is stretchable [7], but there is a simple non-stretchable arrangement of 9 pseudolines [12] (unique up to isomorphism; see [9]). Stretchability questions are typically difficult: deciding stretchability is NP-hard [16], even for simple arrangements [2].

The concept of stretchability is particularly relevant because of the close connection between arrangements of pseudolines and rank 3 oriented matroids: on this ground, the problem of stretchability of arrangements is equivalent to the problem of realizability for oriented matroids (see [1, 11]).

The following consequence of Theorem 1 settles the issue of stretchability for ( $\geq 5$ )-gon-free arrangements.

**Theorem 3** *Every simple ( $\geq 5$ )-gon-free Euclidean arrangement is stretchable.*

The rest of this paper is organized as follows. Theorems 1, and 3, are proved in Sections 2, and 3, respectively. In Section 4 we show that there are exponentially many nonisomorphic ( $\geq 5$ )-gon-free arrangements.

## 2 Simple Euclidean ( $\geq 5$ )-gon-free arrangements have twin pseudolines: proof of Theorem 1

Before proceeding with the proof of Theorem 1, we establish a straightforward, yet essential, observation.

**Claim 4** *If  $\mathcal{B}$  is a simple Euclidean ( $\geq 5$ )-gon-free arrangement, then every subarrangement of  $\mathcal{B}$  is also ( $\geq 5$ )-gon-free.*

*Proof.* If an arrangement has an  $r$ -gon  $D$  with  $r \geq 5$ , and we add a pseudoline  $P$  to it, then either  $P$  leaves  $D$  untouched, or divides  $D$  into two polygons, at least one of which has at least 5 sides. In either case, the augmented arrangement also has an  $r$ -gon with  $r \geq 5$ . ■

*Proof of Theorem 1.* First we show that there is at least one pair of twin pseudolines.

We proceed by induction on  $n$ . It is readily checked that the statement holds for the unique (up to isomorphism) simple Euclidean arrangement with 4 pseudolines. Thus we assume it holds for  $n = k \geq 4$ , and consider a simple Euclidean ( $\geq 5$ )-gon-free arrangement  $\mathcal{B} = \{P_1, P_2, \dots, P_{k+1}\}$ .

By the inductive hypothesis and Claim 4,  $\mathcal{B} \setminus \{P_{k+1}\}$  has a pair of twin pseudolines, say (without any loss of generality)  $P_1$  and  $P_2$ . Moreover, we may also assume without any loss of generality that as we traverse  $P_1$  (and  $P_2$  as well, since they are twin), we meet  $P_3, P_4, P_5, \dots, P_k$  in this order. Thus, for each  $i$ ,  $3 \leq i \leq k-1$ ,  $P_1$  and  $P_2$  form a quadrilateral with  $P_i$  and  $P_{i+1}$ , and  $P_1, P_2$ , and  $P_3$  form a triangle. We will refer to quadrilaterals formed by  $P_1, P_2$ , together with  $P_i$  and  $P_{i+1}$ , for some  $i$ ,  $3 \leq i \leq k-1$ , as *basic quadrilaterals*.

Thus the layout of  $P_1, P_2, \dots, P_k$  is as illustrated in Figure 3.

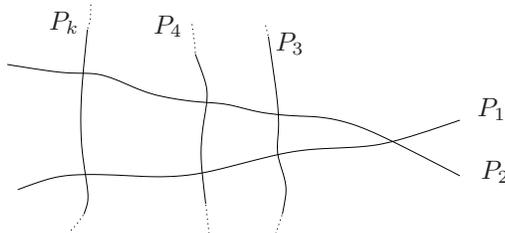


Figure 3: The pseudolines  $P_1$  and  $P_2$  are twin in the arrangement  $\{P_1, P_2, \dots, P_k\}$ .

Suppose first that  $P_{k+1}$  crosses  $P_1$  or  $P_2$  to enter a basic quadrilateral. Then it must cross both  $P_1$  and  $P_2$  in the same quadrilateral (otherwise a pentagon would be formed, contradicting the assumption that  $\mathcal{B}$  is ( $\geq 5$ )-gon-free), and so clearly  $P_1$  and  $P_2$  are also twin in  $\mathcal{B}$ . Thus for the rest of the proof we assume that  $P_{k+1}$  crosses neither  $P_1$  nor  $P_2$  in a basic quadrilateral.

Now suppose that  $P_{k+1}$  crosses the triangle defined by  $P_1, P_2$ , and  $P_3$ . The exchangeable role between  $P_1$  and  $P_2$  then allows us to assume that  $P_{k+1}$  crosses  $P_1$  in this triangle. If  $P_{k+1}$  leaves the triangle by intersecting  $P_2$ , then we are clearly done, since then  $P_1$  and  $P_2$  are still twin in  $\mathcal{B}$ . Thus we assume that  $P_{k+1}$  leaves the triangle by intersecting with  $P_3$ . Now after intersecting  $P_3$  (and thus entering the basic quadrilateral defined by  $P_1, P_2, P_3$ , and  $P_4$ ),  $P_{k+1}$  must then intersect  $P_4$ , as otherwise a 5-gon would be formed, contradicting the assumption that  $\mathcal{B}$  is ( $\geq 5$ )-gon-free. The same reasoning shows that  $P_{k+1}$  must intersect  $P_4, P_5, \dots, P_k$  in the given order. Thus  $P_{k+1}$  intersects  $P_2$  either before intersecting  $P_1$  or after intersecting  $P_k$ . It is straightforward to check

that in either case  $P_2$  and  $P_{k+1}$  are twin in  $\mathcal{B}$ . Thus for the rest of the proof we assume that  $P_{k+1}$  does not cross the triangle defined by  $P_1, P_2$ , and  $P_3$ .

The third case we analyze is when  $P_{k+1}$  intersects both  $P_1$  and  $P_2$  after they have already intersected  $P_k$ . That is, as we traverse  $P_1$  we intersect the other pseudolines in the order  $P_2, P_3, \dots, P_k, P_{k+1}$ , and as we traverse  $P_2$  we intersect the other pseudolines in the order  $P_1, P_3, \dots, P_k, P_{k+1}$ . In this case  $P_1$  and  $P_2$  are clearly also twin in  $\mathcal{B}$ .

The remaining possibilities to be explored are that either (i)  $P_{k+1}$  intersects  $P_1$  before  $P_2$  intersects  $P_1$ ; or (ii)  $P_{k+1}$  intersects  $P_2$  before  $P_1$  intersects  $P_2$ . Note that (i) and (ii) do not exclude each other. These conditions are equivalently described as follows: either (i)  $P_1$  intersects  $P_{k+1}, P_2, P_3, \dots, P_k$  in the given order; or (ii)  $P_2$  intersects  $P_{k+1}, P_1, P_3, \dots, P_k$  in the given order. Again the exchangeable roles of  $P_1$  and  $P_2$  allows us to assume that (i) applies. Also by exchanging  $P_1$  and  $P_2$  if necessary we may assume that as we traverse  $P_{k+1}$  in one of the two possible directions we intersect  $P_1$  and the next pseudoline we intersect is  $P_i$  with  $i \geq 3$ . It is straightforward to check that if  $i \neq 3$ , then  $P_1, P_2, P_{k+1}, P_i$ , and  $P_3$  contribute to a ( $\geq 5$ )-gon (see Figure 4). Thus  $i = 3$ . The same reasoning shows that the next pseudoline that  $P_{k+1}$  intersects must be  $P_4$ , and so on. Thus  $P_{k+1}$  intersects  $P_1, P_3, P_4, \dots, P_k$  in this order, and it must intersect  $P_2$  either before intersecting  $P_1$  or after intersecting  $P_k$ . As illustrated in Figure 5, it is readily checked that in either case  $P_2$  and  $P_{k+1}$  are twin in  $\mathcal{B}$ .

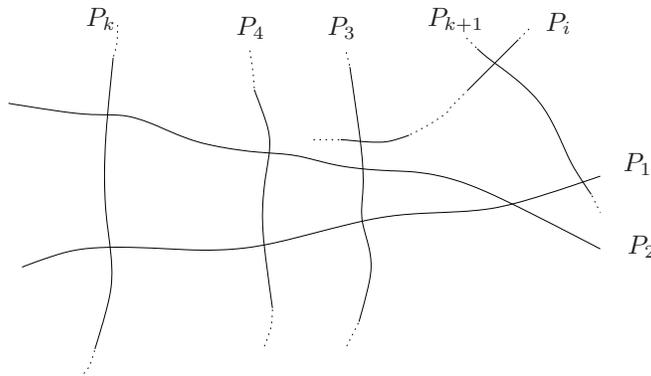


Figure 4: If  $P_{k+1}$  intersects  $P_i$  before intersecting  $P_3$ , then  $P_{k+1}, P_i, P_3, P_2, P_1$  contribute to a ( $\geq 5$ )-gon.

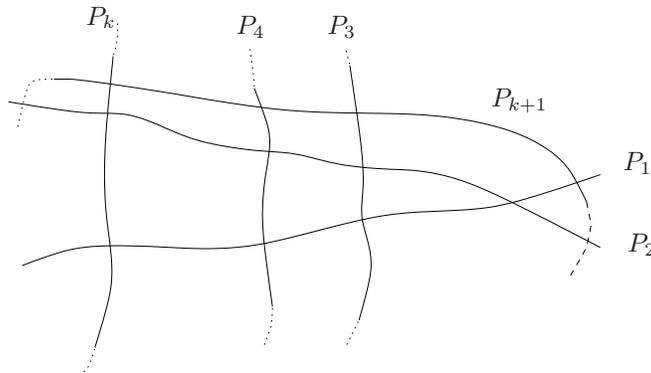


Figure 5: If  $P_{k+1}$  intersects  $P_1, P_3, P_4, \dots, P_k$  in this order, then  $P_{k+1}$  could intersect  $P_2$  as in the dashed extension or as in the dotted extension. In either case,  $P_2$  and  $P_{k+1}$  are twin.

We have thus proved that there is at least one pair of twin pseudolines, as claimed at the beginning of the proof.

We finally show that there are at least two distinct pairs of twin pseudolines, as claimed in Theorem 1. Again we proceed by induction on  $n$ , and the base case  $n = 4$  is easily checked. Thus we assume the statement holds for  $n = k \geq 4$ , and consider a simple Euclidean ( $\geq 5$ )-gon-free arrangement  $\mathcal{B} = \{P_1, P_2, \dots, P_{k+1}\}$ . We assume without any loss of generality that  $P_1$  and  $P_2$  are twin pseudolines in  $\mathcal{B}$ .

By the inductive hypothesis,  $\mathcal{B} \setminus \{P_1\}$  has two disjoint pairs of twin pseudolines. Then at least one of these pairs, say  $\{P_i, P_j\}$ , is disjoint from  $\{P_2\}$ . Since  $P_i$  and  $P_j$  are twin pseudolines in  $\mathcal{B} \setminus \{P_1\}$ , then as we traverse  $P_2$  and we intersect  $P_i$ , immediately after that (in  $\mathcal{B} \setminus \{P_1\}$ ) we intersect  $P_j$ . On the other hand,  $P_1$  and  $P_2$  are twin in  $\mathcal{B}$ , and so  $P_1, P_2, P_i$ , and  $P_j$  must form a basic quadrilateral in  $\mathcal{B}$ . Therefore  $P_i$  and  $P_j$  are also twin pseudolines in  $\mathcal{B}$ . Since  $\{P_1, P_2\} \cap \{P_i, P_j\} = \emptyset$ , this completes the proof. ■

### 3 Simple Euclidean ( $\geq 5$ )-gon-free arrangements are stretchable: proof of Theorem 3

We proceed inductively. The unique (up to isomorphism) simple Euclidean ( $\geq 5$ )-gon-free arrangement with 3 pseudolines is clearly stretchable. Now fix  $k \geq 3$ , and suppose that every simple Euclidean ( $\geq 5$ )-gon-free arrangement with  $k$  pseudolines is stretchable, and let  $\mathcal{B} = \{P_1, P_2, \dots, P_{k+1}\}$  be a simple Euclidean ( $\geq 5$ )-gon-free arrangement. By Theorem 1, there is a pair of twin pseudolines in  $\mathcal{B}$ , say  $P_k$  and  $P_{k+1}$  without any loss of generality.

By the inductive hypothesis,  $\mathcal{B} \setminus \{P_{k+1}\}$  is stretchable, so it can be equivalently drawn with  $P_1, P_2, \dots, P_k$  as straight lines. It now suffices to observe that since  $P_k$  and  $P_{k+1}$  are twin, then  $P_{k+1}$  may be added as a straight line, if it is drawn sufficiently close to  $P_k$ . ■

## 4 On the number of nonisomorphic ( $\geq 5$ )-gon-free arrangements

Our aim in this section is to show that there are exponentially many nonisomorphic ( $\geq 5$ )-gon-free arrangements.

We note that there are several types of isomorphism for pseudoline arrangements (see for instance [10]). We will explore two natural, important types of isomorphism, and show that under both criteria there are exponentially many nonisomorphic ( $\geq 5$ )-gon-free arrangements.

### 4.1 Isomorphism by local sequences

In order to consider a standard, widely studied type of isomorphism, it is useful to work with the representation of arrangements illustrated in Figure 6. The type of isomorphism we now analyze arises from considering the order in which each pseudoline gets intersected by the other pseudolines (this is the *local sequence* of the pseudoline). For instance, the local sequences of the first arrangement in Figure 6 are  $\ell(P_1) = P_2P_3$ ,  $\ell(P_2) = P_1P_3$ , and  $\ell(P_3) = P_1P_2$ , whereas the local sequences for the second arrangement are  $\ell(P_1) = P_2P_3$ ,  $\ell(P_2) = P_1P_3$ , and  $\ell(P_3) = P_1P_2$ . Two arrangements are *isomorphic by local sequences* (for brevity, just *isomorphic* throughout this subsection) if and only if they have the same local sequences. If we regard arrangements as reflection networks, this isomorphism corresponds to the equivalence relation defined by Knuth [9] (see also [4]).

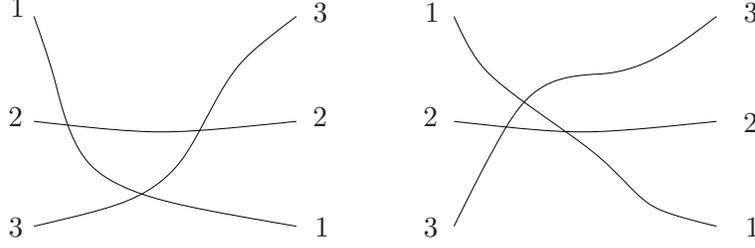


Figure 6: These arrangements are combinatorially equivalent, but not isomorphic by local sequences.

Let us call a simple Euclidean ( $\geq 5$ )-gon-free arrangement on  $n$  pseudolines with exactly  $k$  pairs of twin pseudolines a  $(k, n)$ -arrangement.

A pseudoline  $P$  in arrangement  $\mathcal{A}$  is a *twin pseudoline in  $\mathcal{A}$*  if there is a pseudoline  $Q$  in  $\mathcal{A}$  such that  $P$  and  $Q$  are twin pseudolines in  $\mathcal{A}$ . If no such pseudoline  $Q$  exists, then  $P$  is *nontwin in  $\mathcal{A}$* .

For integers  $k \geq 1, n \geq 4$ , let  $A(k, n)$  denote the number of nonisomorphic  $(k, n)$ -arrangements (thus, in particular, it follows from Theorem 1 that  $A(1, n) = 0$  for every  $n \geq 4$ ). Consider a  $(k, n)$ -arrangement  $\mathcal{A}$ , and a twin pseudoline  $P$  in  $\mathcal{A}$ . If we add a pseudoline  $Q$  to  $\mathcal{A}$ , so that  $P$  and  $Q$  are twin in  $\mathcal{A} \cup \{Q\}$ , the result is a  $(k, n + 1)$ -arrangement. Now there are two (nonisomorphic) ways to add such a pseudoline  $Q$ . Since there are  $2k$  twin pseudolines in  $\mathcal{A}$ , it follows that there are  $4k$  such ways to generate a  $(k, n + 1)$ -arrangement from  $\mathcal{A}$ . Now consider a  $(k - 1, n)$ -arrangement  $\mathcal{B}$ , and a nontwin pseudoline  $P$  in  $\mathcal{B}$ . If we add a pseudoline  $Q$  to  $\mathcal{B}$ , so that  $P$  and  $Q$  are twin in  $\mathcal{B} \cup \{Q\}$ , the result is a  $(k, n + 1)$ -arrangement. Since there are two (nonisomorphic) ways to add such a pseudoline  $Q$ , and there are  $n - 2(k - 1)$  nontwin pseudolines in  $\mathcal{B}$ , it follows that there are  $2(n - 2(k - 1))$  such ways to generate a  $(k, n + 1)$ -arrangement from  $\mathcal{B}$ . It is not difficult to check that if we perform the first operation on each twin pseudoline in each  $(k, n)$ -arrangement, and the second operation on each nontwin pseudoline in each  $(k - 1, n)$ -arrangement, the global result we obtain is that every  $(k, n + 1)$ -arrangement gets generated exactly  $k$  times. Therefore we obtain the recurrence  $4k \cdot A(k, n) + 2(n - 2(k - 1)) \cdot A(k - 1, n) = k \cdot A(k, n + 1)$ , for  $k \geq 2$  and  $n \geq 4$ .

Using this recurrence we may obtain the exact number of nonisomorphic simple Euclidean ( $\geq 5$ )-gon-free arrangements on  $n$  pseudolines, for every  $n$ . Now to obtain an easy bound, first note that  $A(2, 4) = 8$ . This follows since all arrangements with 4 pseudolines have two pairs of twin pseudolines, and there are 8 nonisomorphic arrangements with 4 pseudolines (see [9] or [10]). Using the recurrence and  $A(2, 4) = 8$ , we obtain  $A(2, n) = 2 \cdot 4^{n-3}$ . Thus the number of nonisomorphic simple Euclidean ( $\geq 5$ )-gon-free arrangements with *exactly* two pairs of twin pseudolines is already exponential in  $n$ .

## 4.2 Combinatorial equivalence

Throughout this section we consider the criterion under which two arrangements are isomorphic (or *combinatorially equivalent*) if there is an incidence- and dimension-preserving bijection between their induced cell decompositions (see [3]). It is readily checked that, up to isomorphism, there is exactly one arrangement with 3 pseudolines, and exactly one arrangement with 4 pseudolines.

For each  $n \geq 3$  let  $\mathcal{T}_n$  denote the set of all rooted full binary trees on  $2n - 1$  vertices (consequently  $n$  leaves), in which every leaf is labeled with a 1, and the label of each internal vertex is the sum of the labels of its two children. Thus the root of each tree in  $\mathcal{T}_n$  is labelled  $n$ . The key observation is that, for each  $n \geq 3$ , there is a set  $\mathbf{A}_{n+1}$  of pairwise nonisomorphic ( $\geq 5$ )-gon-free arrangements of  $n + 1$  pseudolines, and a two-to-one mapping from the set  $\mathcal{T}_n$  to  $\mathbf{A}_{n+1}$ . The correspondence

is illustrated in Figure 7. The mapping is two-to-one because the arrangements obtained from a tree and its reflection (around the root) are equivalent. On the other hand, if  $T'$  is not the reflection around the root of  $T$ , then the arrangements induced by  $T'$  and  $T$  are not equivalent: this is easily checked if we view the arrangement from the perspective of the topmost horizontal line. A standard counting argument shows that  $|\mathcal{T}_n|$  grows exponentially with  $n$ . Thus under this type of isomorphism there are exponentially many nonisomorphic simple Euclidean ( $\geq 5$ )-gon-free arrangements.

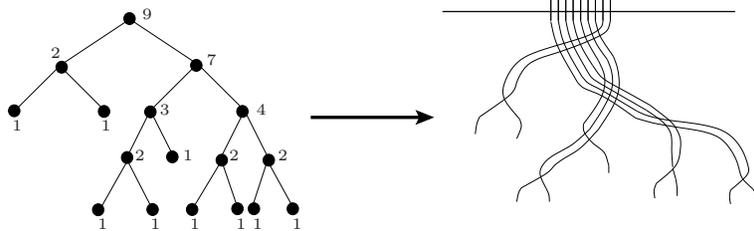


Figure 7: How to associate a ( $\geq 5$ )-gon-free arrangement with  $n + 1$  pseudolines to each labelled tree in  $\mathcal{T}_n$ . Here we show a labelled tree in  $\mathcal{T}_9$  and its associated ( $\geq 5$ )-gon-free arrangement with 10 pseudolines.

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